



# Planet Signatures in Transition Disks



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### Collaborators

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Mizerski (Warsaw), Satoshi Okuzumi (JPL), Sijme-Jan Paardekooper  
(London), Nikolai Piskunov (Uppsala), Natalie Raettig (Heidelberg), Alex  
Richert (PSU), Neal Turner (JPL), Miguel de Val-Borro (Princeton), Andras  
Zsom (MIT).

University of Delaware, Apr 11<sup>th</sup>, 2017

# Protoplanetary Disks



## PP disk fact sheet

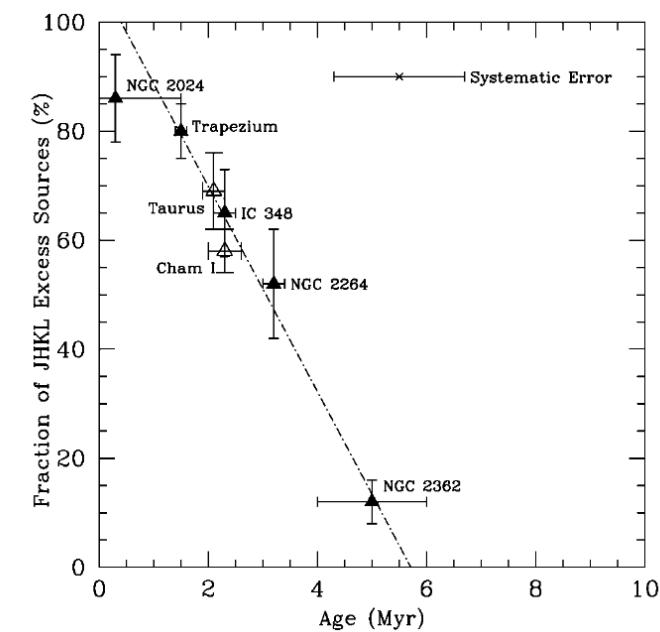
Density:  $10^{13} - 10^{15} \text{ cm}^{-3}$   
(Air:  $10^{21} \text{ cm}^{-3}$ )

Temperature: 10-1000 K

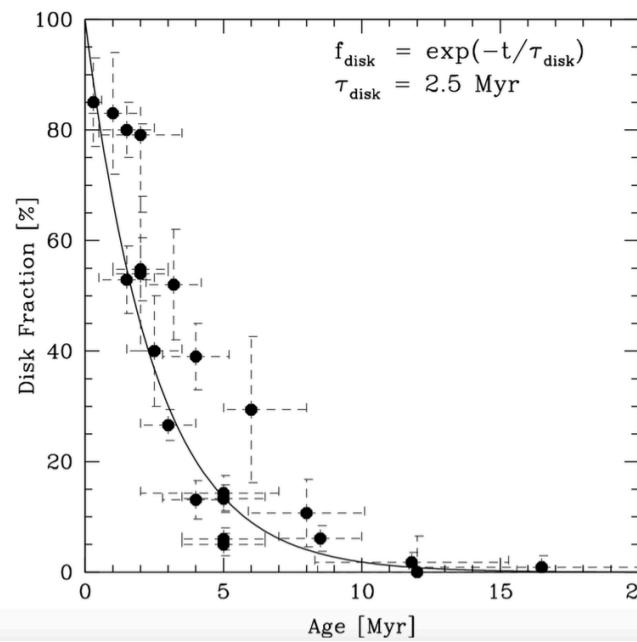
Scale: 0.1-100AU

Mass:  $10^{-3} - 10^{-1} M_{\text{sun}}$

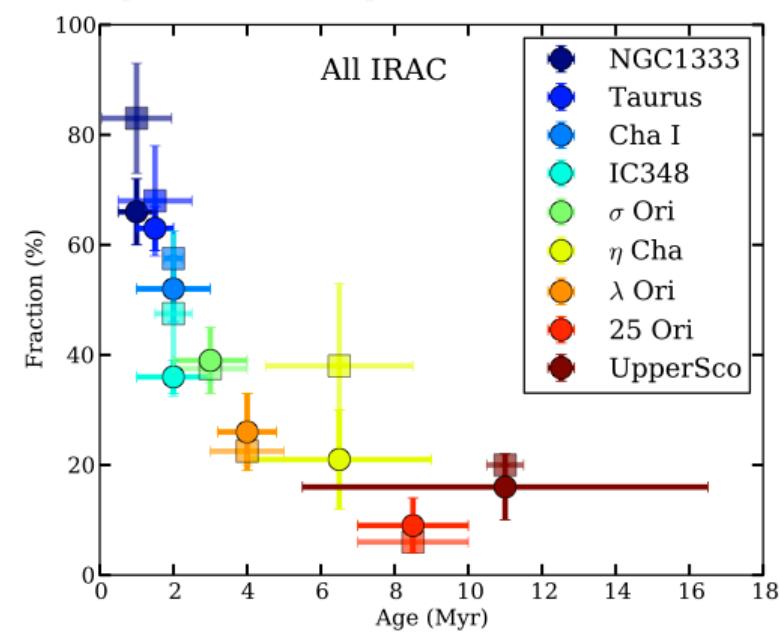
# Disk lifetime



(Haisch et al. 2001)



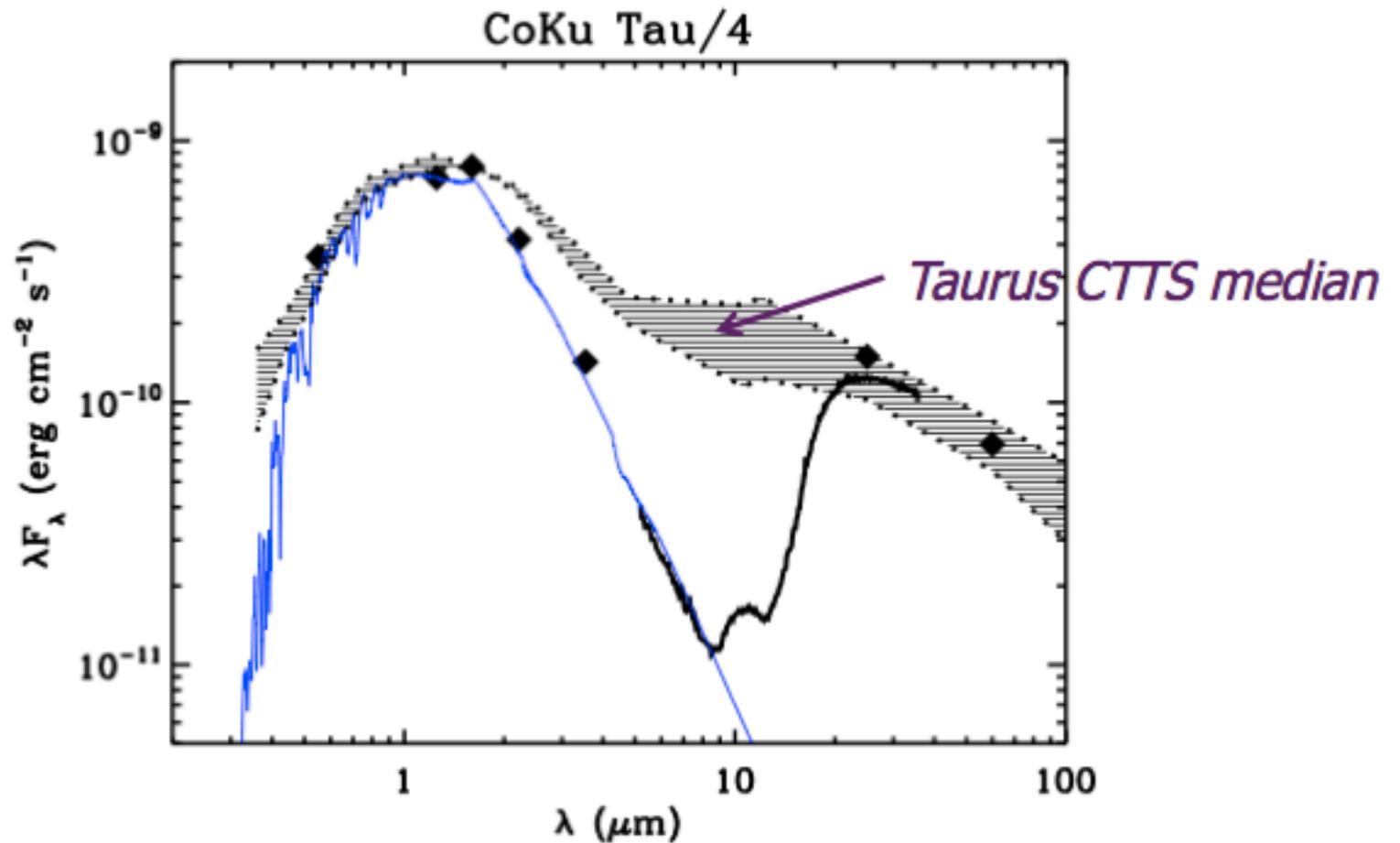
(Mamajek et al. 2009)



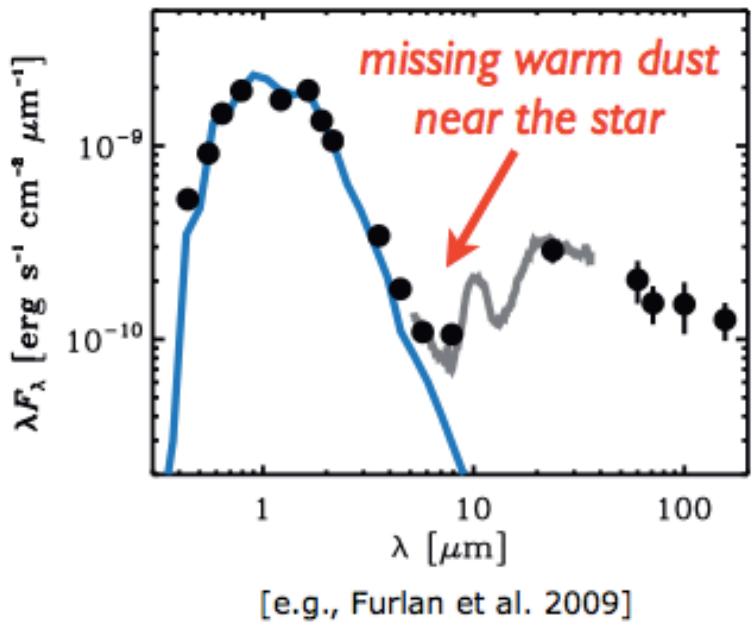
(Ribas et al. 2014)

Disks dissipate with an e-folding time of 2.5 Myr

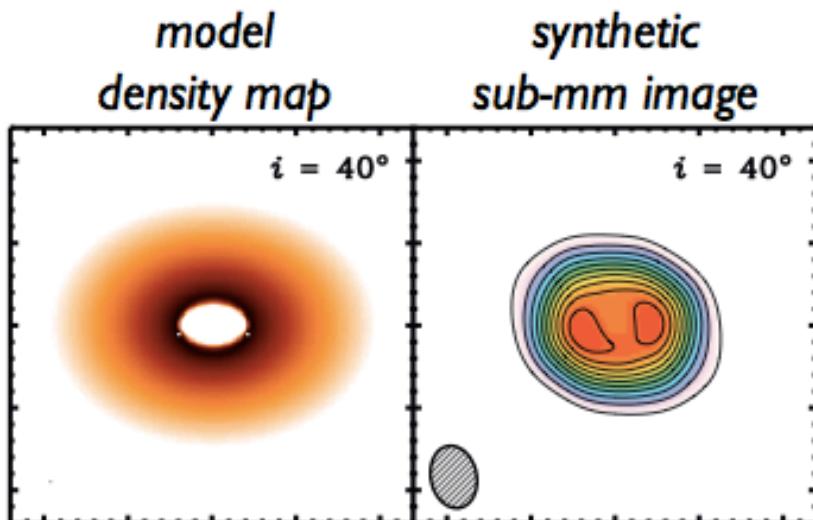
# Transition Disks: Disks with missing hot dust.



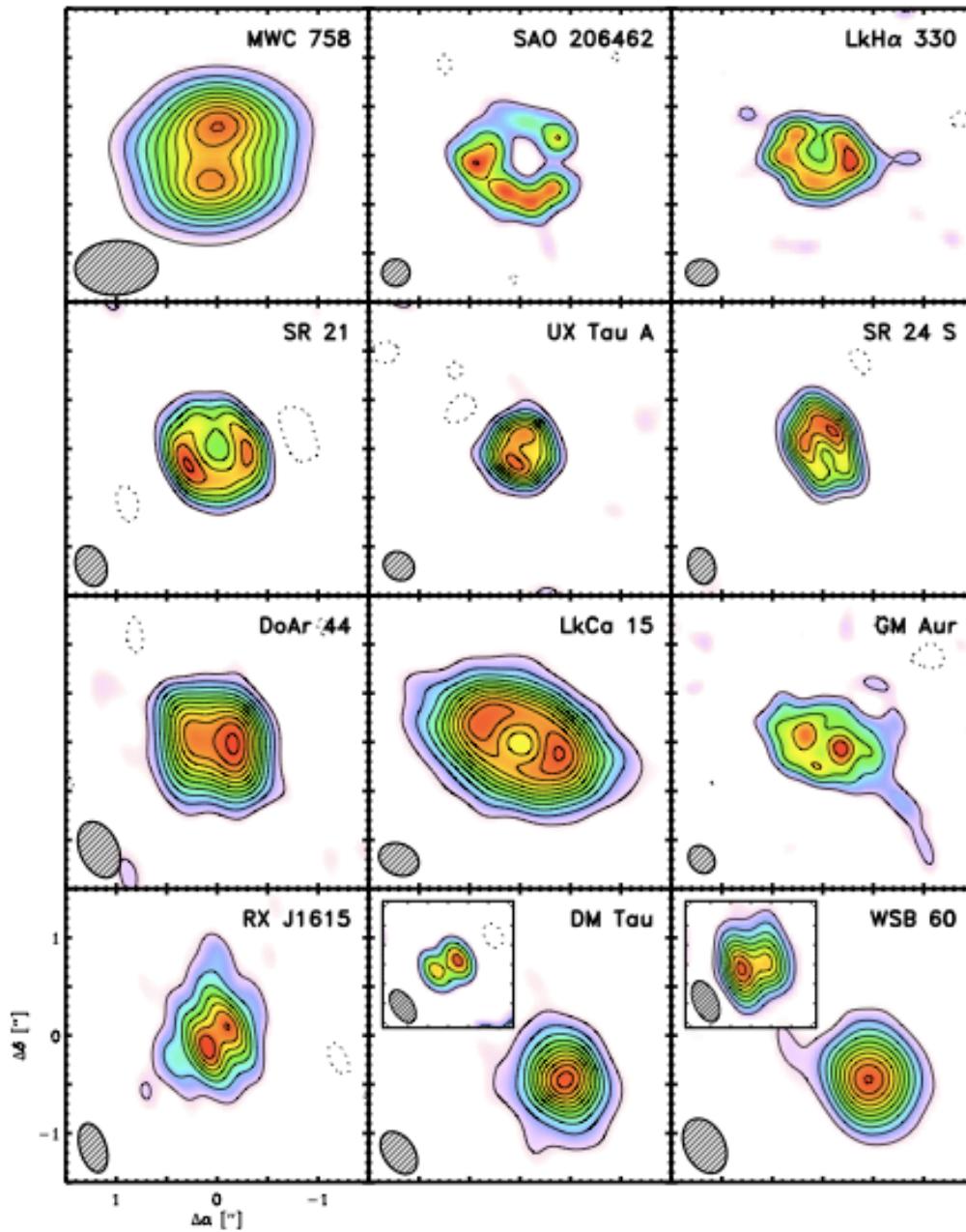
# Transition Disks: Disks with missing hot dust.



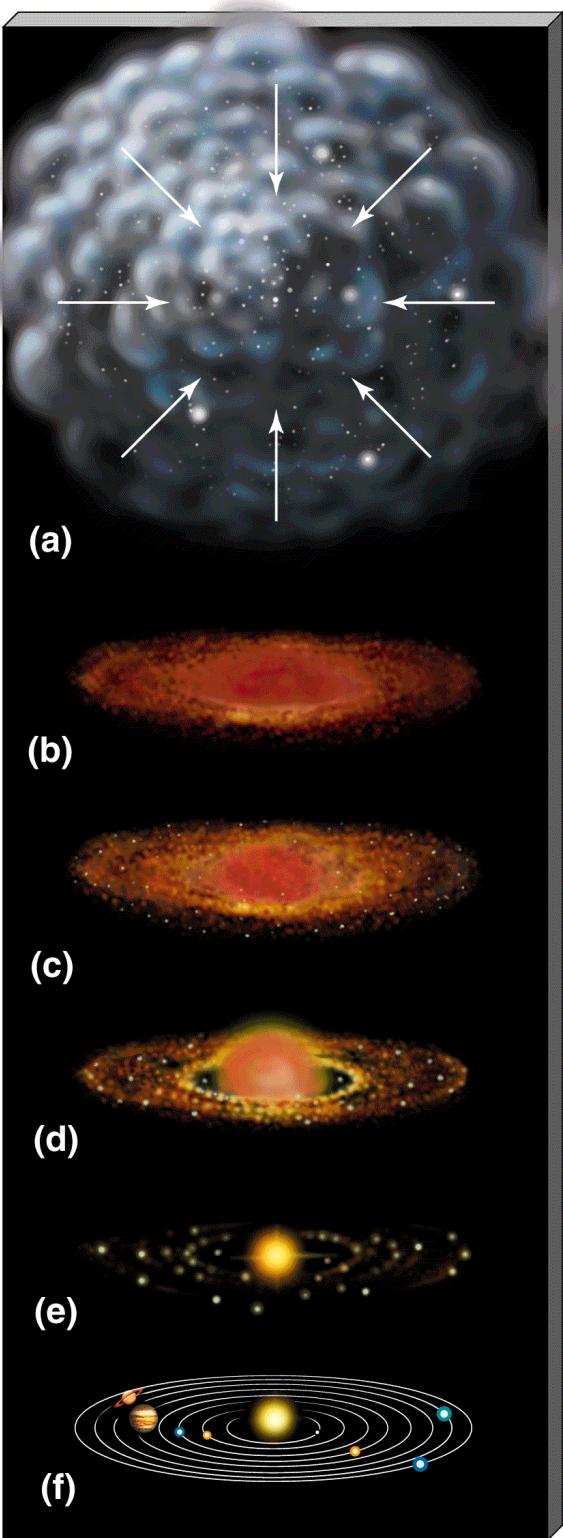
a disk with a large reduction  
in optical depth near the star  
(i.e., a “cavity” or “hole”)



# Resolved transition disks with the Sub-millimeter Array (SMA)



0.85mm  
0.3" ~ 20 AU resolution



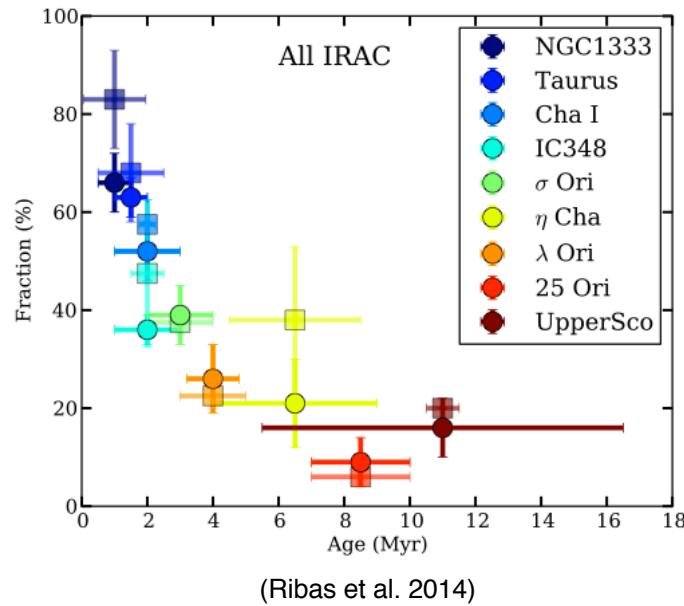
Are transitional disks  
related to disk evolution?

**Gas-rich phase (< 10 Myr)**  
*Primordial Disks*

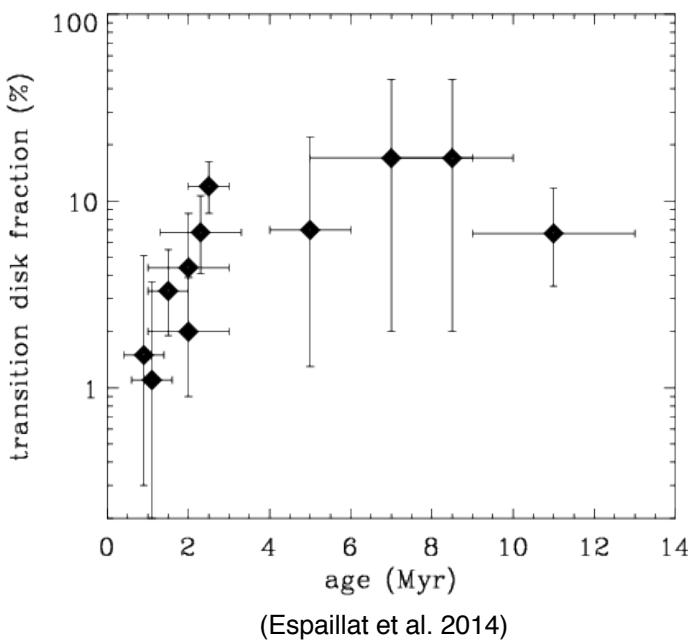
*Conjecture:*  
**Thinning phase (~10 Myr)**  
*Transitional Disks*

**Gas-poor phase (>10 Myr)**  
*Debris Disks*

# Transition disks and disk evolution

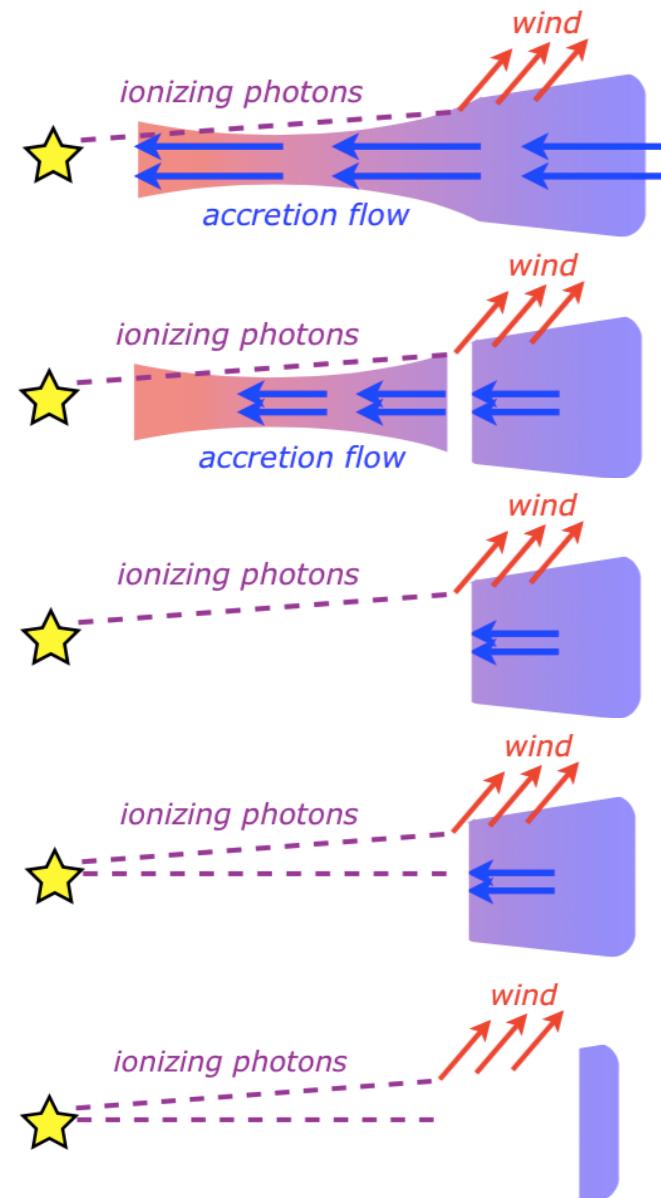


“Total” disk fraction

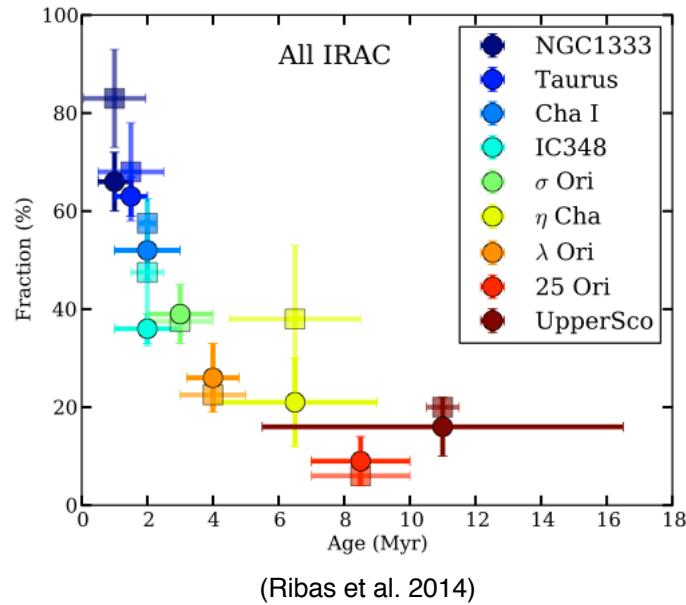


Transition disk fraction

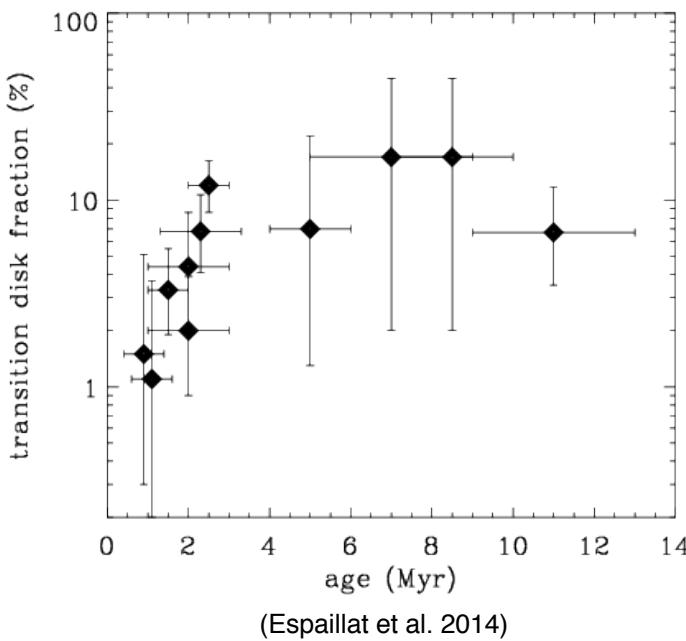
# Photoevaporation



# Look again...



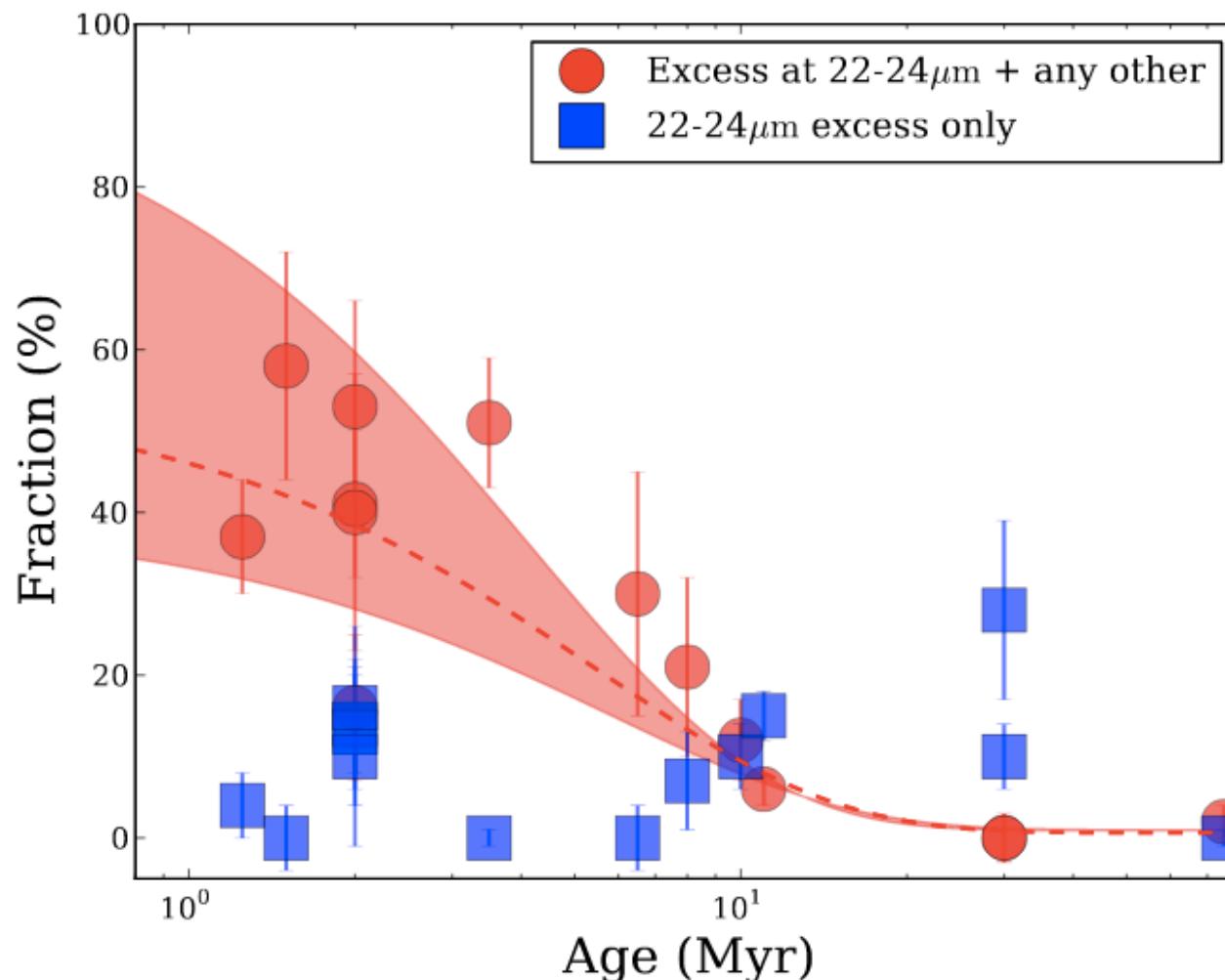
“Total” disk fraction



Transition disk fraction

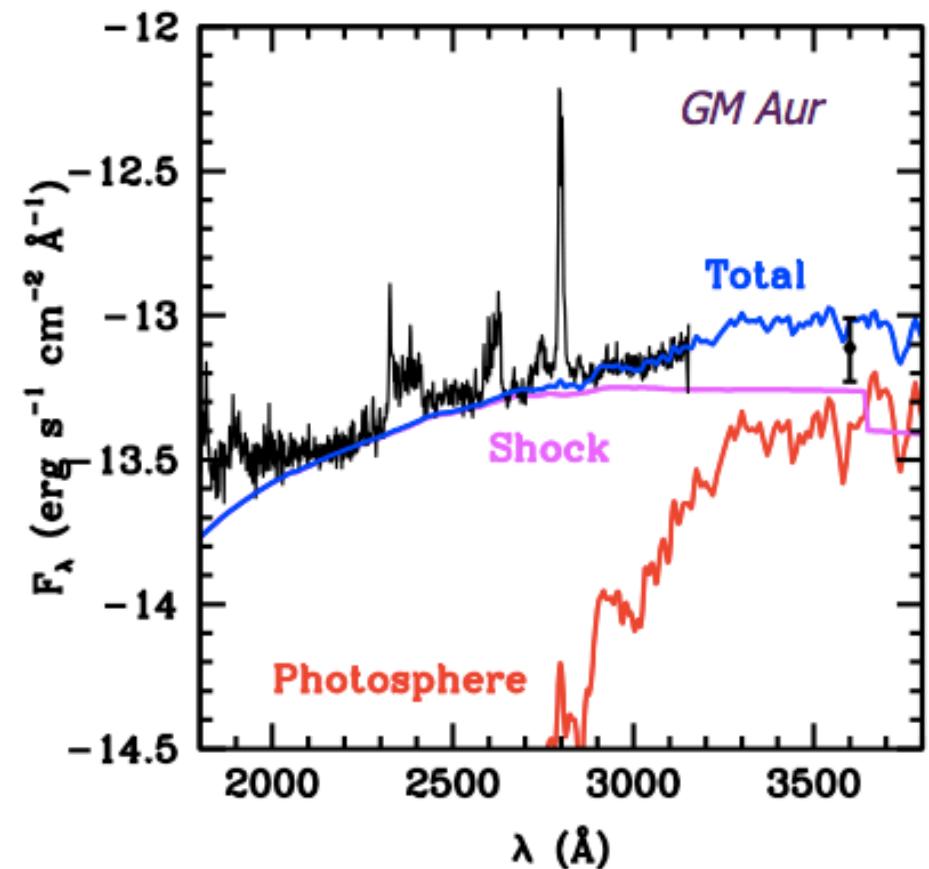
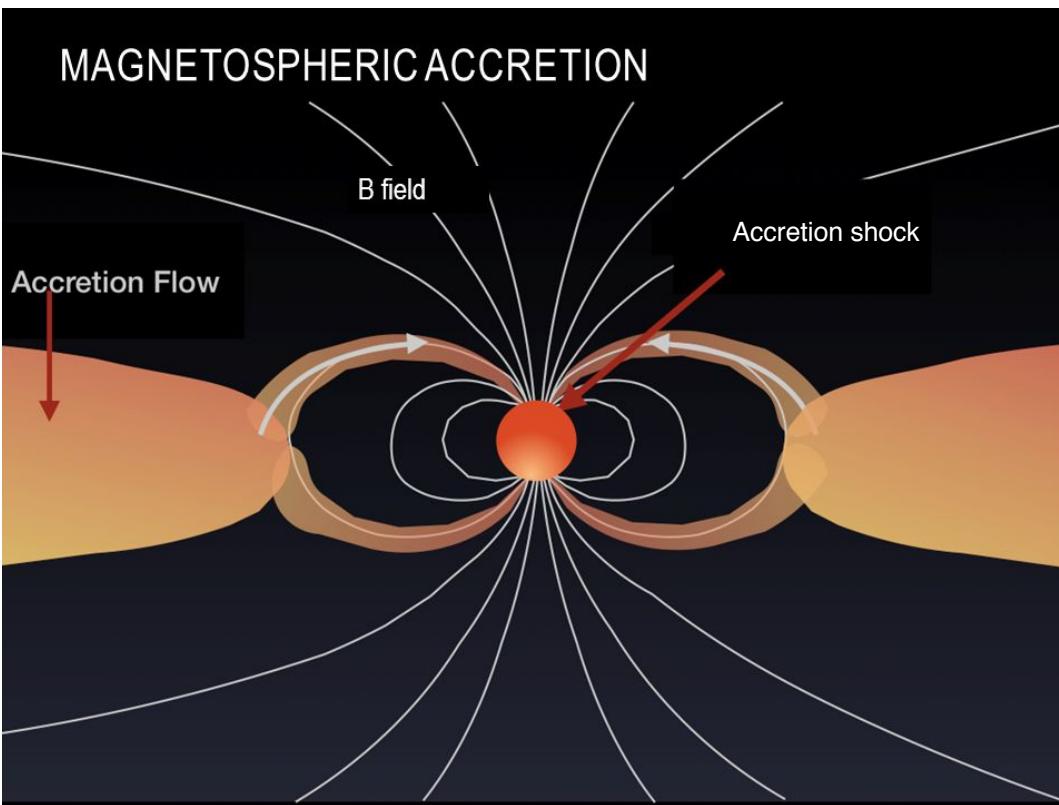
# Transition disks linked to disk evolution?

The distribution in age is consistent with a uniform distribution.

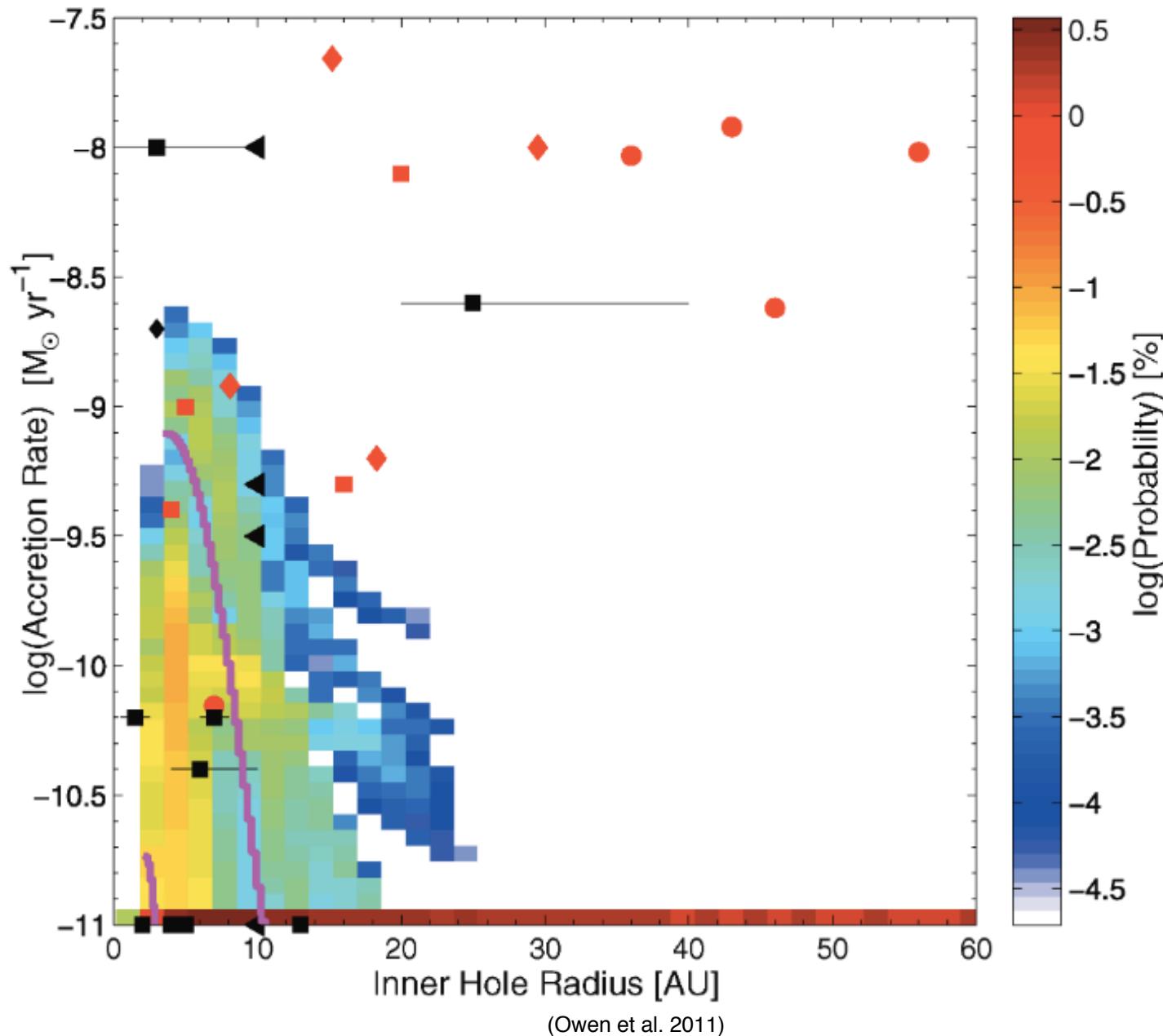


# UV excess

Many transitional disks show signs of accretion, at the level of primordial (classical T-Tauri) disks.



# Bimodal distribution of transition disks

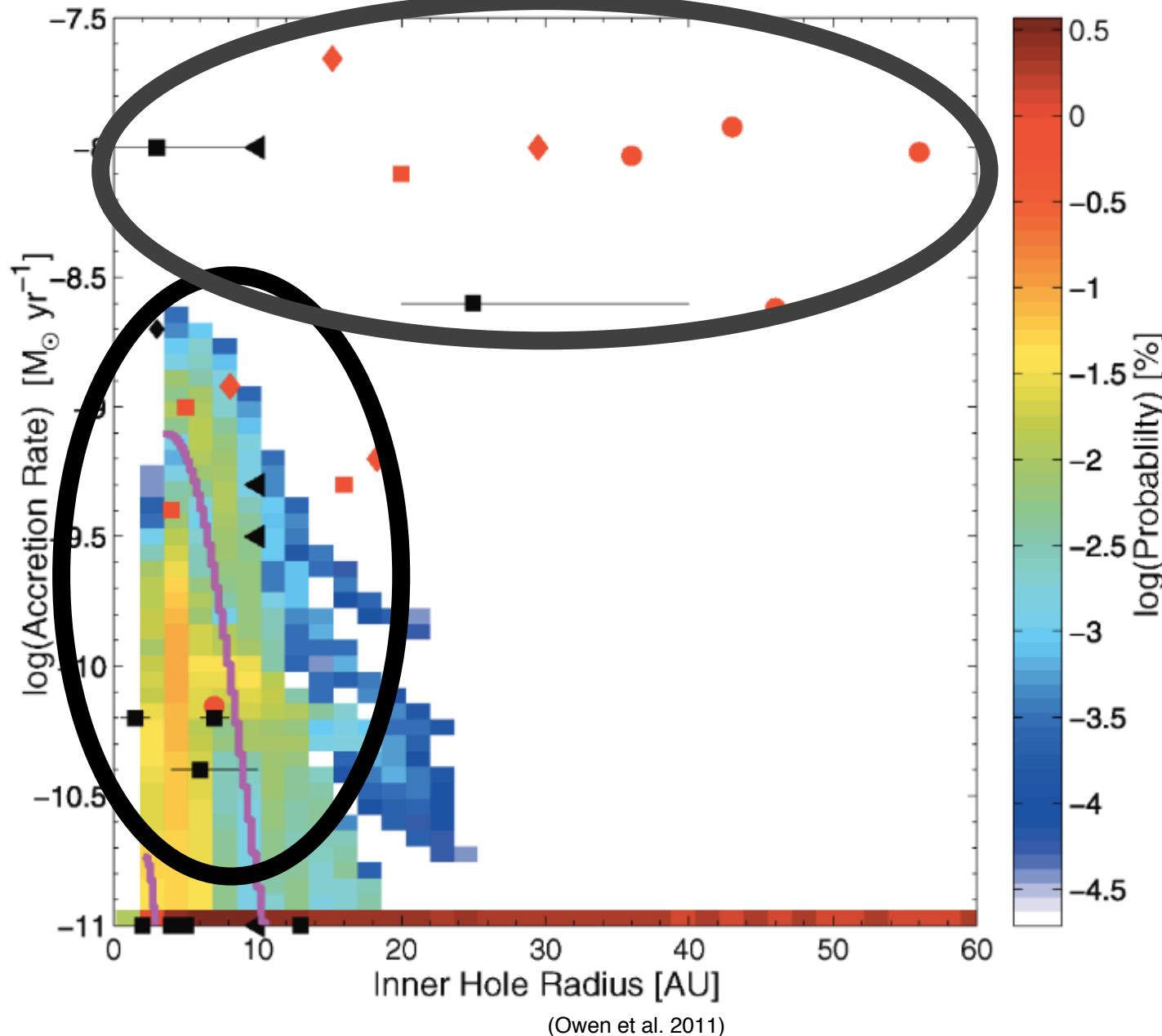


(Owen et al. 2011)

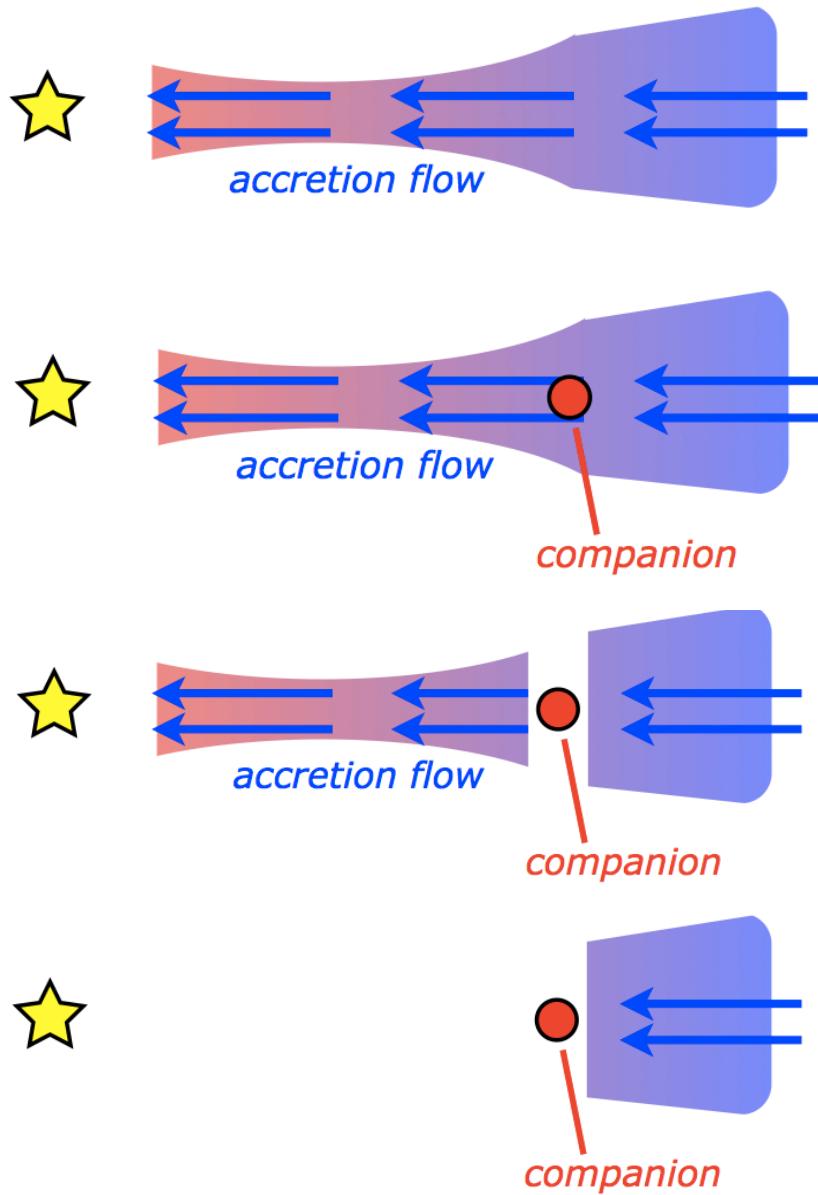
# Bimodal distribution of transition disks

*Not explained by photo-evaporation*

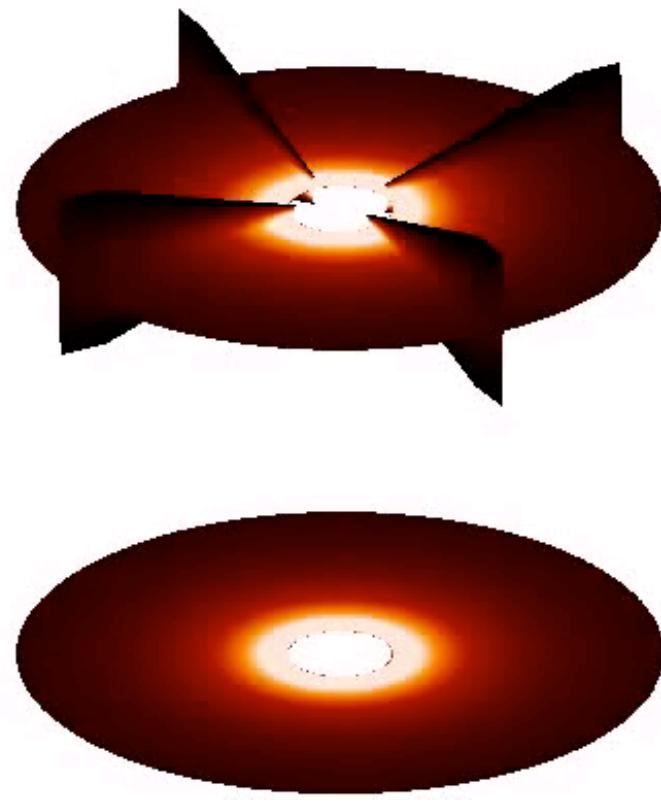
*Explained by  
Photo-  
evaporation*



# Planetary companion

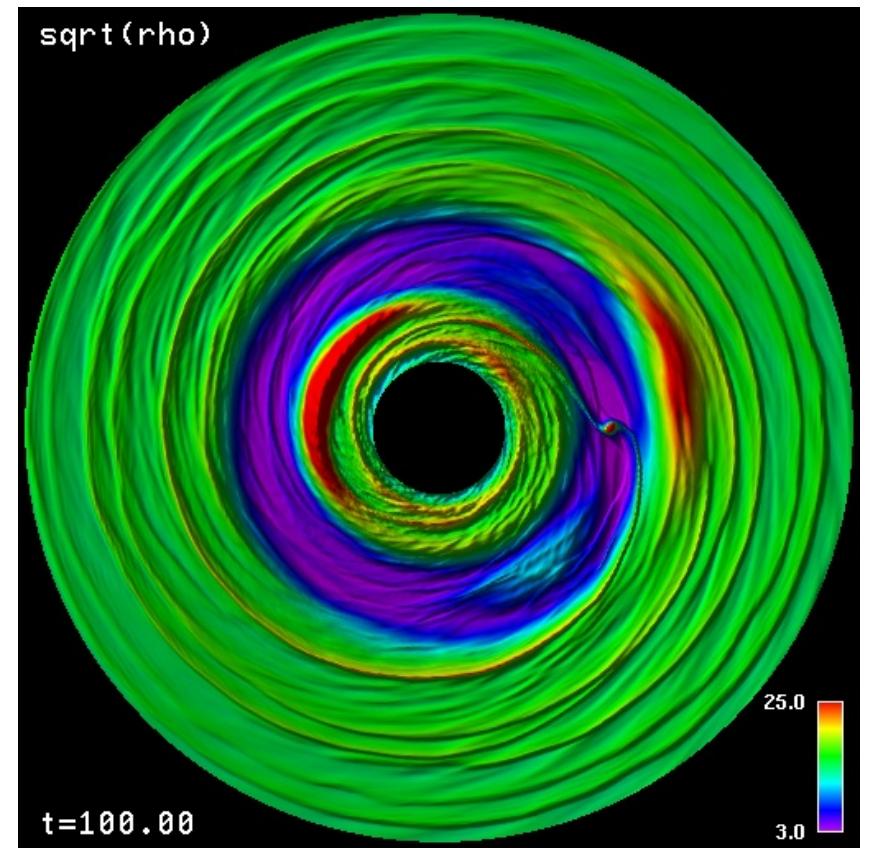
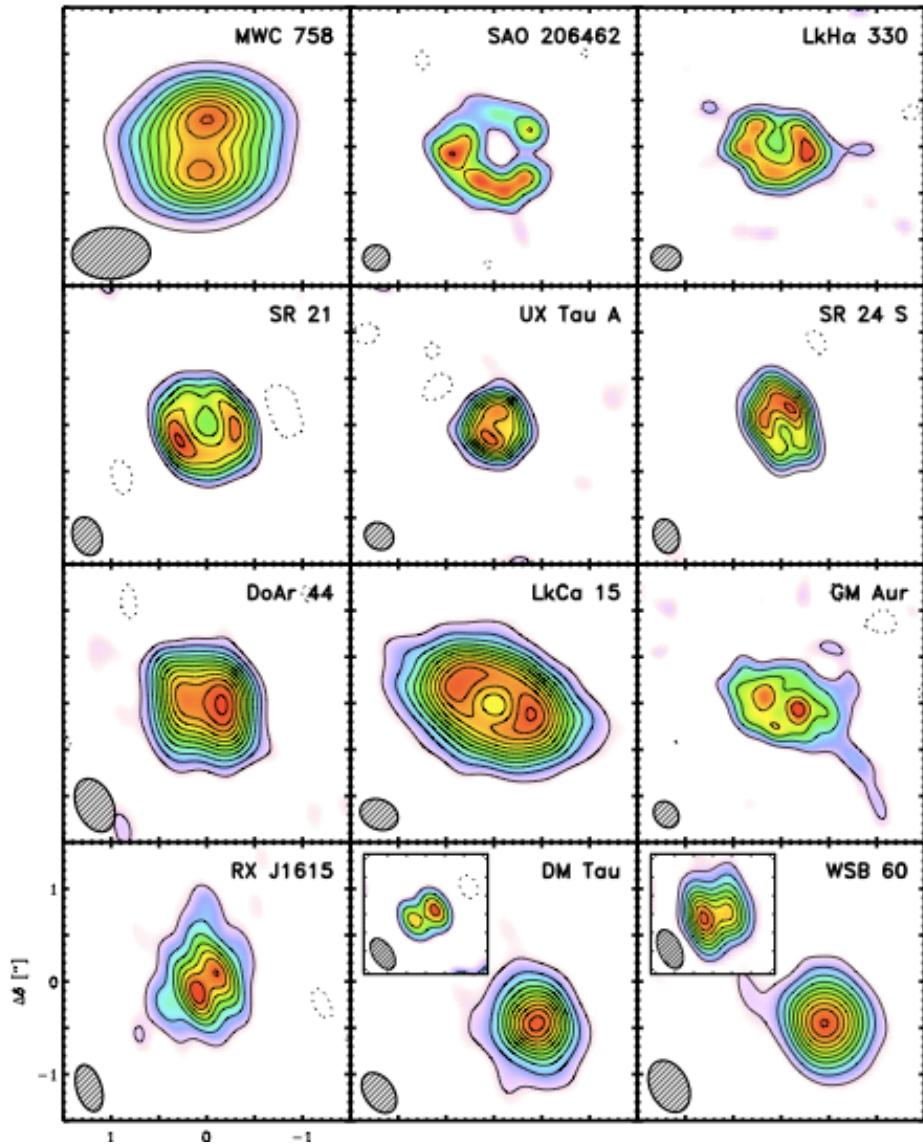


$t = 0.1$



(Lyra 2009)

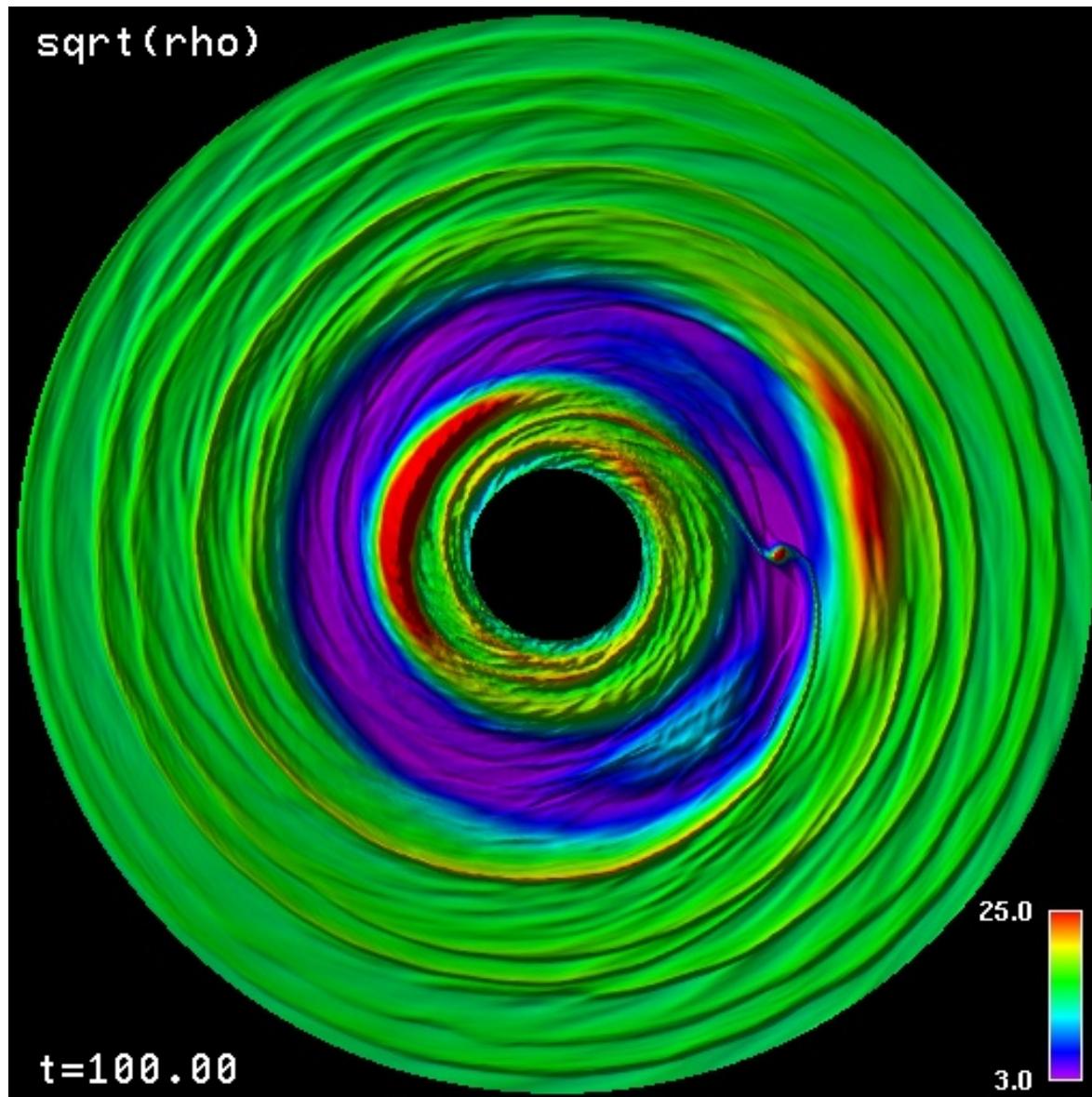
# These cavities may be the telltale signature of forming planets



(Bryden et al. 1999)

A way to directly study planet-disk interaction

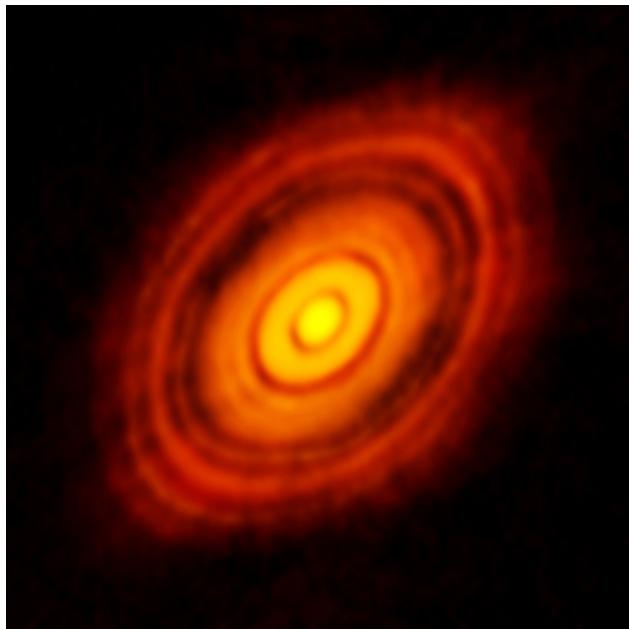
# Planet-disk interaction: gaps, spirals, and vortices.



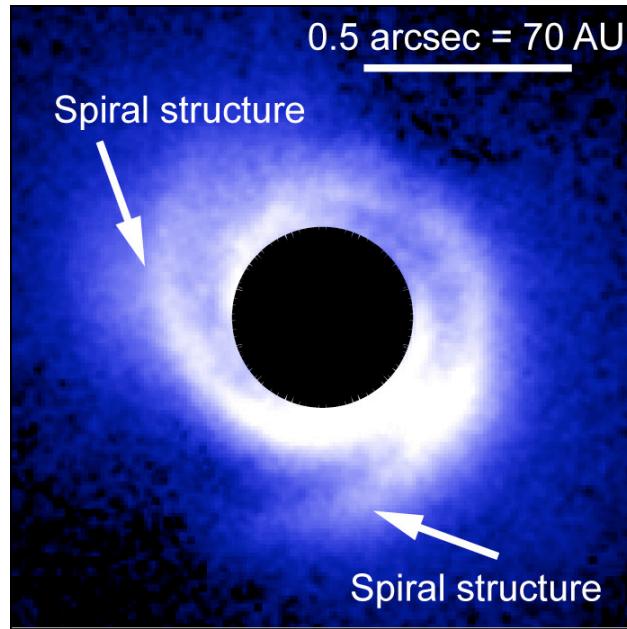
(Bryden et al. 1999)

# Observational evidence: gaps, spirals, and vortices

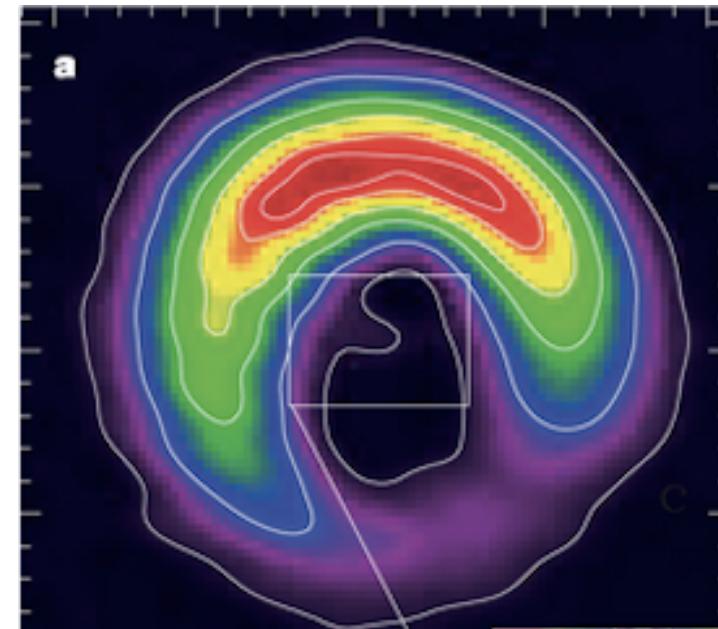
HL Tau



SAO 206462



HD 142527



The ALMA Partnership et al. (2015)

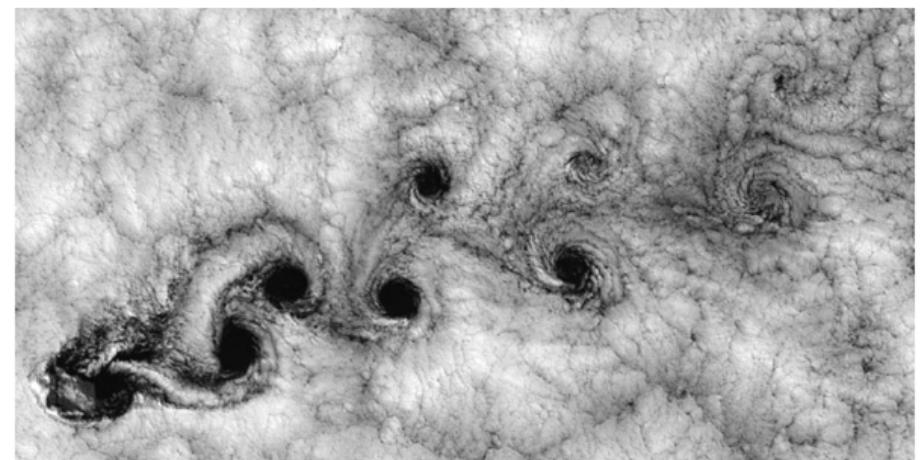
Muto et al. (2012)

Casassus et al. (2013)

# Vortices – an ubiquitous fluid mechanics phenomenon

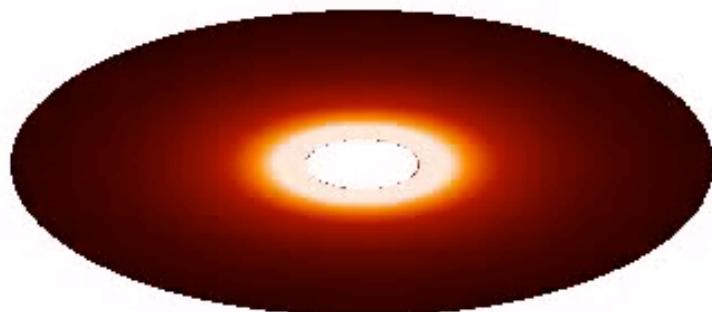
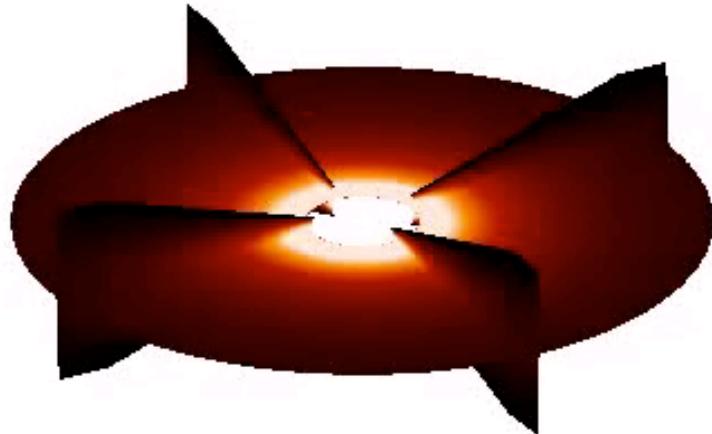


## Von Kármán *vortex street*

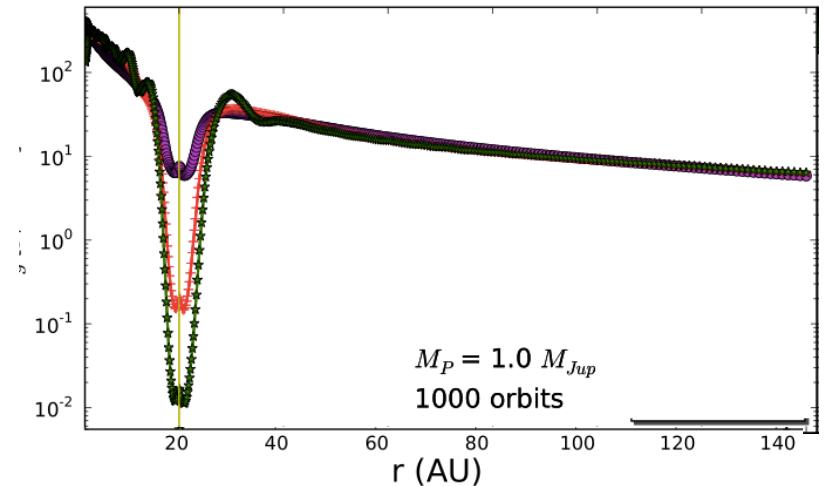


# Planet-disk interaction: gaps, spirals, and vortices.

$t = 0.1$



Lyra (2009)

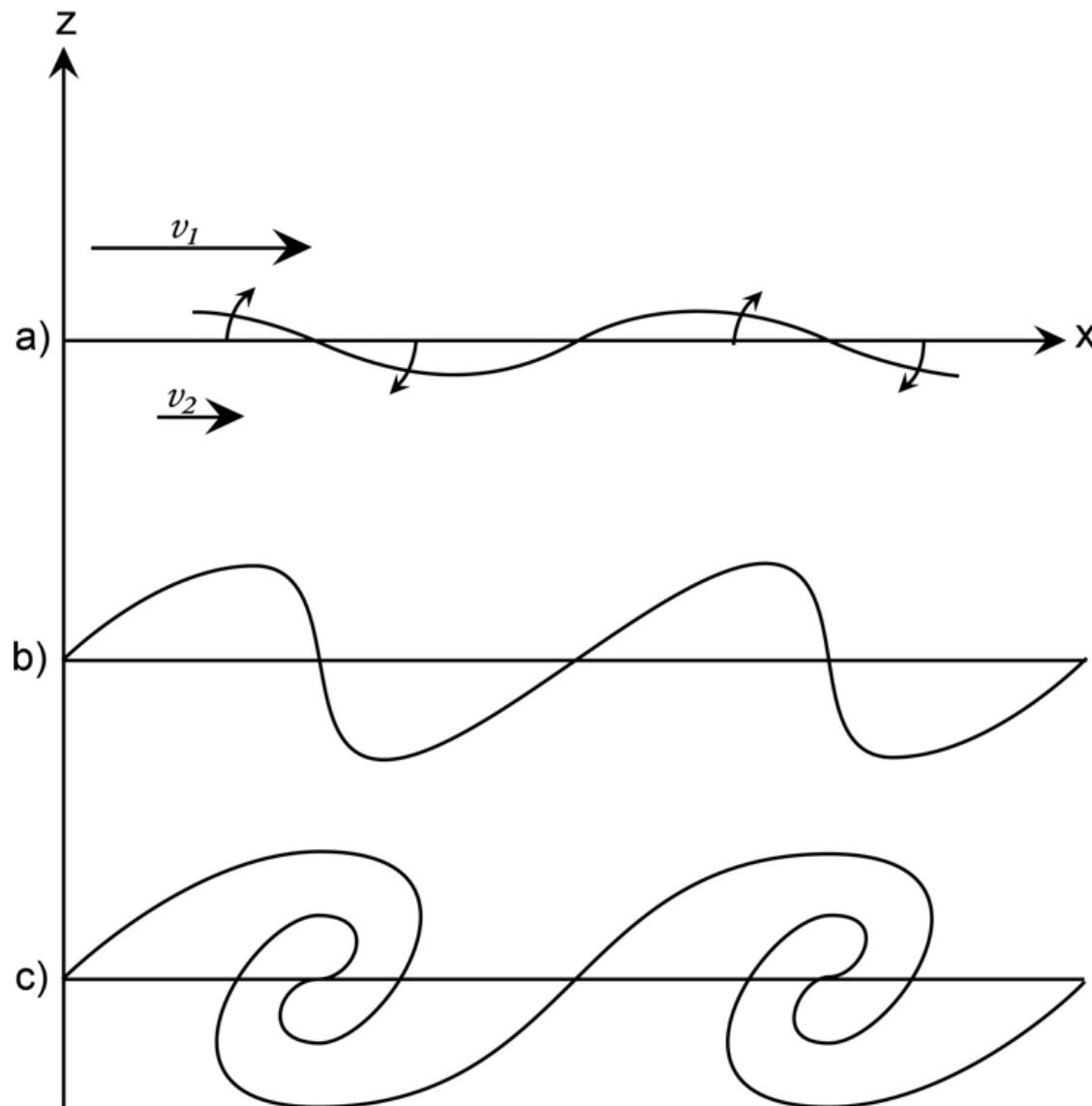


Planet tides carve gap

Gap walls are unstable to  
Kelvin-Helmholtz instability

# Rossby wave instability

(or Kelvin-Helmholtz instability in differentially rotating gas)



© Brooks Martner

# Oph IRS 48



van der Marel et al. 2013

A possible huge vortex observed  
with ALMA

## A Major Asymmetric Dust Trap in a Transition Disk

Nienke van der Marel,<sup>1\*</sup> Ewine F. van Dishoeck,<sup>1,2</sup> Simon Bruderer,<sup>2</sup> Til Birnstiel,<sup>3</sup> Paola Pinilla,<sup>4</sup> Cornelis P. Dullemond,<sup>4</sup> Tim A. van Kempen,<sup>1,5</sup> Markus Schmalzl,<sup>1</sup> Joanna M. Brown,<sup>3</sup> Gregory J. Herczeg,<sup>6</sup> Geoffrey S. Mathews,<sup>1</sup> Vincent Geers<sup>7</sup>

The statistics of discovered exoplanets suggest that planets form efficiently. However, there are fundamental unsolved problems, such as excessive inward drift of particles in protoplanetary disks during planet formation. Recent theories invoke dust traps to overcome this problem. We report the detection of a dust trap in the disk around the star Oph IRS 48 using observations from the Atacama Large Millimeter/submillimeter Array (ALMA). The 0.44-millimeter-wavelength continuum map shows high-contrast crescent-shaped emission on one side of the star, originating from millimeter-sized grains, whereas both the mid-infrared image (micrometer-sized dust) and the gas traced by the carbon monoxide 6–5 rotational line suggest rings centered on the star. The difference in distribution of big grains versus small grains/gas can be modeled with a vortex-shaped dust trap triggered by a companion.

Although the ubiquity of planets is confirmed almost daily by detections of new exoplanets (*1*), the exact forma-

tion mechanism of planetary systems in disks of gas and dust around young stars remains a long-standing problem in astrophysics (*2*). In

iencemag.org SCIENCE VOL 340 7 JUNE 2013

1199

PERSPECTIVES

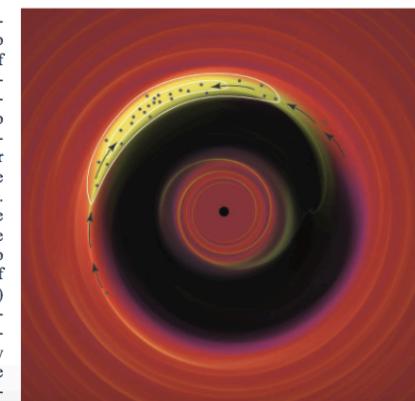
### ASTRONOMY

## A Trap for Planet Formation

Philip J. Armitage<sup>1,2</sup>

The raw material for forming planets is micrometer to millimeter-sized particles of dust that orbit along with gas in protoplanetary disks around young low-mass stars. These disks are known to be common and to persist for several million years (*1*). The Kepler mission (*2*) showed that mature planetary systems are also common. What is not known, however, is the full sequence of steps that allows the dust within protoplanetary disks to grow into planets. On page 1199 of this issue, van der Marel *et al.* (*3*) report observations from the Atacama Large Millimeter/submillimeter Array (ALMA) that hint at how the most problematic step may be surmounted—millimeter-sized par-

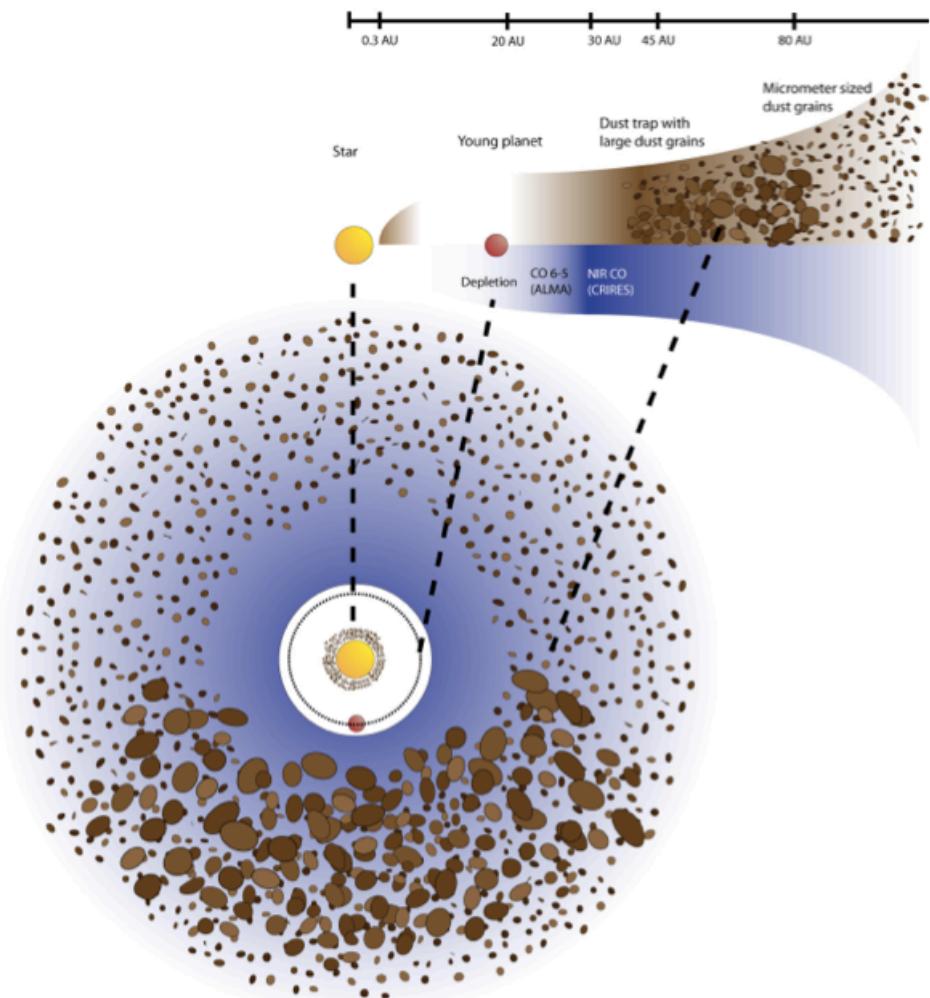
The detection of a pocket of trapped particles may provide a hint to understanding the mechanism of planet formation.



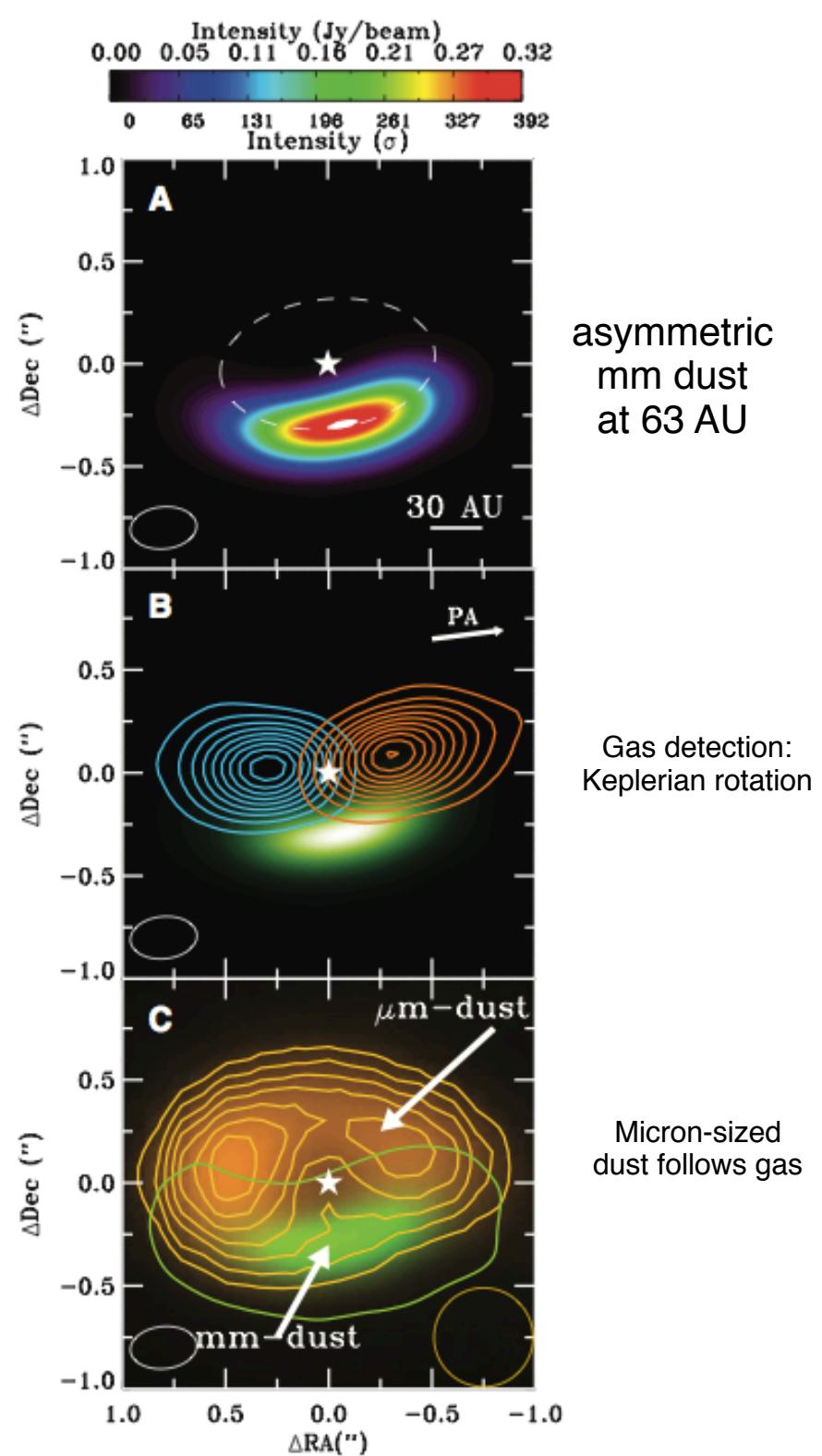
From dust to planet. Illustration of the proposed mechanism that creates a dust trap in the disk of IRS 48. A massive planet (plus symbol) creates an annular gap in the gas disk, whose surface density is shown as a color map. A high-pressure vortex (contours) forms at the gap edge, collecting and trapping millimeter-sized dust particles that would otherwise spiral rapidly inward through the disk.

metric distribution. The emission from smaller dust particles, measured separately at infrared wavelengths, is also distributed uniformly around the orbit (*11*). These observations are consistent with theoretical expectations for a dust trap, in which a modest peak in gas pressure is able to strongly concentrate the millimeter-sized solid particles that

# The Oph IRS 48 “dust trap”

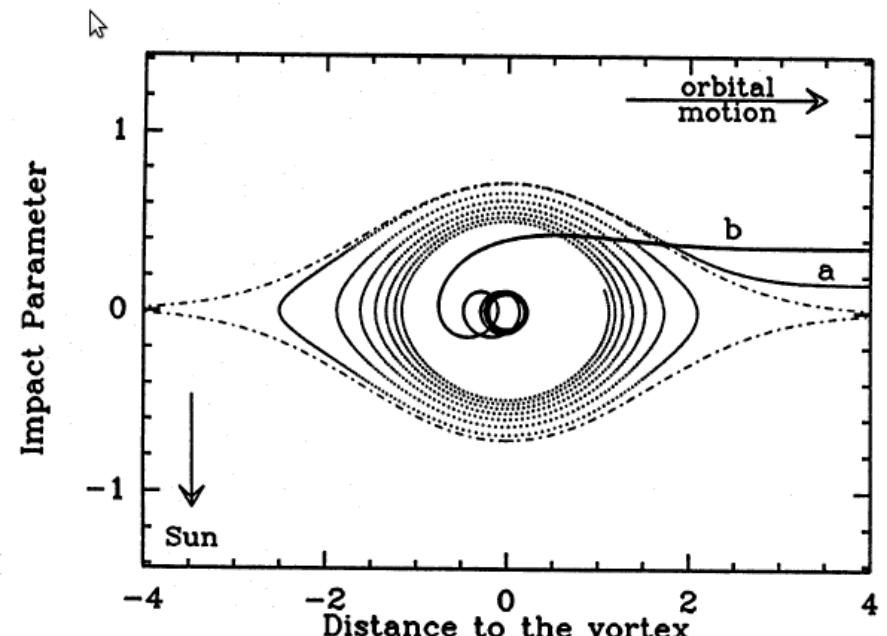
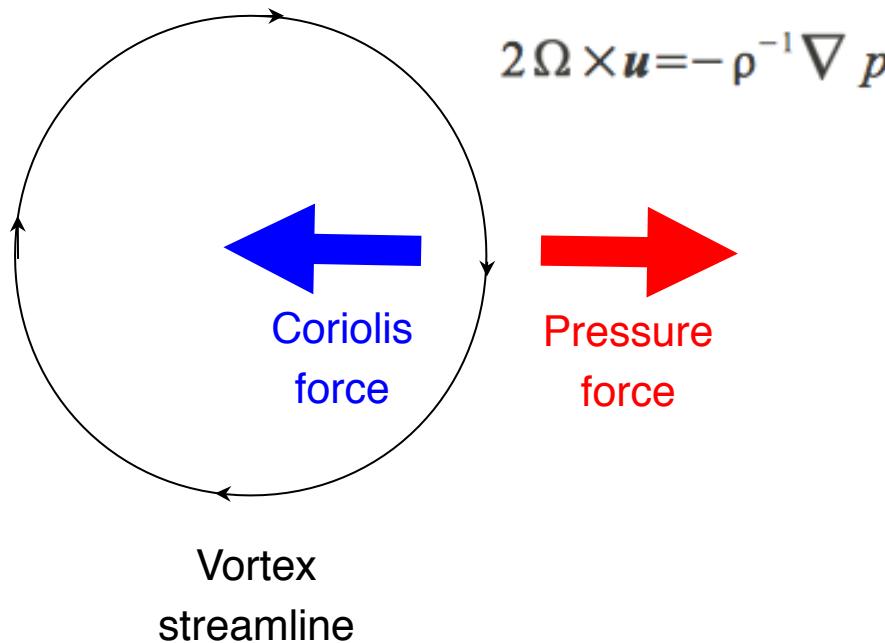


van der Marel et al. (2013)



# The Tea-Leaf effect

Geostrophic balance:



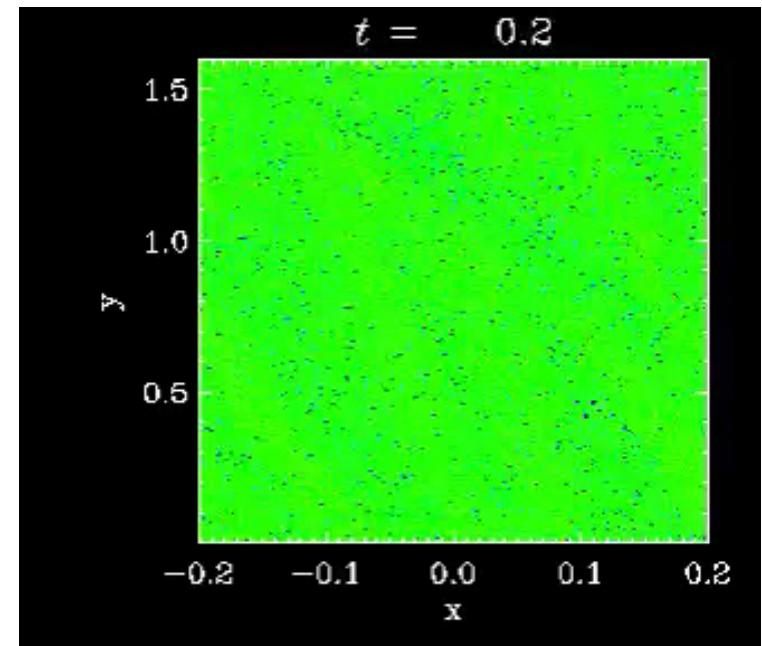
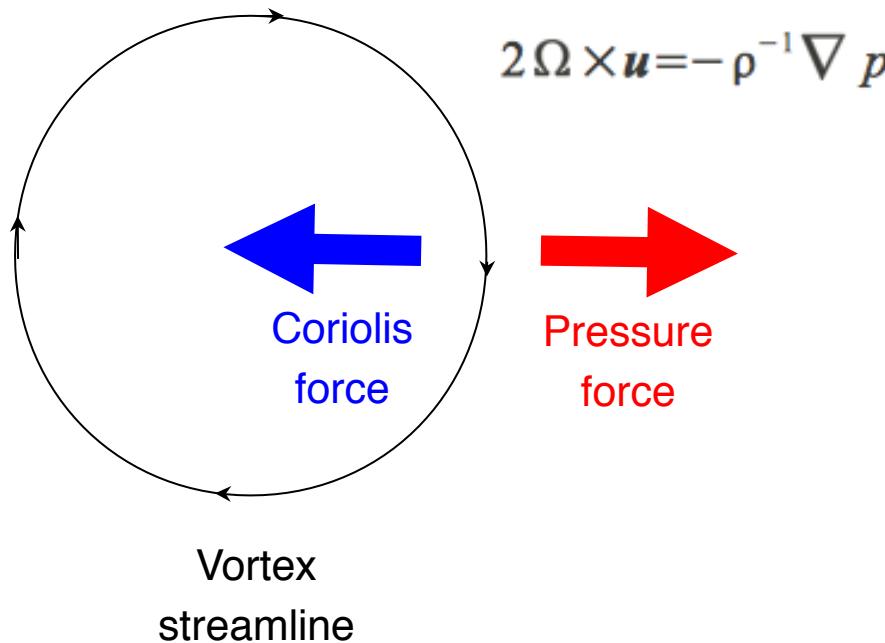
Grains do not feel the pressure gradient.  
They sink towards the center, where they accumulate.

Aid to planet formation  
(Barge & Sommeria 1995, Tanga et al. 1996, Barranco & Marcus 2005)

Speed up planet formation enormously  
(Lyra et al. 2008b, 2009ab, Raettig et al. 2012)

# The Tea-Leaf effect

Geostrophic balance:



Raettig, Lyra, & Klahr (2013)

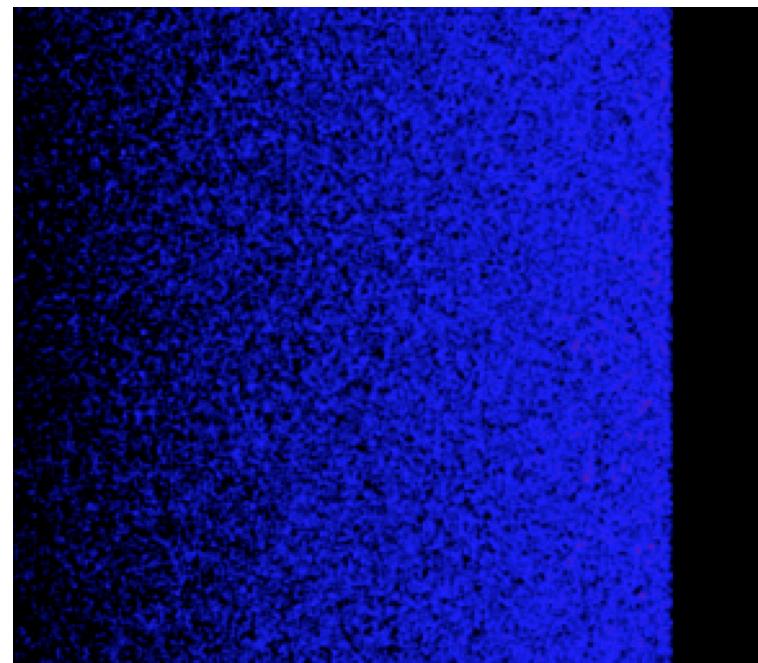
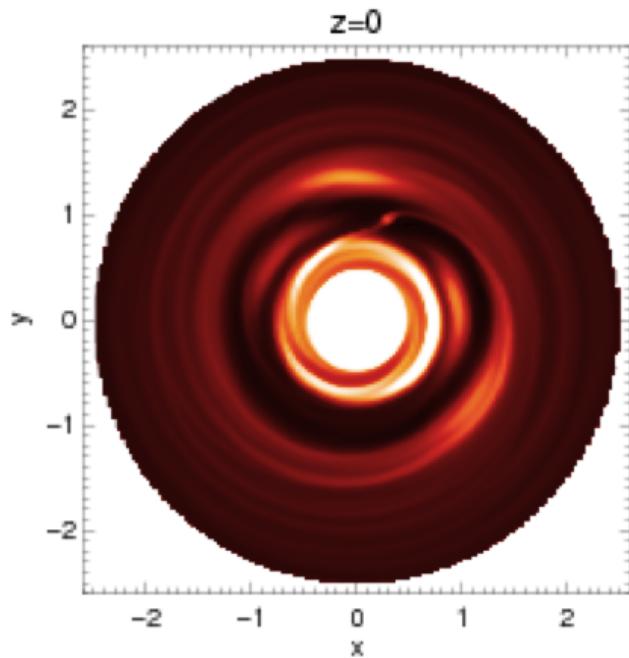
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# Planet Formation in gap edge vortices

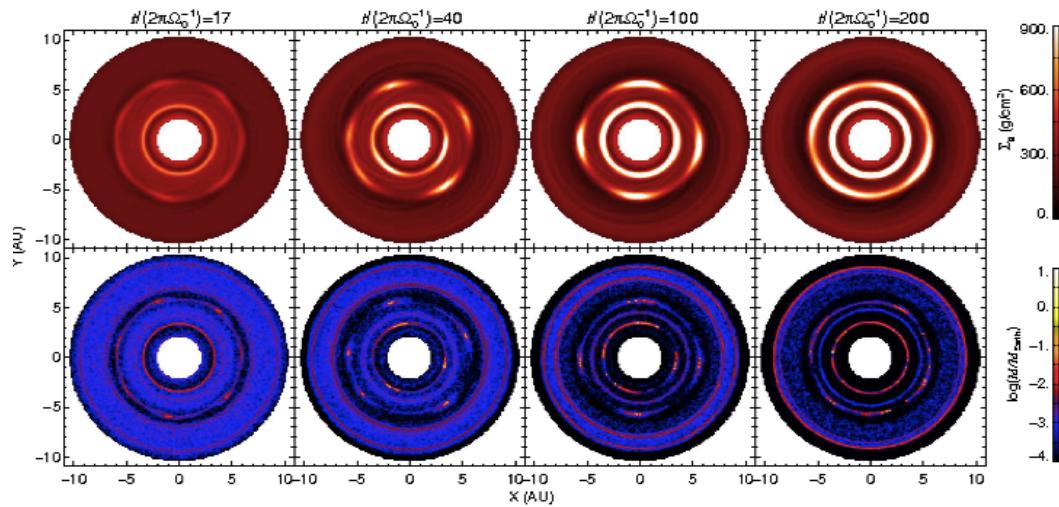
Lyra et al. (2009b),  
see also de Val-Borro et al. (2007)



Burst of formation in gap vortices

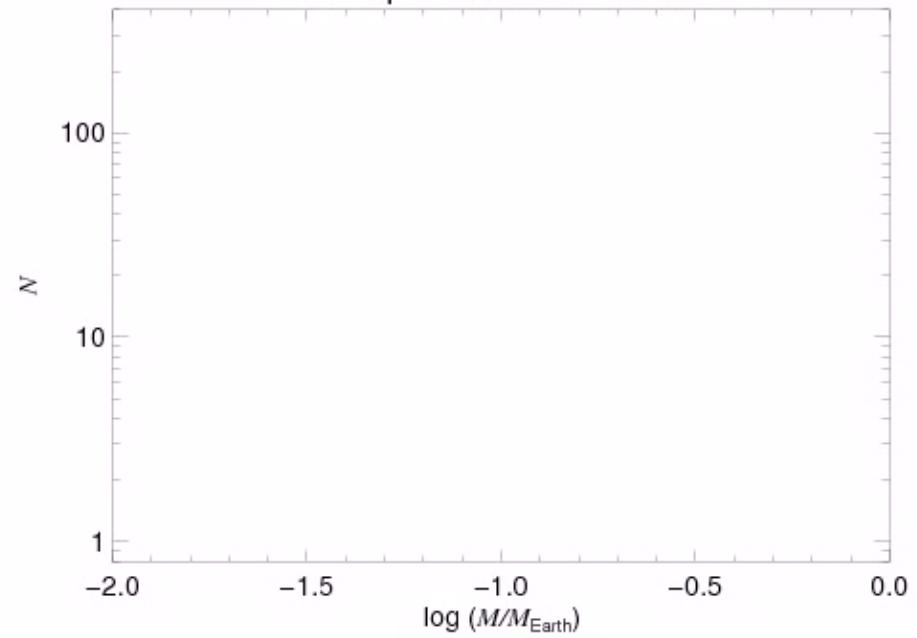
# Vortices and Planet Formation

Gas



Grains

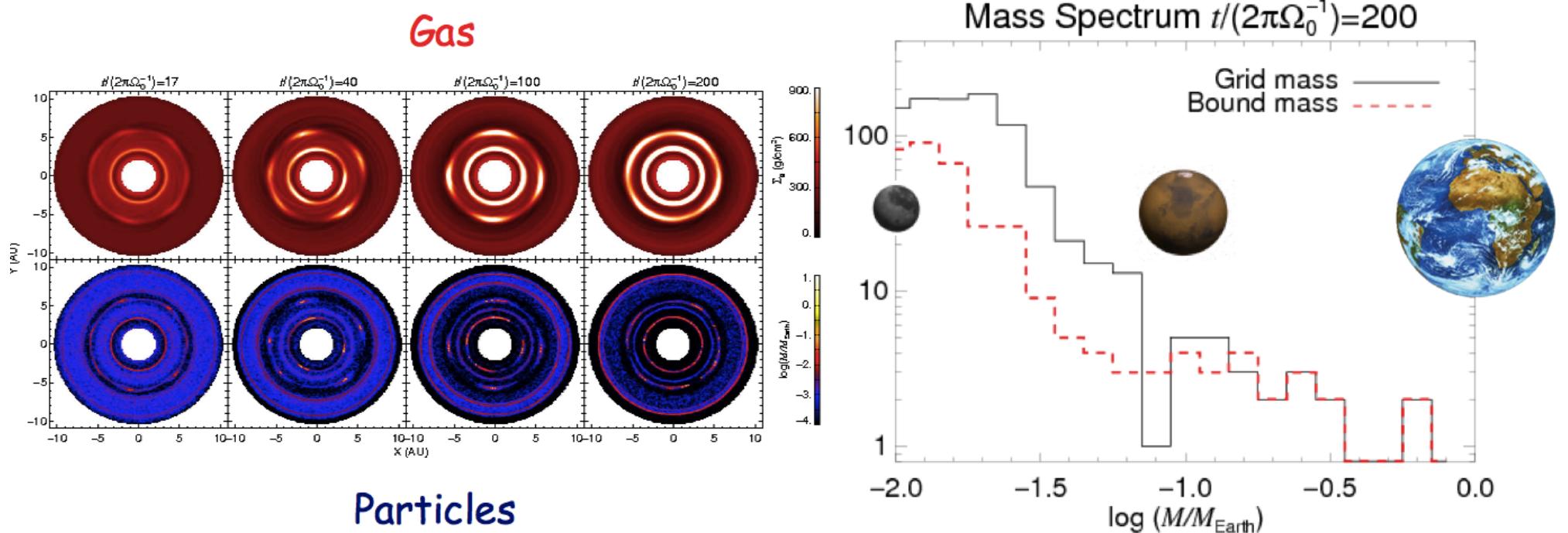
Mass Spectrum  $t=0/204$  orbits



Collapse into Mars mass objects

(Lyra et al. 2008b, 2009a,  
Lambrechts & Johansen 2012)

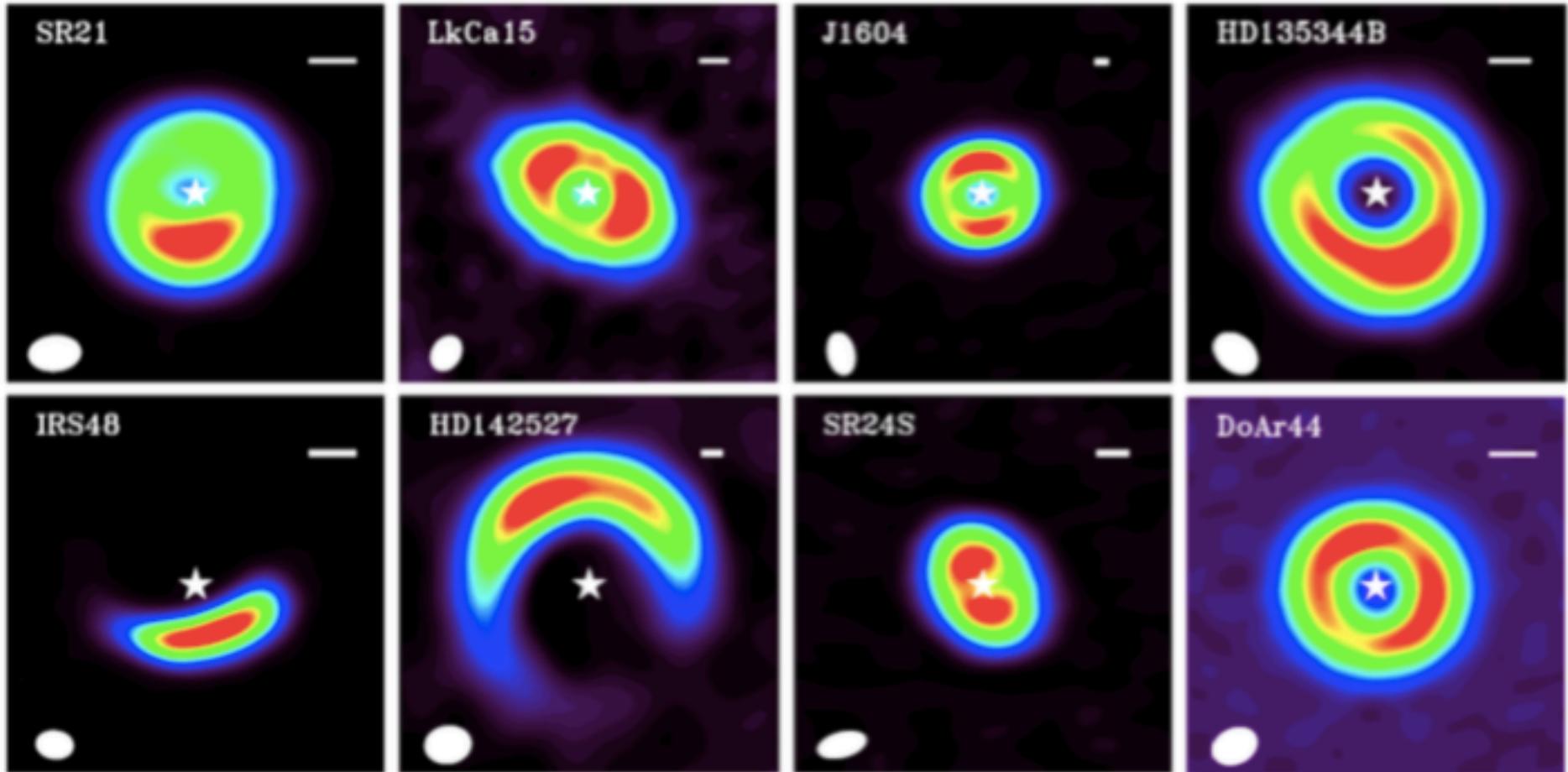
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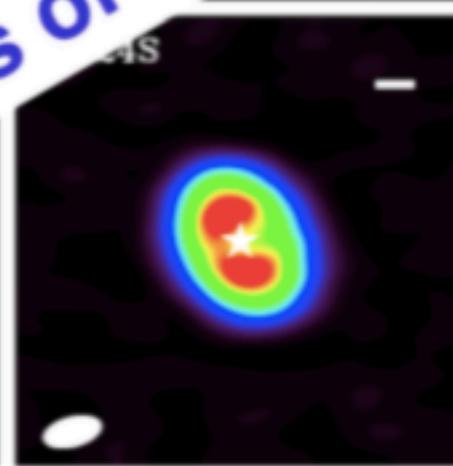
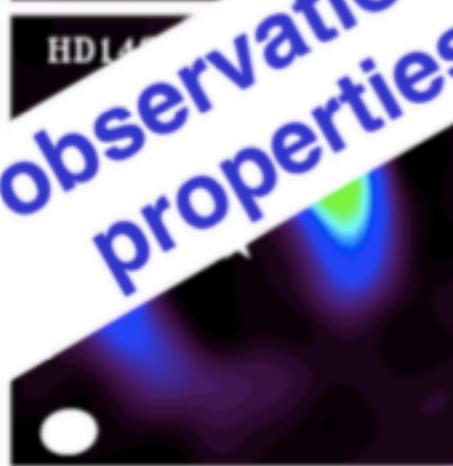
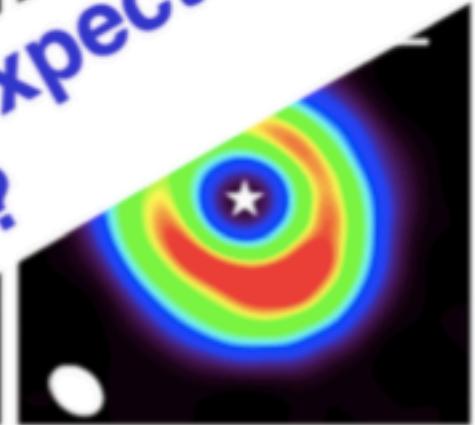
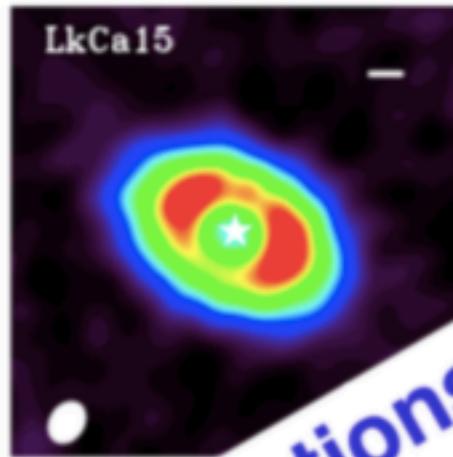
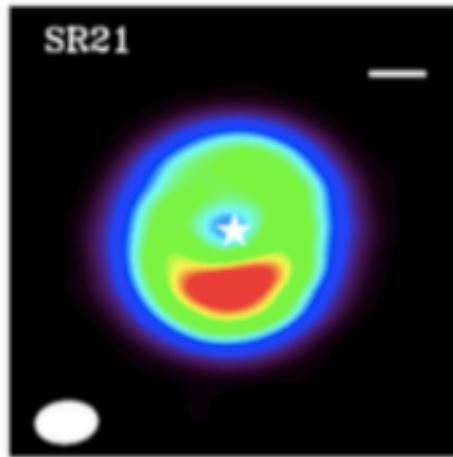
Collapse into Mars mass objects

(Lyra et al. 2008b, 2009a,  
Lambrechts & Johansen 2012)

## **“Asymmetries” everywhere**

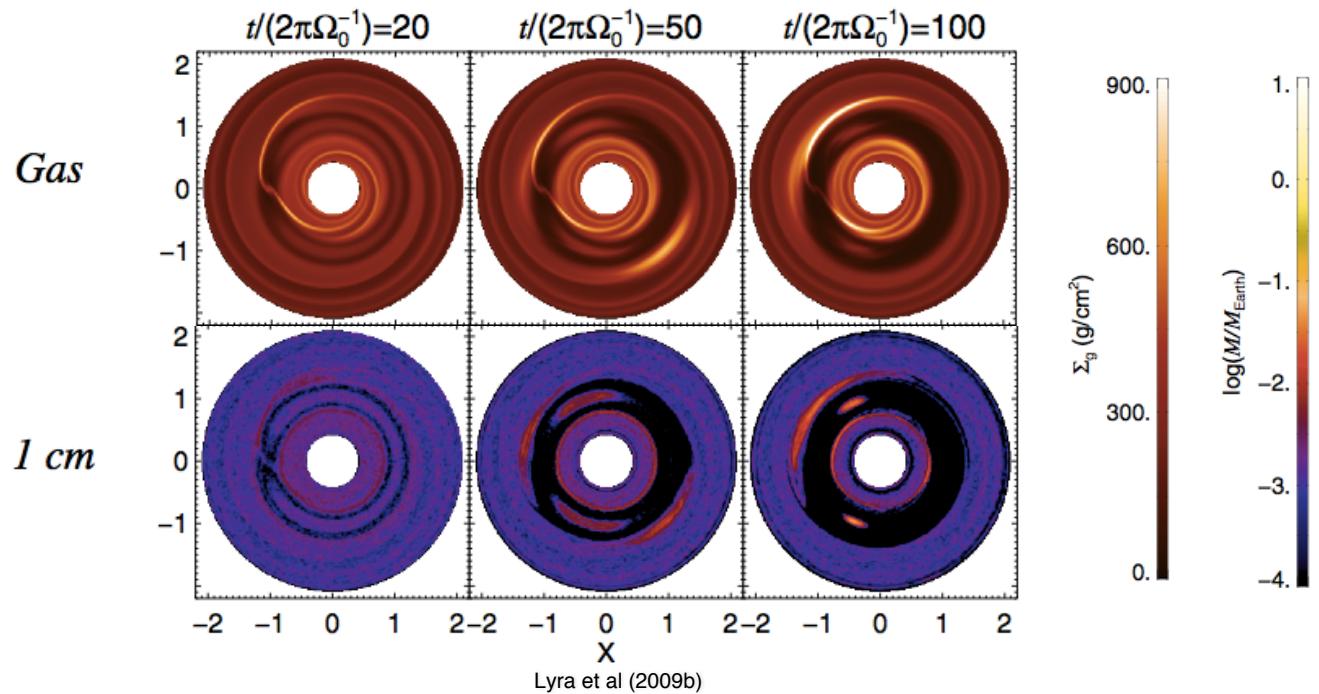


**“Asymmetries” everywhere**



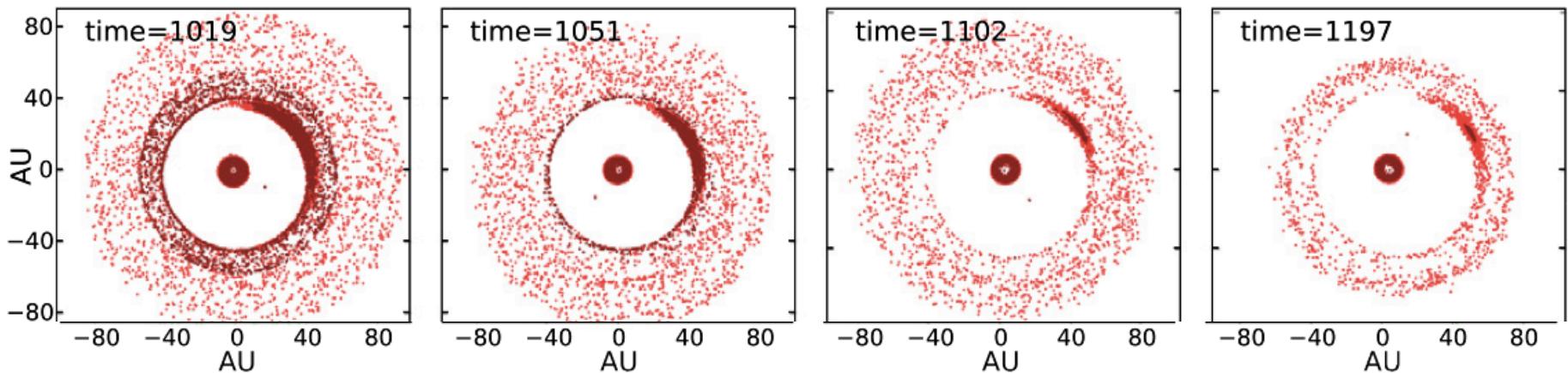
*Do the observations show the expected properties of vortices?*

# Dust Trapping



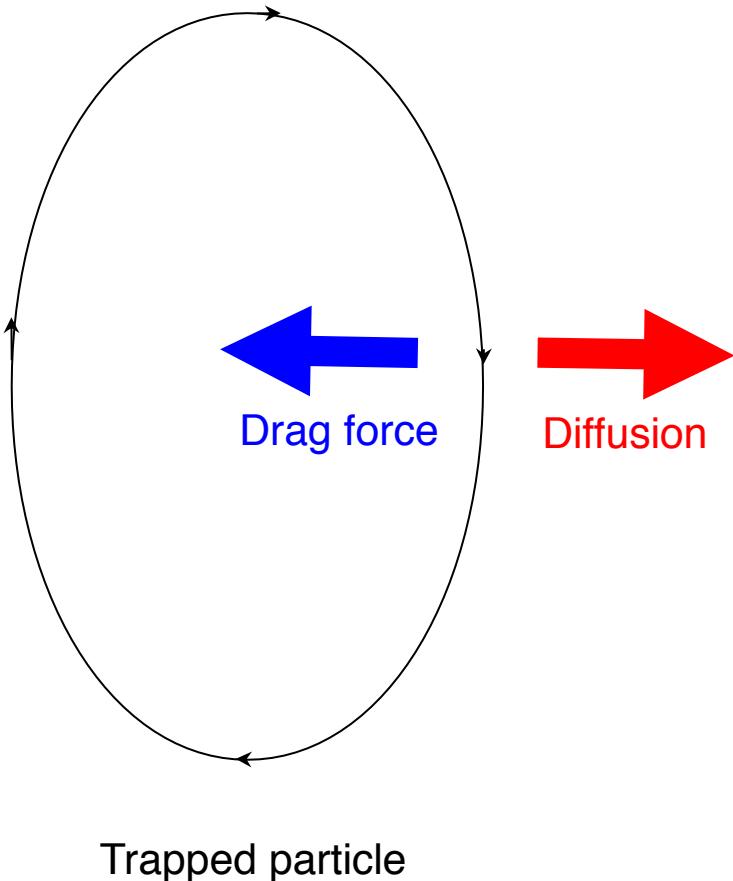
Lyra et al (2009b)

Turbulent “kicks” lead to steady state



Ataiee et al. (2013)

# Drag-Diffusion Equilibrium



Dust continuity equation

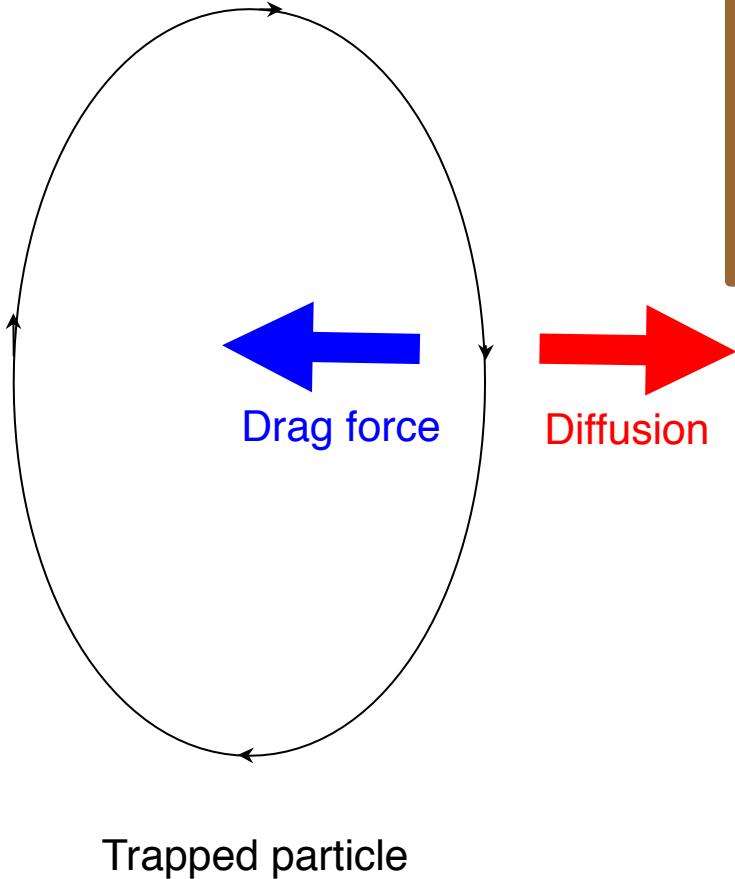
$$\frac{\partial \rho_d}{\partial t} = -(\mathbf{v} \cdot \nabla) \rho_d - \rho_d \nabla \cdot \mathbf{v} + D \nabla^2 \rho_d,$$

advection

compression

diffusion

# Drag-Diffusion Equilibrium



Steady-state solution

$$\rho_d(a,z) = \epsilon \rho_0 (S + 1)^{3/2} \exp \left\{ - \frac{[a^2 f^2(\chi) + z^2]}{2H^2} (S + 1) \right\}$$

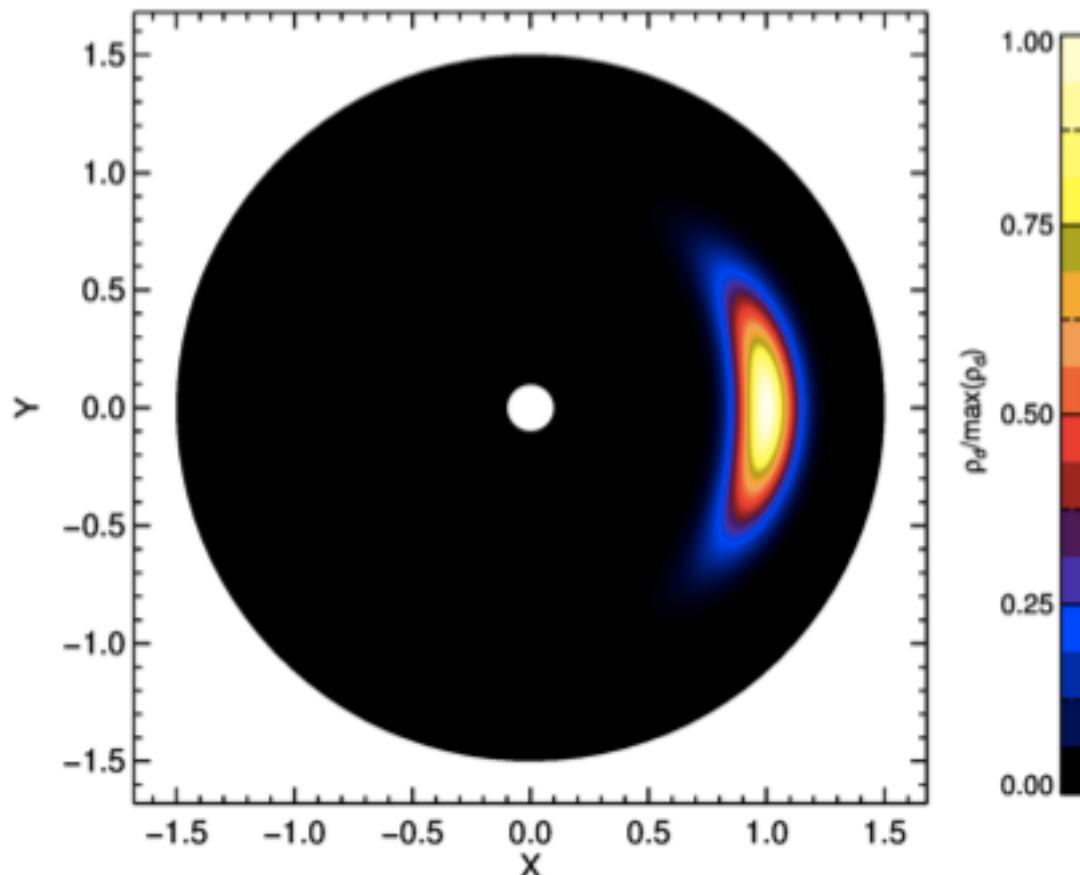
Lyra & Lin (2013)

$$S = \frac{St}{\delta}$$

$$\delta = v_{\text{rms}}^2 / c_s^2,$$

$a$  = vortex semi-minor axis  
 $H$  = disk scale height (temperature)  
 $\chi$  = vortex aspect ratio  
 $\delta$  = diffusion parameter  
 $St$  = Stokes number (particle size)  
 $f(\chi)$  = model-dependent scale function

# Analytical solution for dust trapping



Solution for

$$H/r=0.1 \quad \chi=4 \quad S=1$$

## Solution

$$\rho_d(a) = \rho_{d\max} \exp\left(-\frac{a^2}{2H_V^2}\right),$$

$$H_V = \frac{H}{f(\chi)} \sqrt{\frac{1}{S+1}}$$

$$S = \frac{St}{\delta}$$

$$\delta = v_{\text{rms}}^2 / c_s^2,$$

$a$  = vortex semi-minor axis  
 $H$  = disk scale height (temperature)  
 $\chi$  = vortex aspect ratio  
 $\delta$  = diffusion parameter  
St = Stokes number (particle size)  
 $f(\chi)$  = model-dependent scale function

## Derived quantities

$$\rho_d(a,z) = \epsilon \rho_0 (S+1)^{3/2} \exp \left\{ - \frac{[a^2 f^2(\chi) + z^2]}{2H^2} (S+1) \right\}$$

Lyra & Lin (2013)

Gas distribution

$$\rho_g(a) = \rho_{g\max} \exp \left( - \frac{a^2}{2H_g^2} \right),$$

Maximum dust density

$$\rho_{d\max} = \epsilon \rho_0 (S+1)^{3/2}$$

Gas contrast

$$\frac{\rho_{g\max}}{\rho_{g\min}} = \exp \left[ \frac{f^2(\chi)}{2\chi^2 \omega_V^2} \right],$$

Dust contrast

$$\frac{\rho_{d\max}}{\rho_{d\min}} = \frac{\rho_{g\max}}{\rho_{g\min}} \exp(S),$$

Total trapped mass

$$\int \rho_d(a,z) dV = (2\pi)^{3/2} \epsilon \rho_0 \chi H H_g^2$$

Vortex size

$$a_s = H(\chi \omega_V)^{-1}$$

$H$  = disk scale height (temperature)

$\chi$  = vortex aspect ratio

$\delta$  = diffusion parameter

St = Stokes number (particle size)

$f(\chi)$  = model-dependent scale function

$\epsilon$  = dust-to-gas ratio

## Applying the model to Oph IRS 48

### Observed parameters

Aspect ratio: 3.1

Dust contrast: 130

Temperature: 60K

**Trapped mass:  $9 M_{Earth}$**

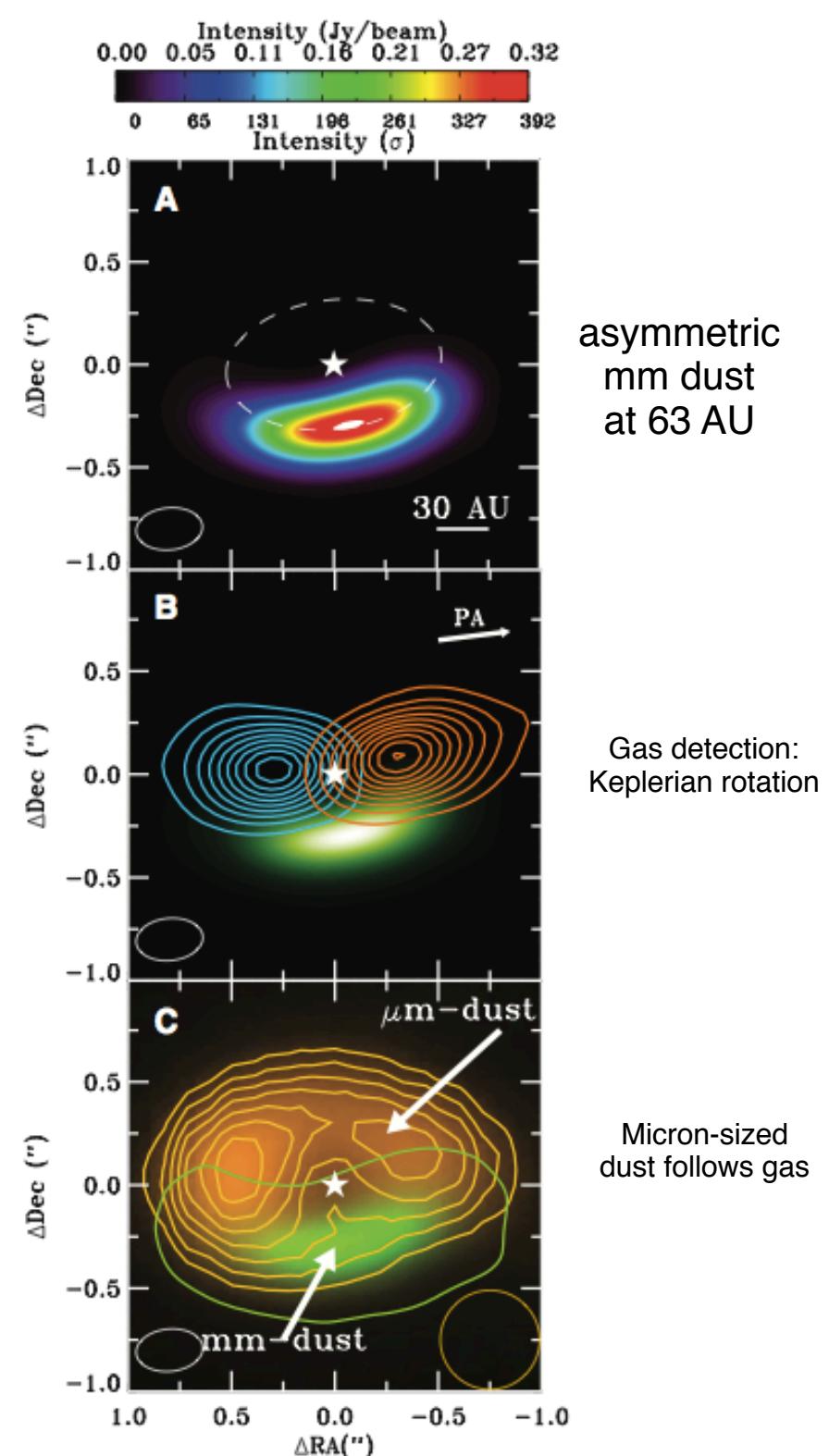
### Derived parameters

$S=4.8$

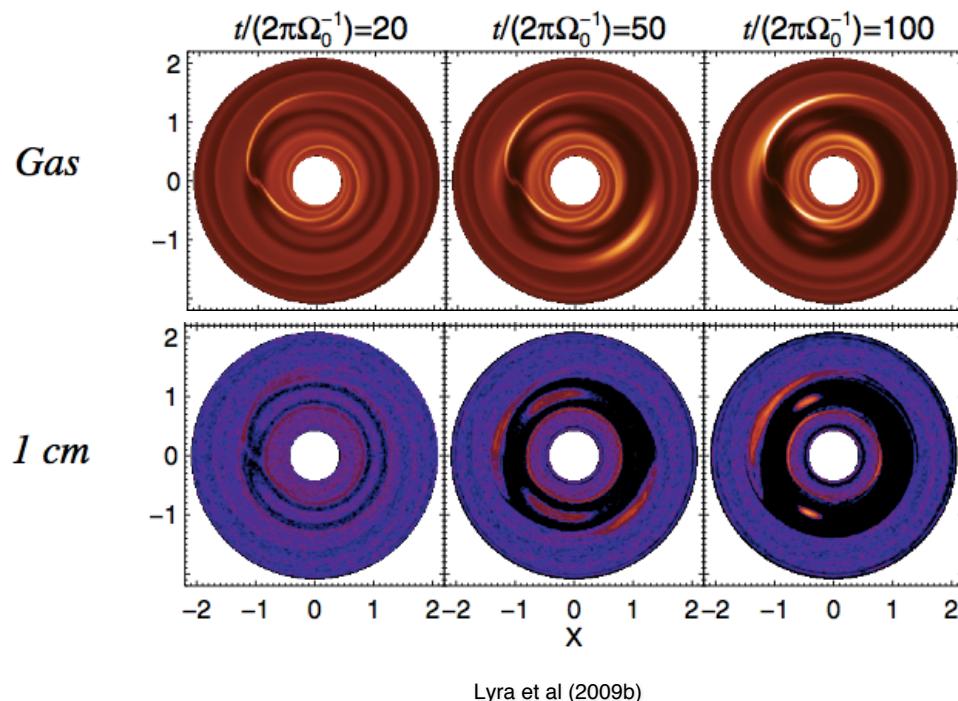
Stokes number,  $St=0.008$

$\delta = 0.005$ ,  $V_{rms} = 4\% Cs$

**Trapped mass:  $11 M_{Earth}$**



# Planetary gap vortices: Chicken or the Egg problem



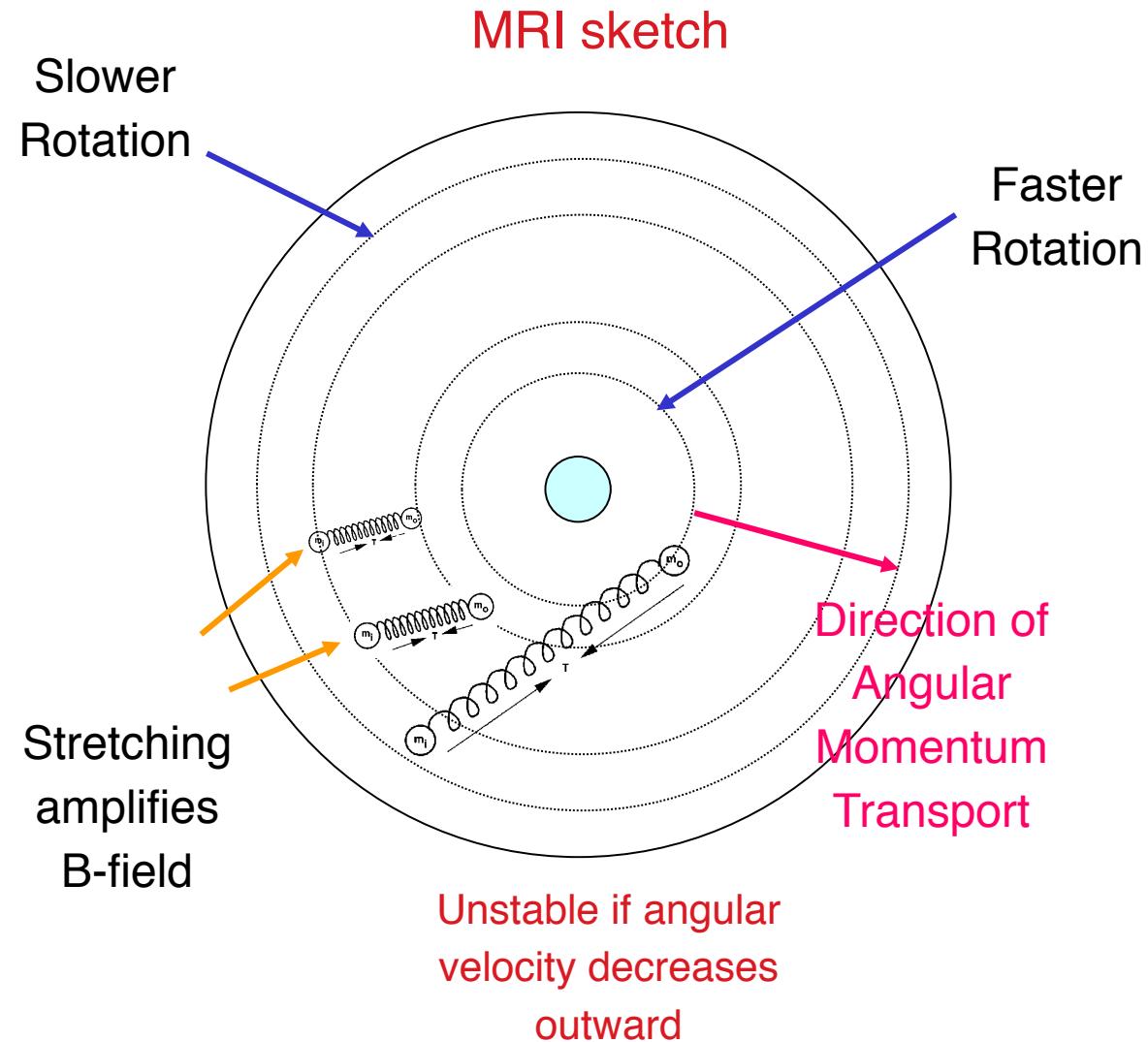
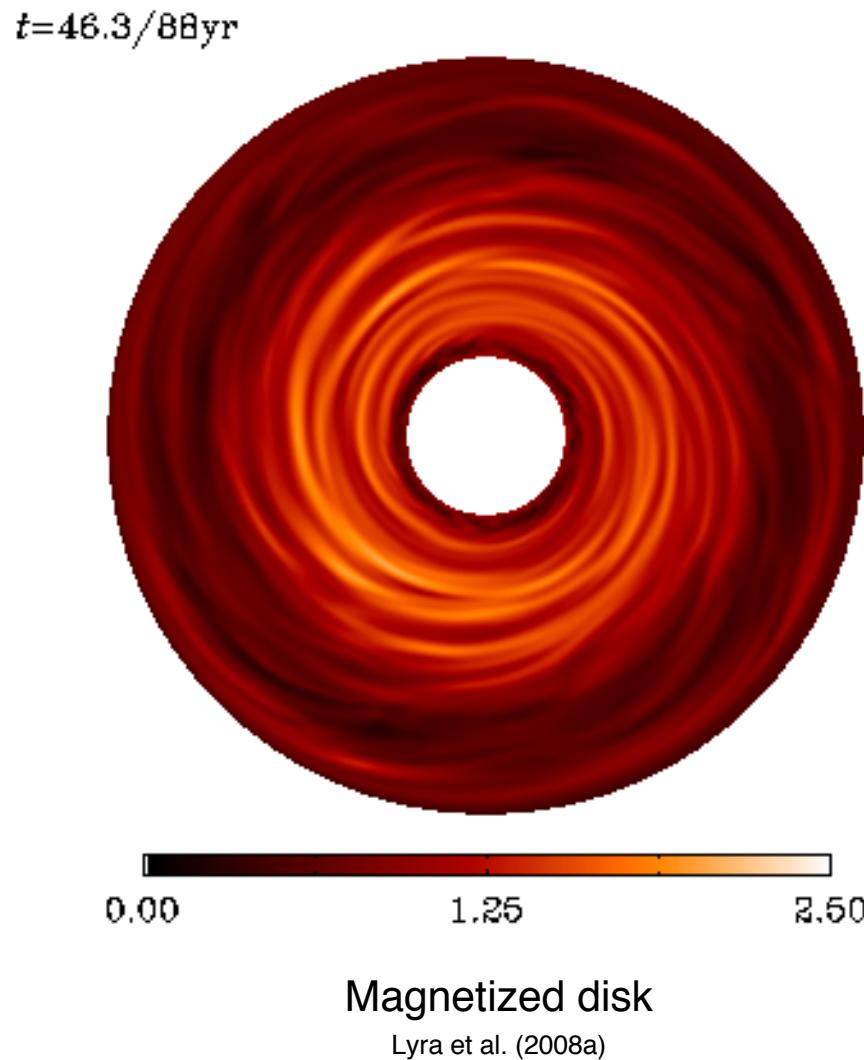
Does the observational detection of a particle trap in IRS 48 imply that traps are the answer to surmounting the radial drift barrier and allowing planet formation? Not immediately. Particle traps solve theoretical problems in planet formation that exist at millimeter to meter scales, and they are no solution at all if the only way to form them requires that gas giant planets already exist. The trap observed in the IRS 48 disk might instead catalyze the formation of additional

Armitage (2013)

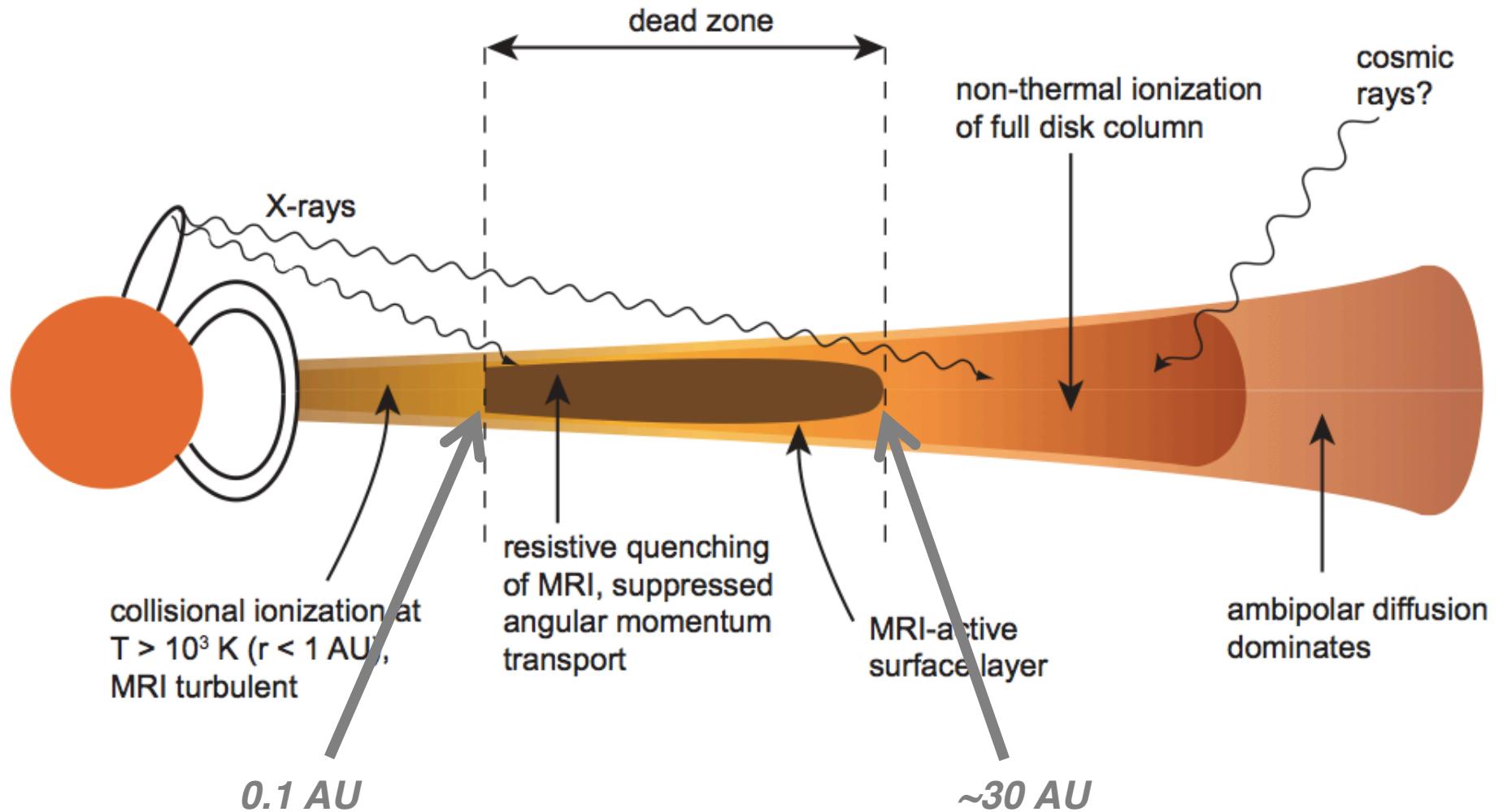
# **Turbulence and Accretion in 3D Global MHD Simulations of Stratified Protoplanetary Disk**

# Magneto-Rotational Instability

Turbulence in disks is enabled by the  
Magneto-Rotational Instability (Balbus & Hawley, 1991)

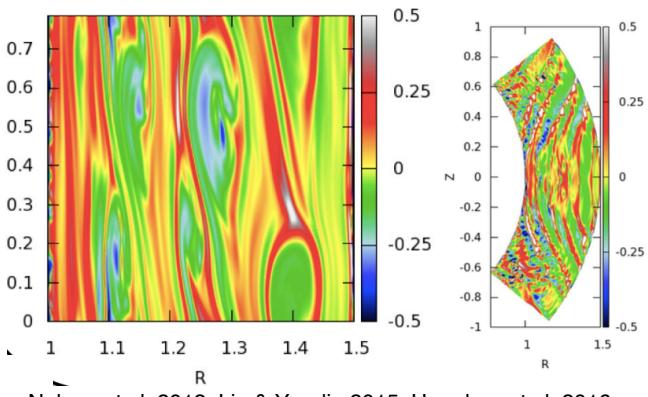


# Dead zones

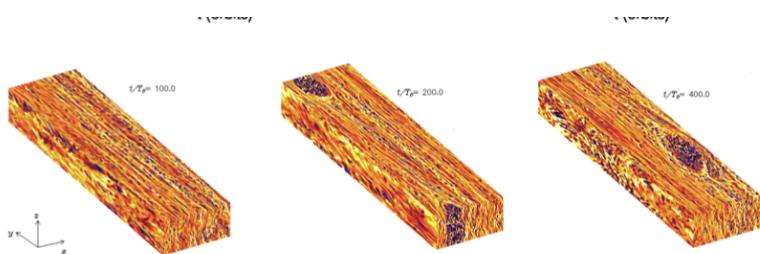


# Thermal Instabilities

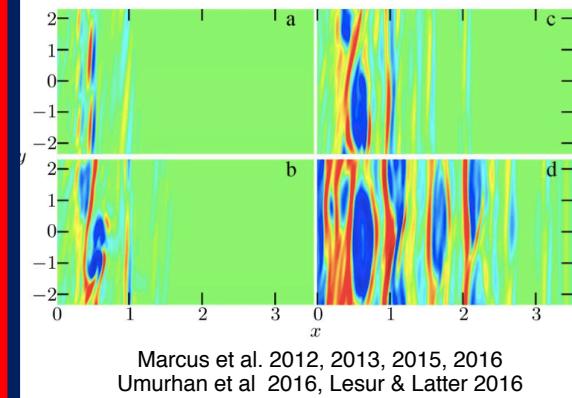
## Vertical Shear Instability



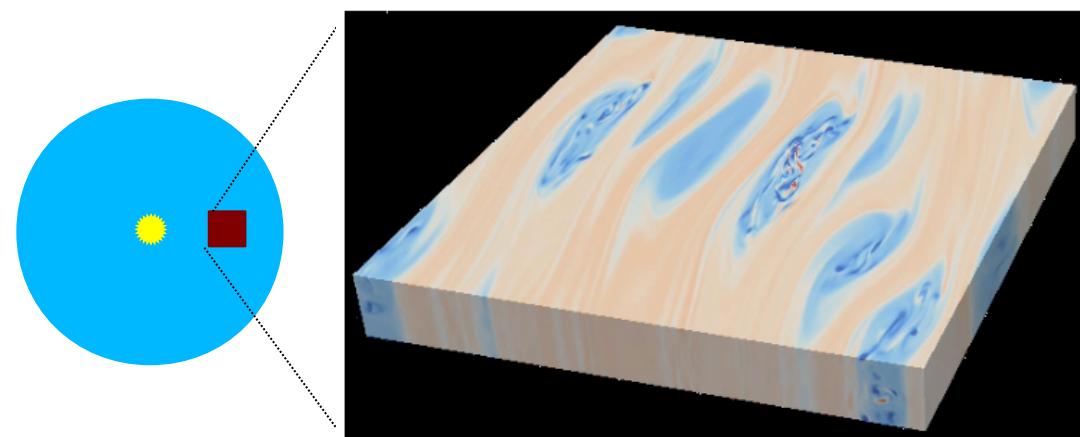
## Convective Overstability



## Zombie Vortex Instability

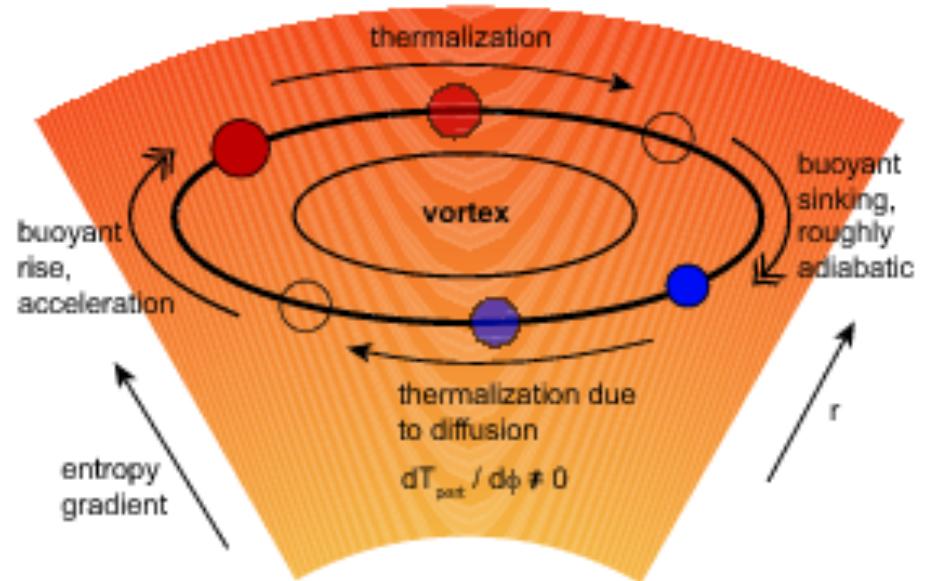


# Convective Overstability (née “Subcritic Baroclinic Instability”)



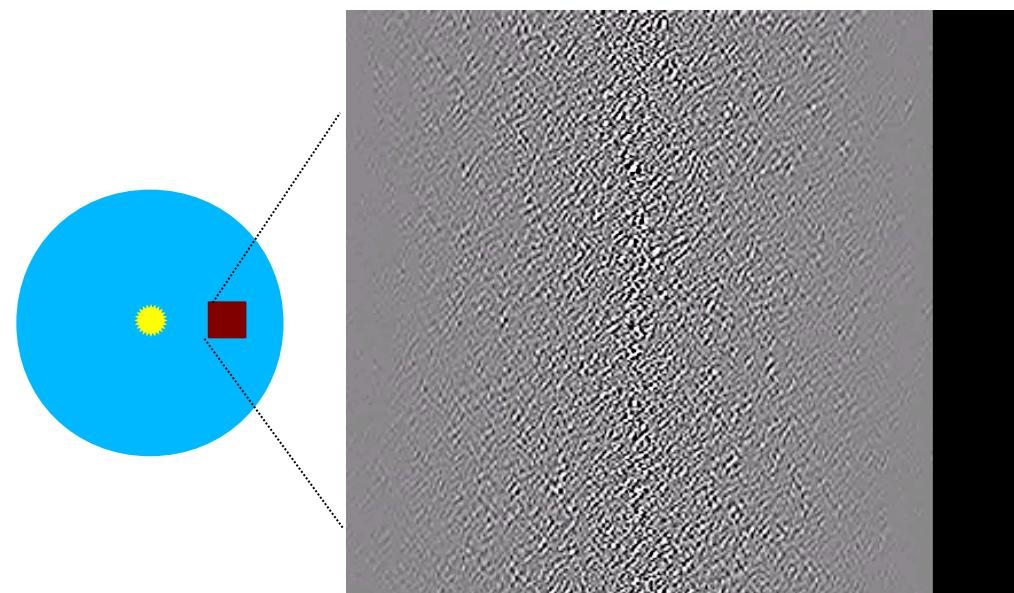
Lesur & Papaloizou (2010)

Sketch of the  
Subcritic Baroclinic Instability



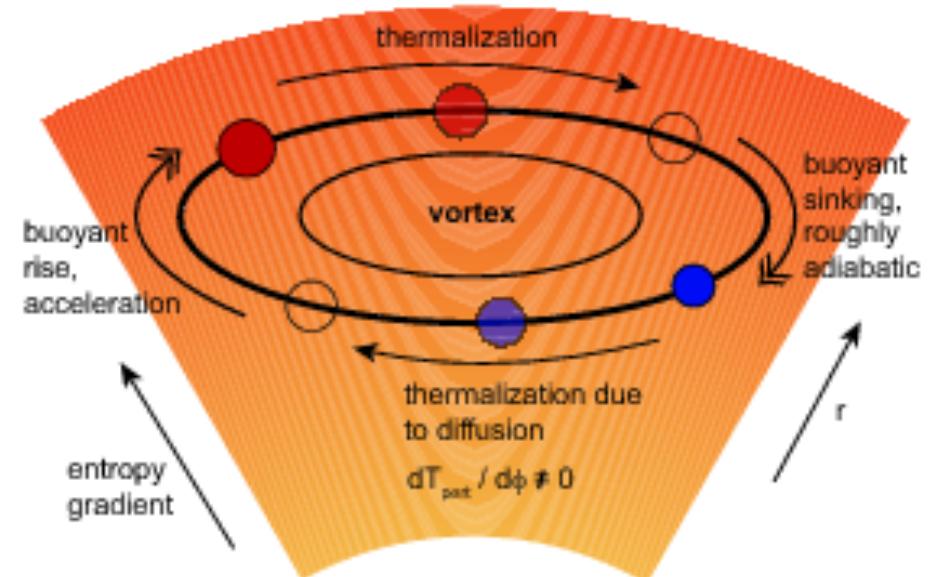
Armitage (2010)

# Convective Overstability (née “Subcritic Baroclinic Instability”)



Lyra & Klahr (2011)

## Sketch of the Subcritic Baroclinic Instability



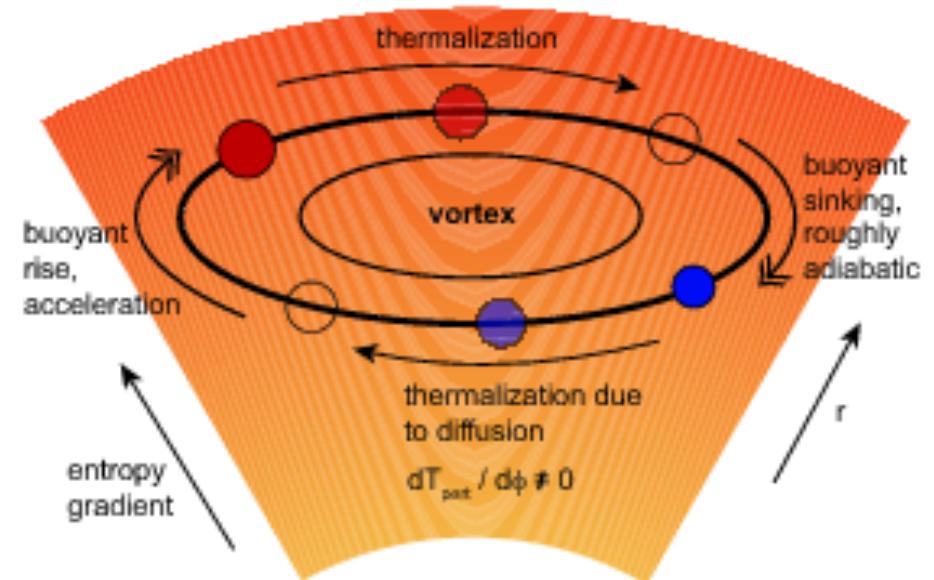
Armitage (2010)

# Convective Overstability (née “Subcritic Baroclinic Instability”)

Sketch of the  
Subcritic Baroclinic Instability

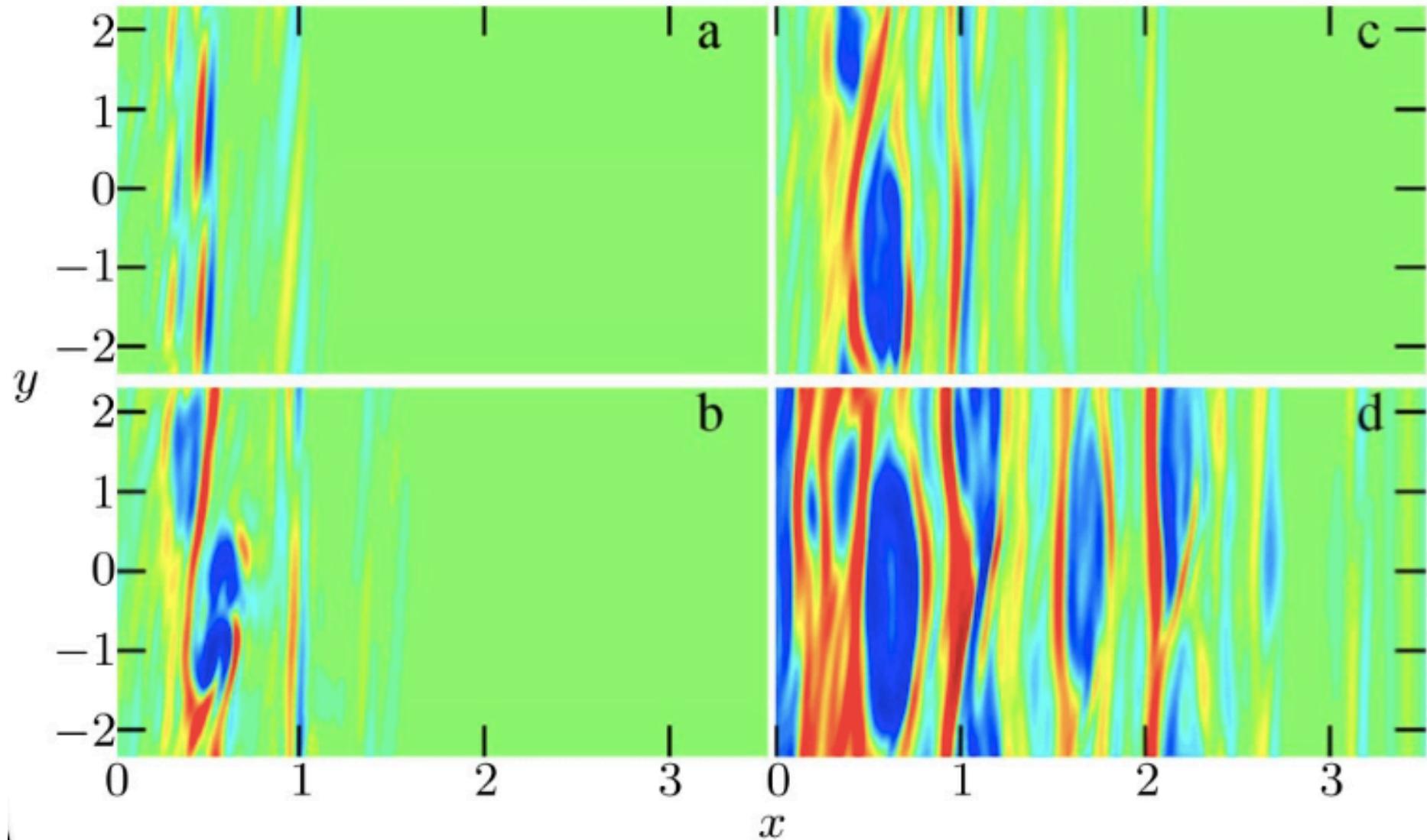
1. *Radial entropy gradient*
2. *Finite cooling time*

Lesur & Papaloizou (2010)



Armitage (2010)

# Zombie Vortex Instability



Cascade of baroclinic critical layers

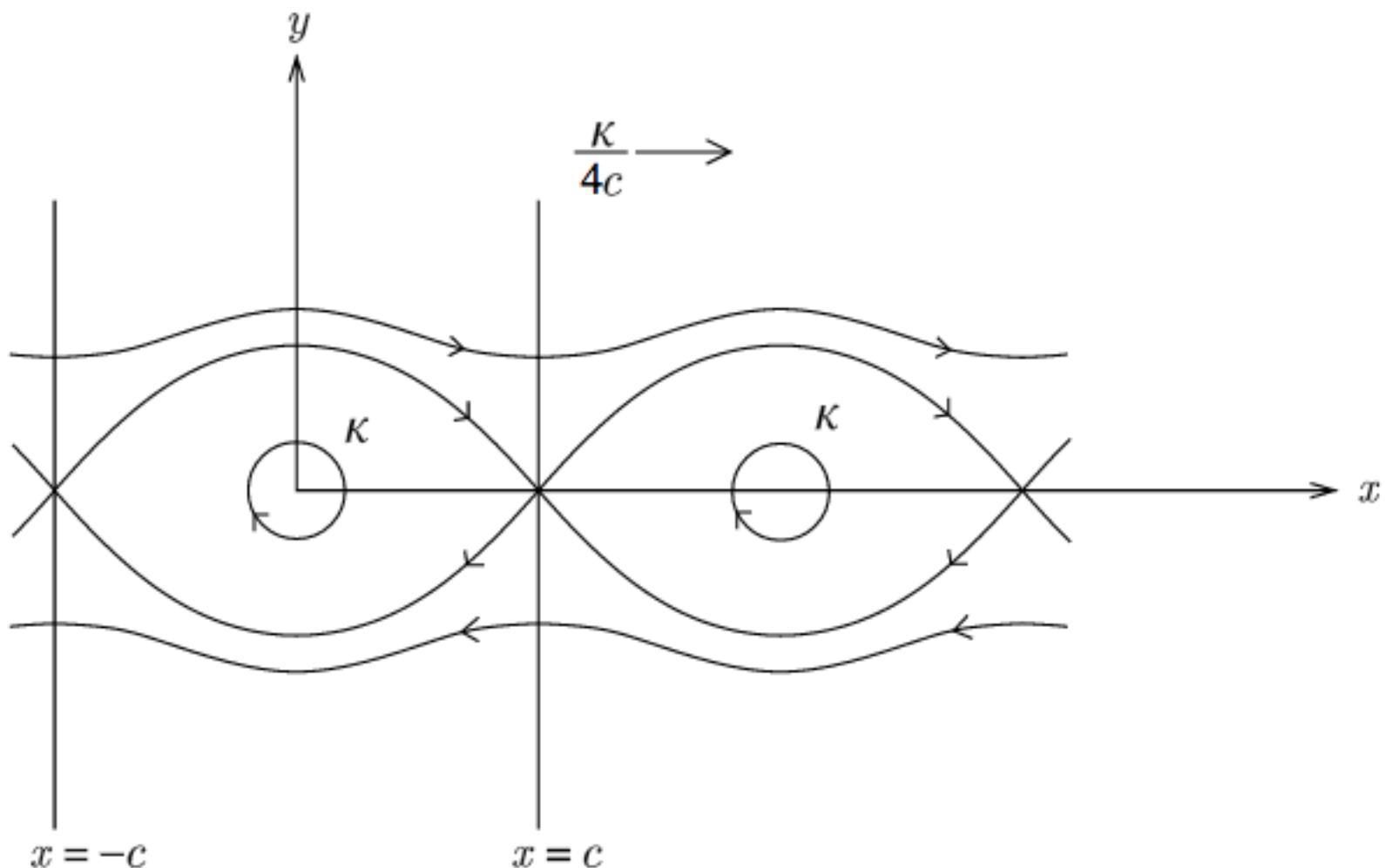


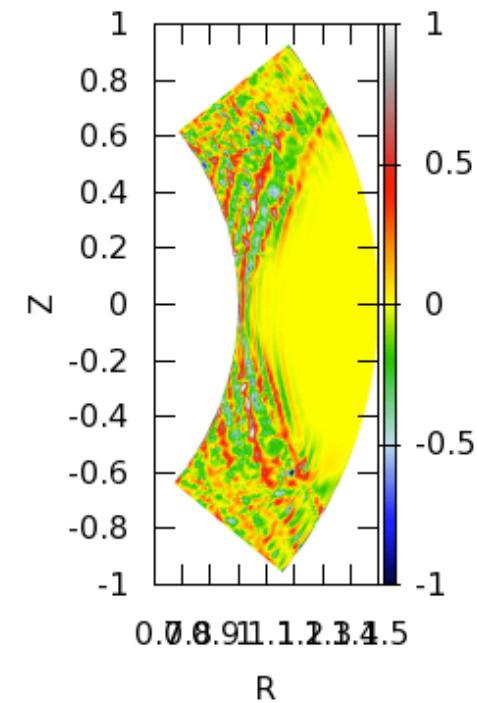
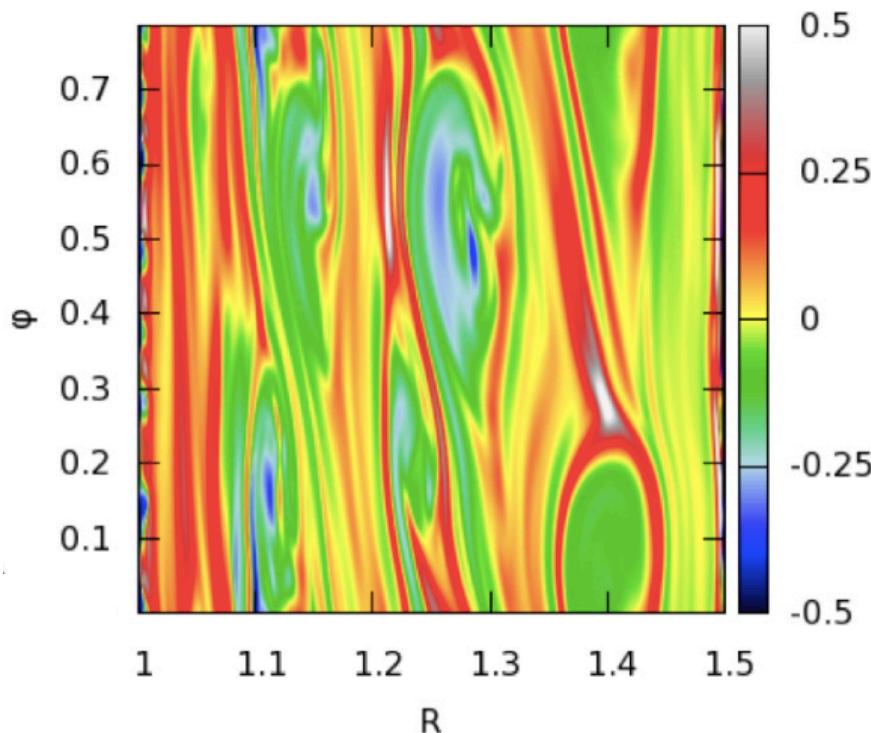
Figure 1. Notation and cat's-eye streamlines.

# Vertical shear instability

$$\rho_{\text{mid}} = \rho_0 \left( \frac{R}{R_0} \right)^p, \quad \Omega = \Omega_K \left[ 1 + \frac{1}{2} \left( \frac{H}{R} \right)^2 \left( p + q + \frac{q}{2} \frac{Z^2}{H^2} \right) \right]$$

$$c_s^2 = c_0^2 \left( \frac{R}{R_0} \right)^q,$$

$d\Omega / dz \neq 0 ; \kappa_z^2 < 0 \Rightarrow \text{Rayleigh unstable}$



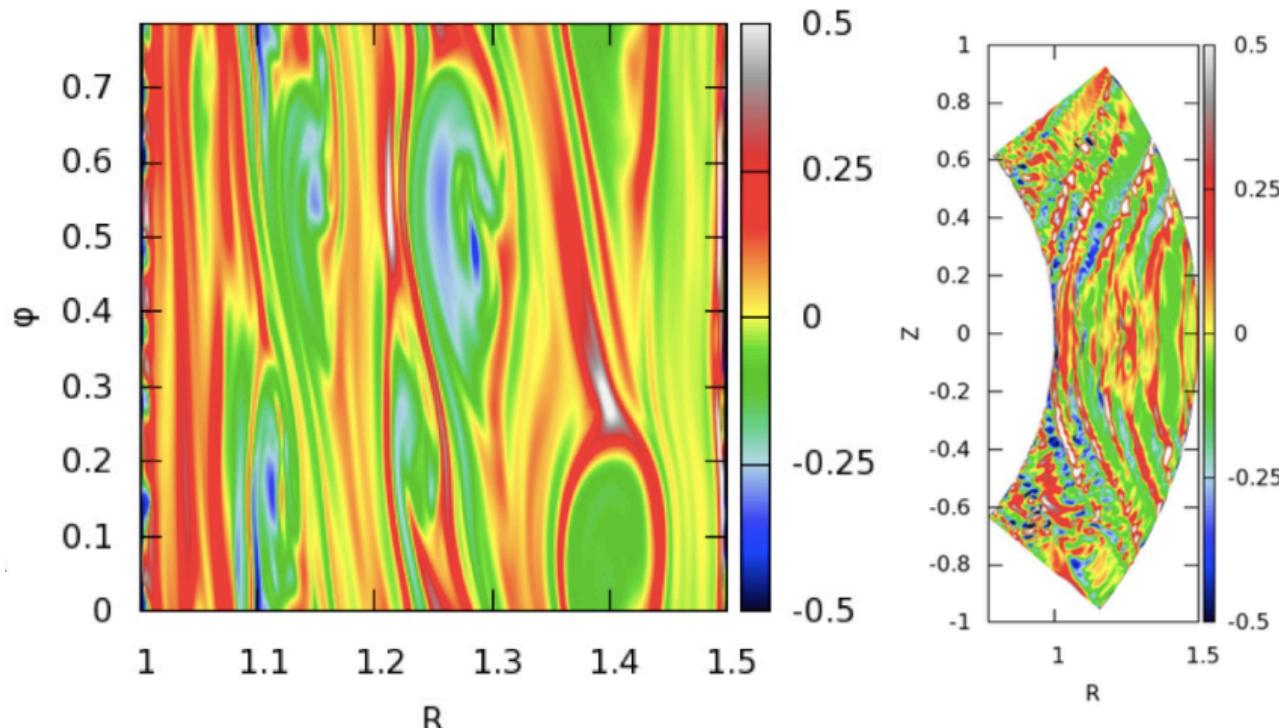
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$$c_s^2 = c_0^2 \left( \frac{R}{R_0} \right)^q,$$

$d\Omega / dz \neq 0 ; \kappa_z^2 < 0 \Rightarrow$  Rayleigh unstable

Solberg-Hoiland stability criterion  
 $\kappa^2 + N^2 > 0$



# Vertical shear instability

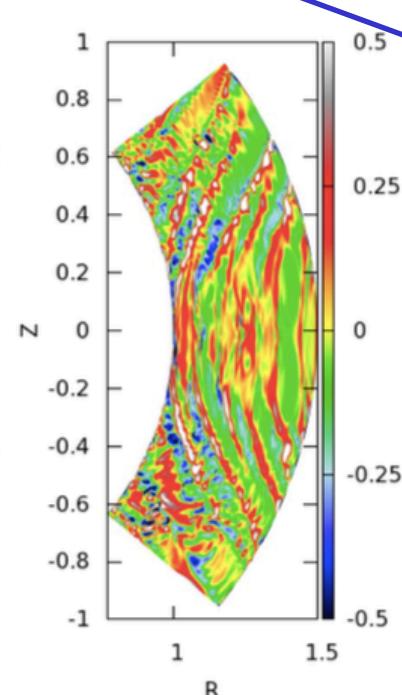
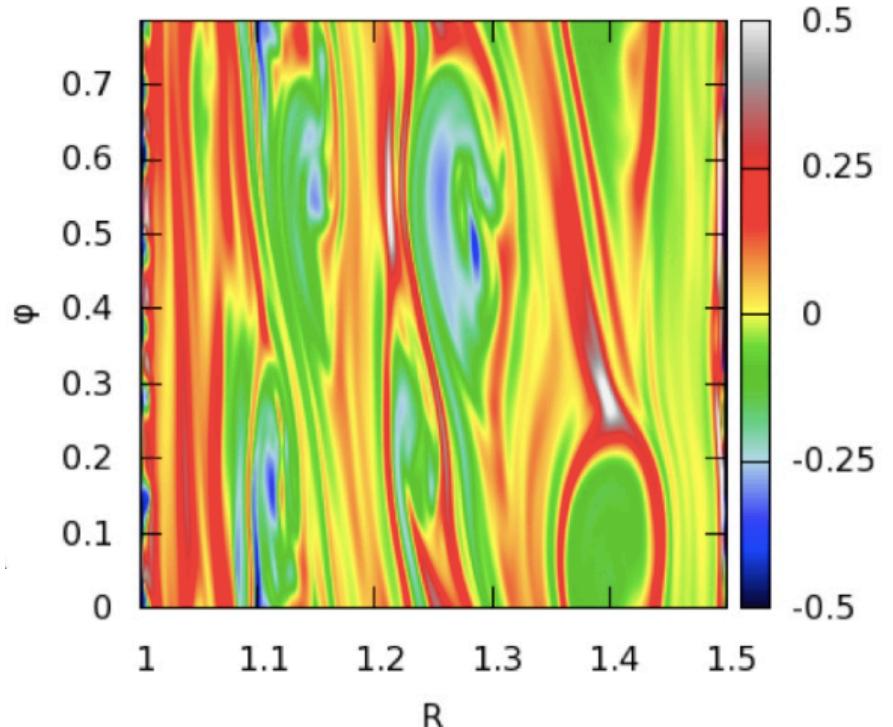
$$\rho_{\text{mid}} = \rho_0 \left( \frac{R}{R_0} \right)^p, \quad \Omega = \Omega_K \left[ 1 + \frac{1}{2} \left( \frac{H}{R} \right)^2 \left( p + q + \frac{q}{2} \frac{Z^2}{H^2} \right) \right]$$

$$c_s^2 = c_0^2 \left( \frac{R}{R_0} \right)^q,$$

$d\Omega / dz \neq 0 ; \kappa_z^2 < 0 \Rightarrow$  Rayleigh unstable

Solberg-Hoiland stability criterion

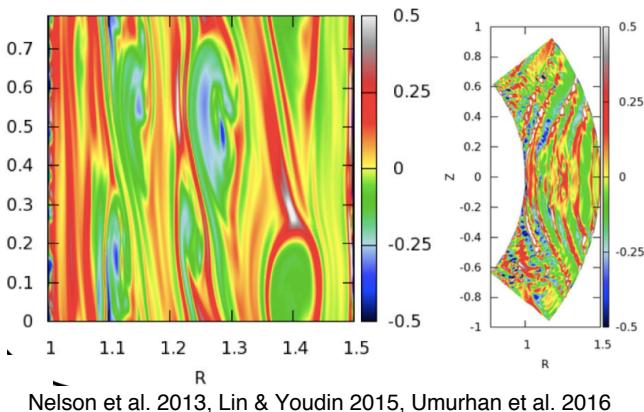
$$\kappa^2 + N^2 > 0$$



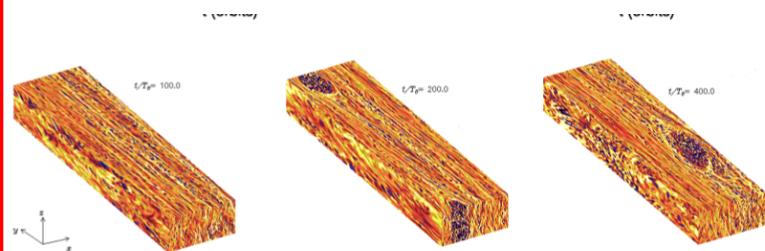
Buoyancy stabilizes!

# Thermal Instabilities

## Vertical Shear Instability

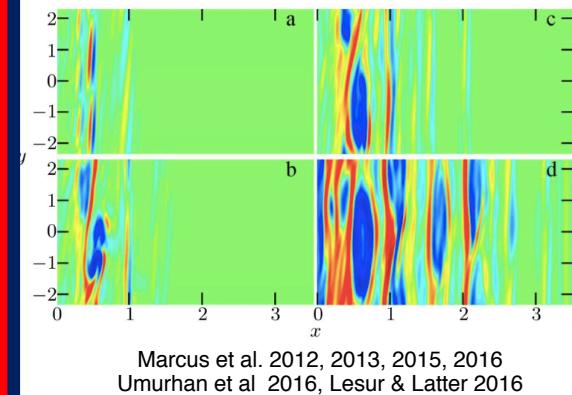


## Convective Overstability



Klahr 2003, Lesur & Papaloizou 2010, Lyra & Klahr 2011, Lyra 2014

## Zombie Vortex Instability



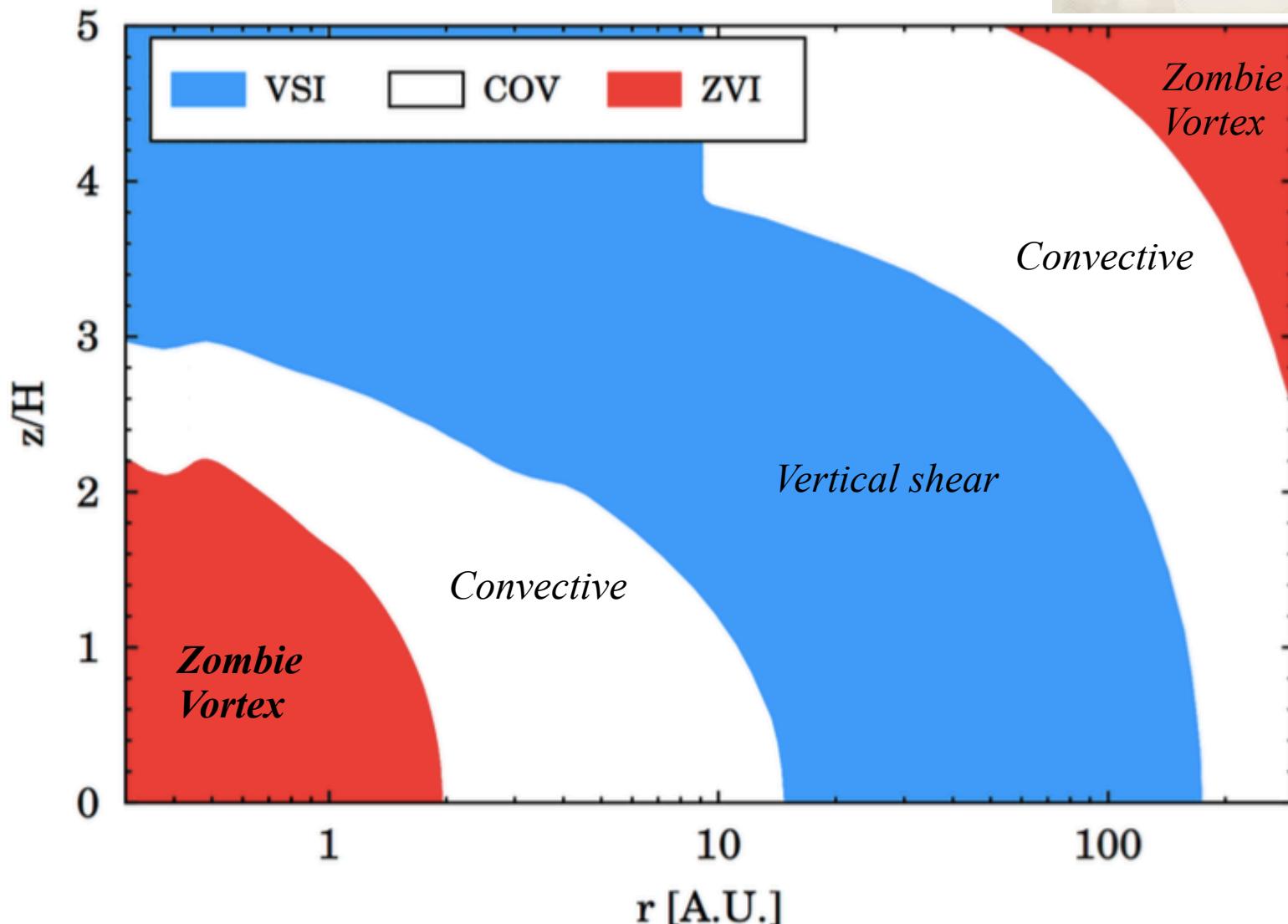
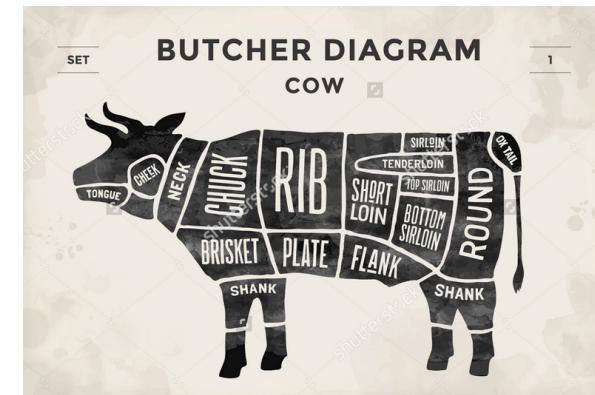
$\Omega\tau \ll 1$   
( $\kappa < 1 \text{ cm}^2/\text{g}$ )

$\Omega\tau \sim 1$   
( $\kappa \sim 1\text{--}50 \text{ cm}^2/\text{g}$ )

$\Omega\tau \gg 1$   
( $\kappa > 50 \text{ cm}^2/\text{g}$ )

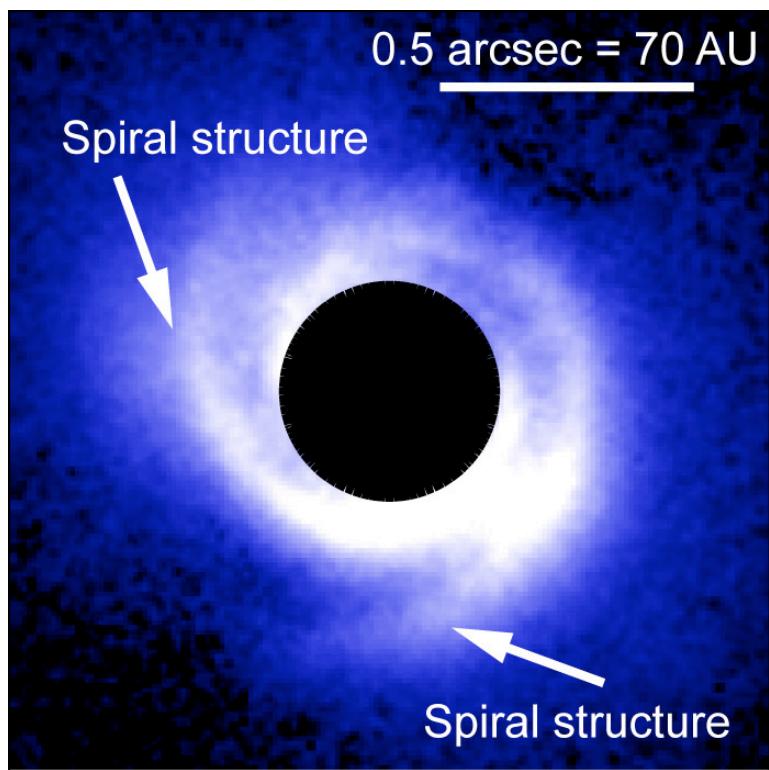
# Synthesis

A “butcher diagram” for hydro instabilities.



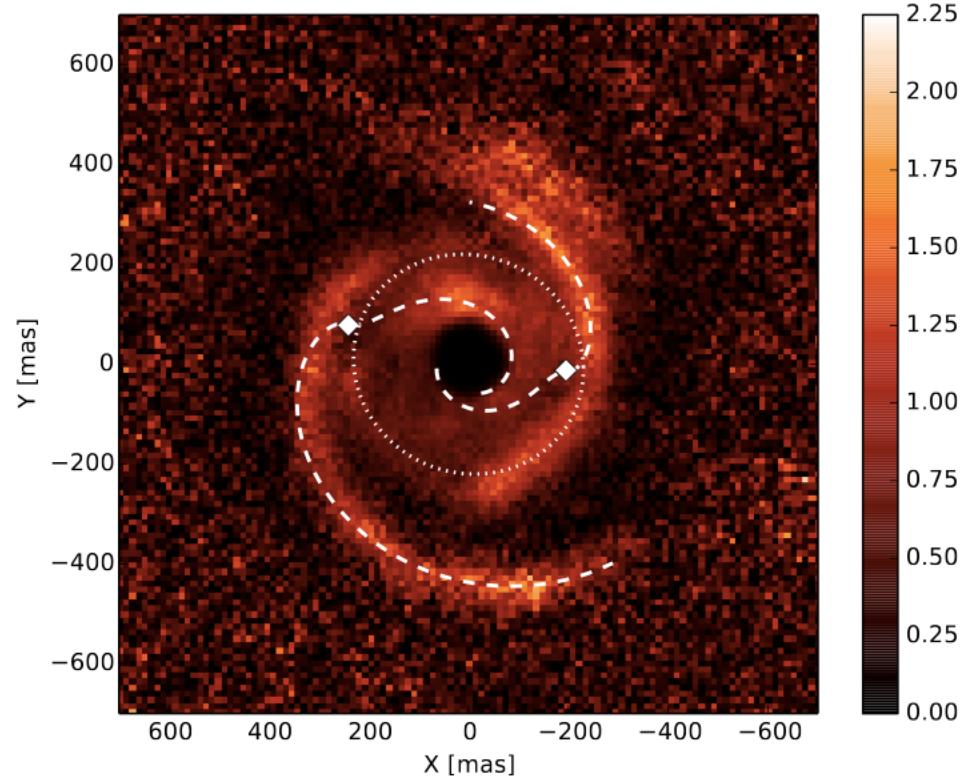
# Observational Evidence: Spirals

SAO 206462



Muto et al. (2012)

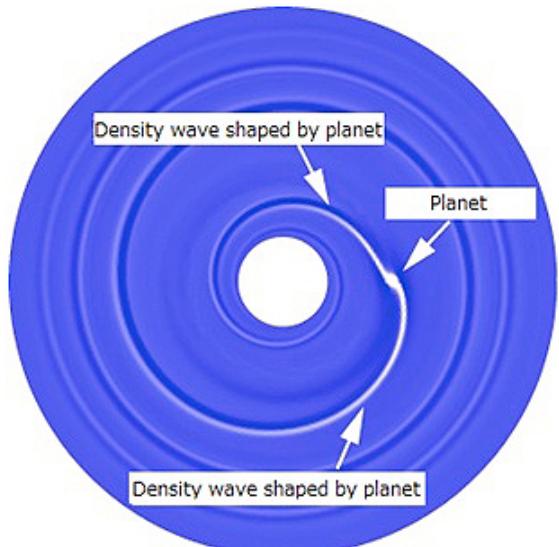
MWC 748



Benisty et al. (2015)

# Spiral arm fitting leads to problems

## Analytical spiral fit

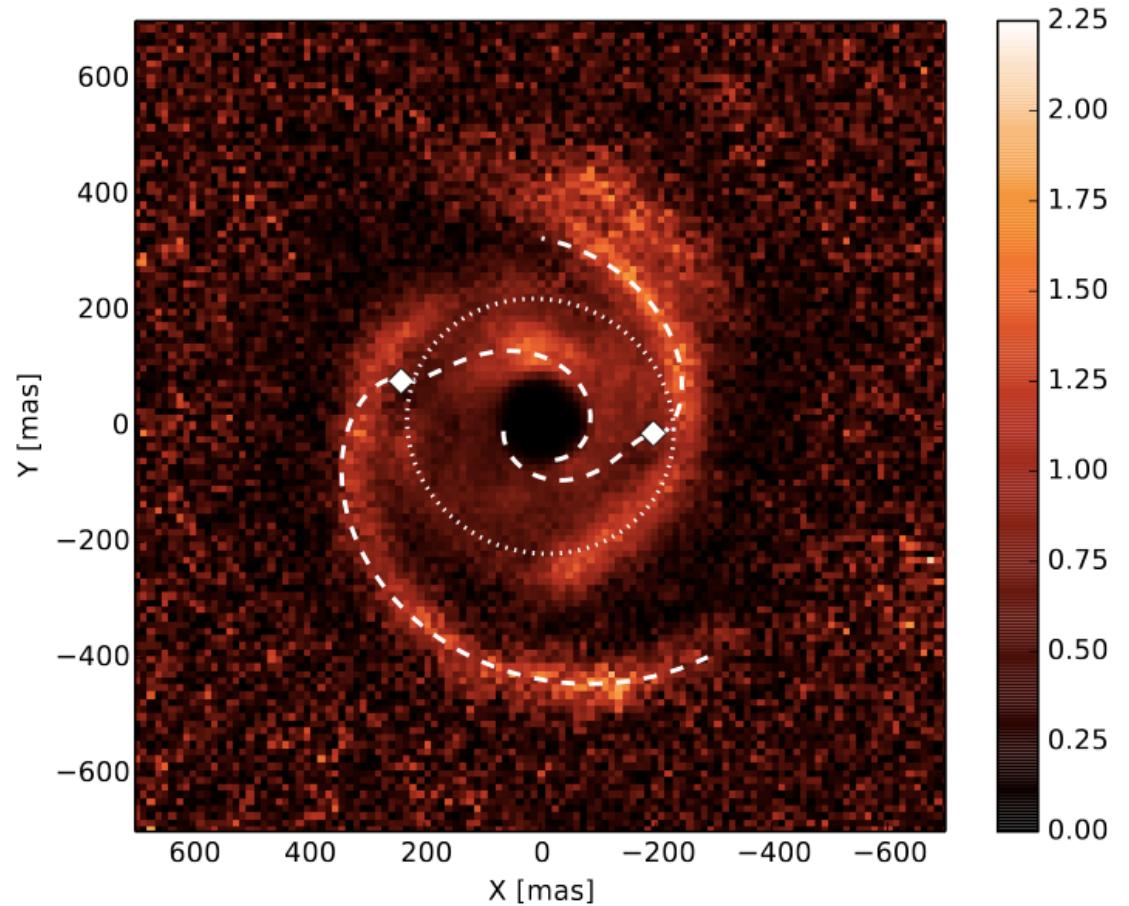


$$\theta(r) = \theta_c + \frac{\text{sgn}(r - r_c)}{h_c} \times \left\{ \left( \frac{r}{r_c} \right)^{1+\beta} \left[ \frac{1}{1+\beta} - \frac{1}{1-\alpha+\beta} \left( \frac{r}{r_c} \right)^{-\alpha} \right] - \left( \frac{1}{1+\beta} - \frac{1}{1-\alpha+\beta} \right) \right\},$$

Rafikov (2002)

Muto et al. (2012)

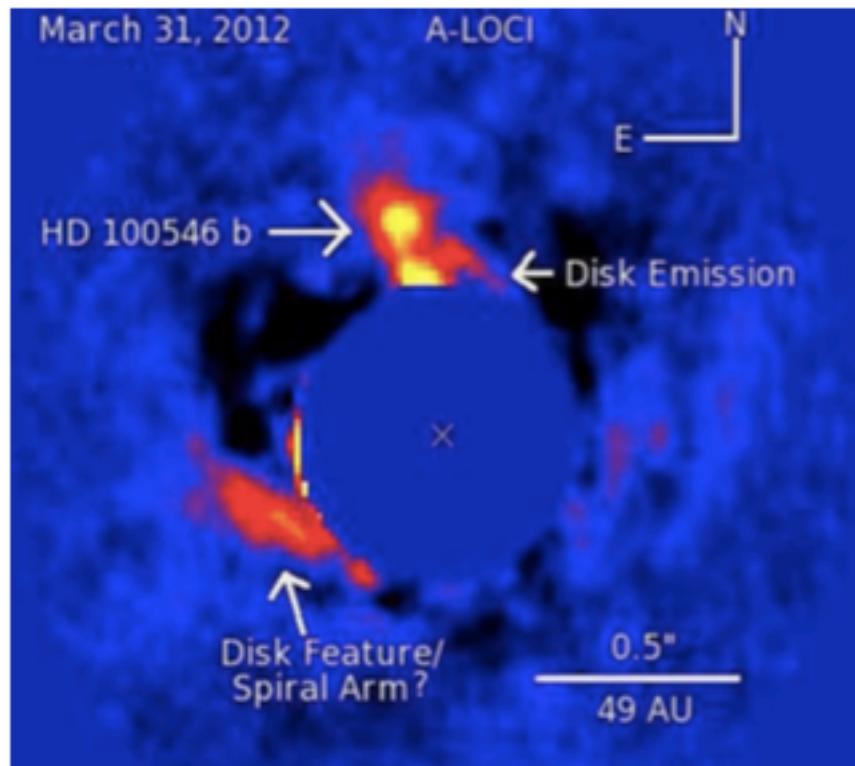
Spirals are **too wide**,  
**hotter** (300K) than ambient gas (50K).



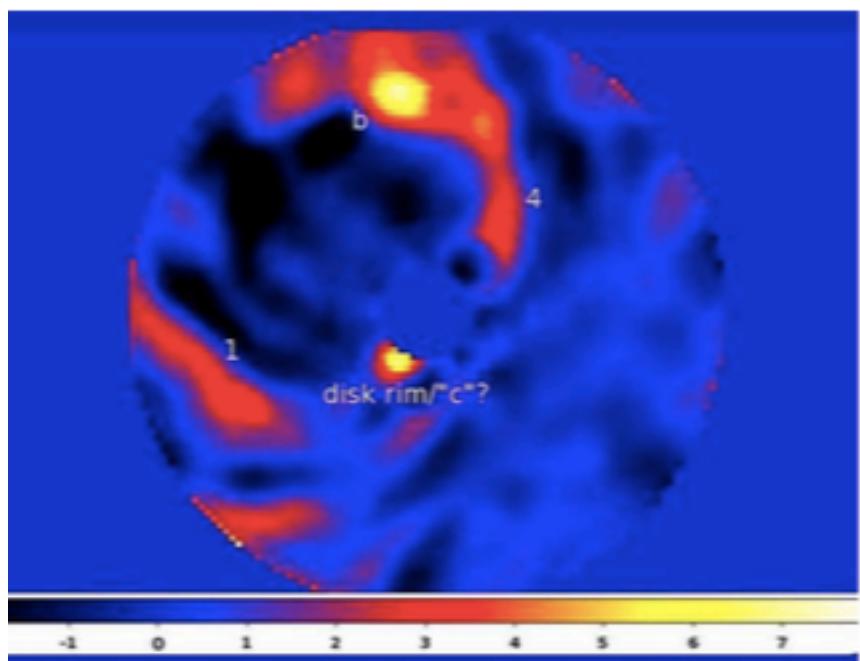
Benisty et al. (2015)

# The strange case of thermal emission in HD 100546

L band ( $\sim 3.5 \mu\text{m}$ )

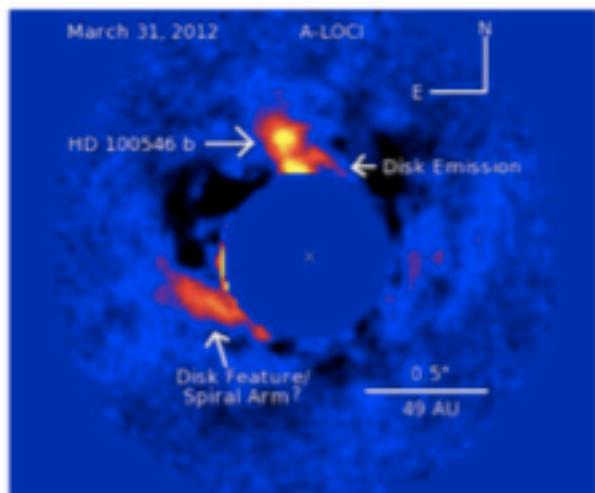


H band ( $\sim 1.6 \mu\text{m}$ )

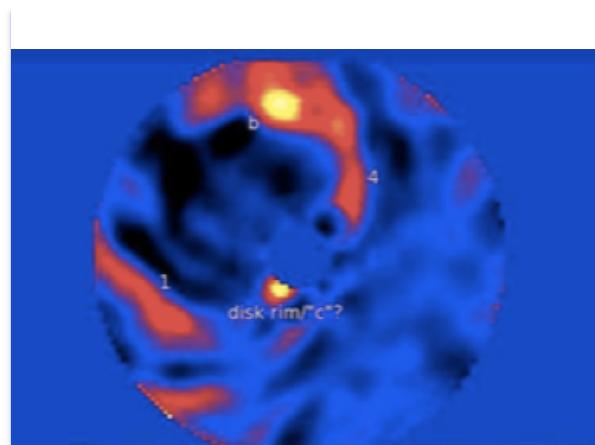


Currie et al. (2014), Currie et al. (2015)

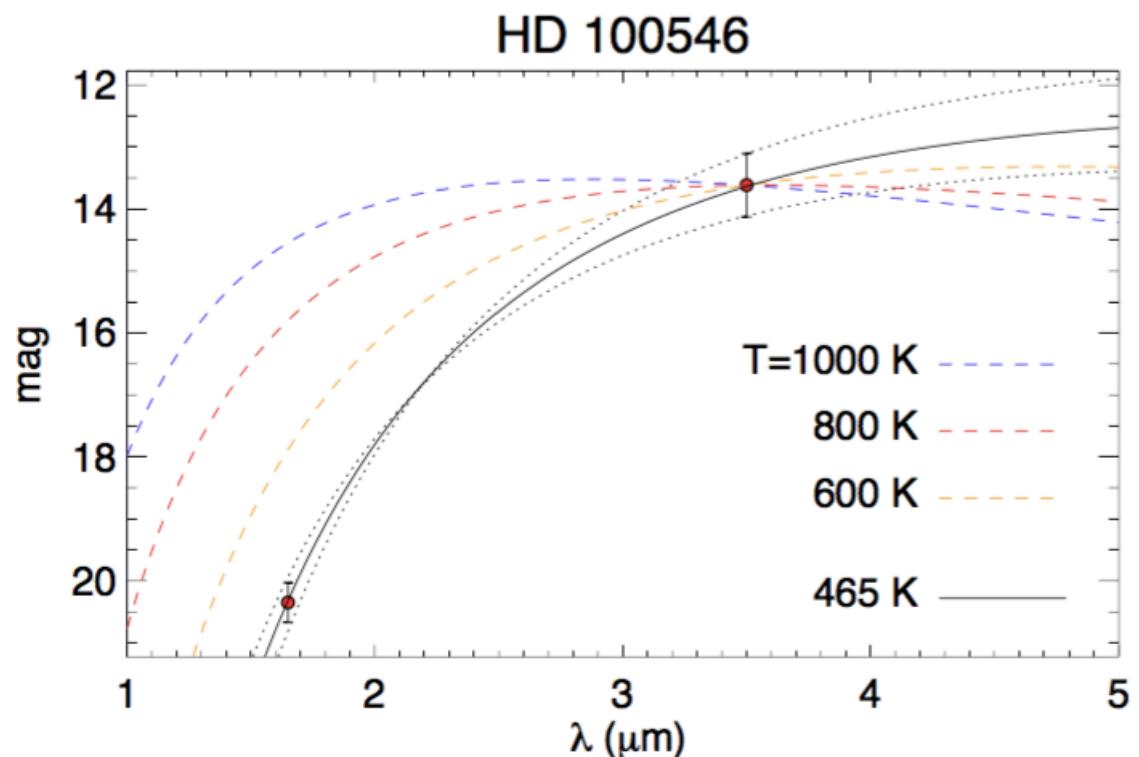
## Pinning down the temperature



L band

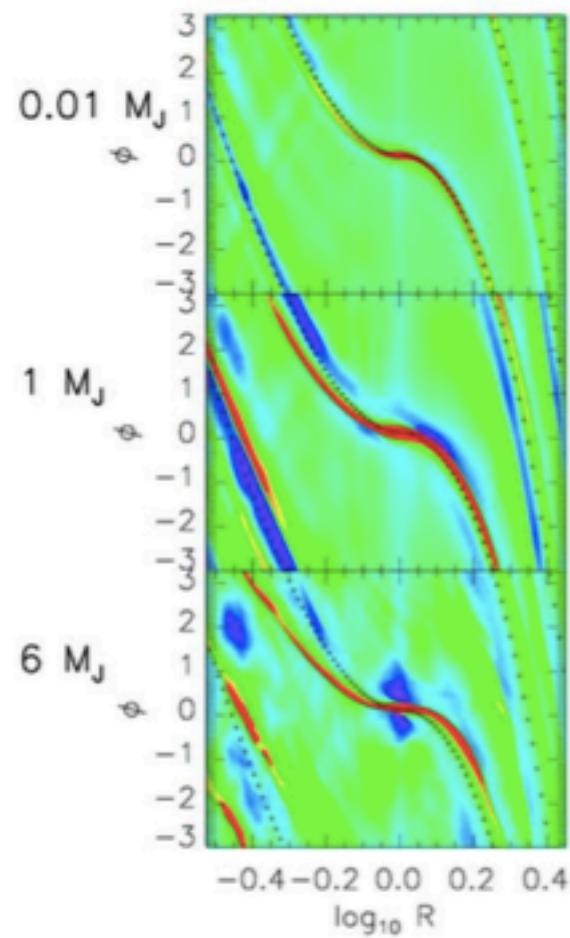


H band



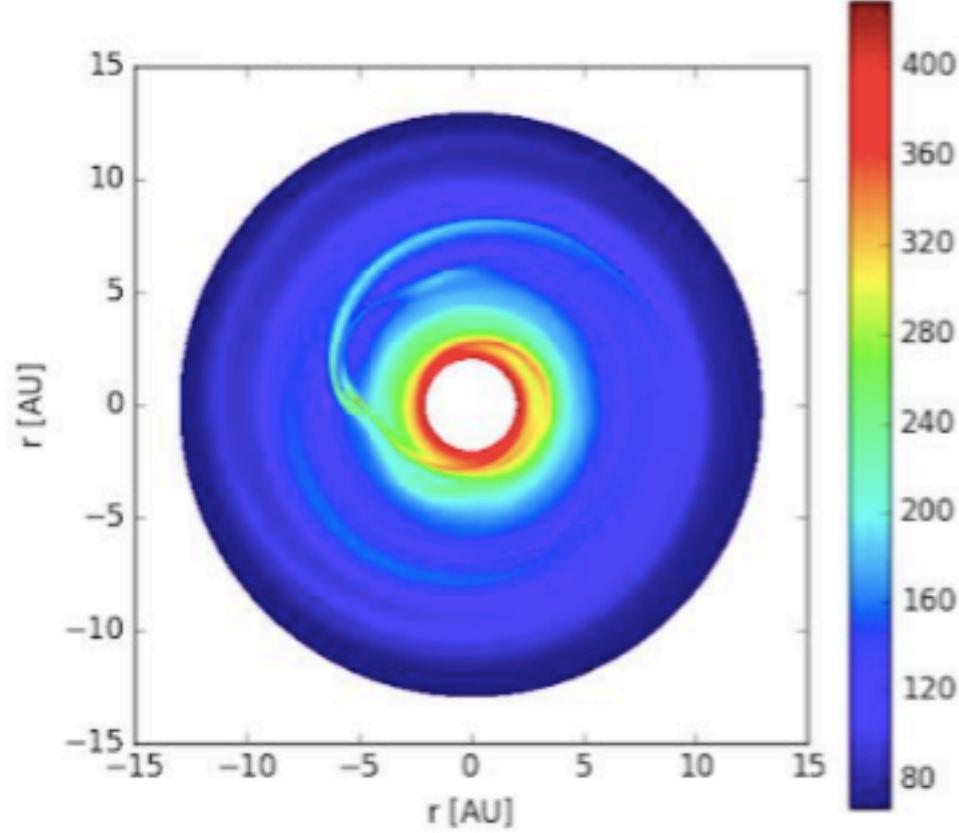
Lyra et al. (2016)

# Supersonic Wakes of High Mass Planets



Density

Zhu et al. (2015)

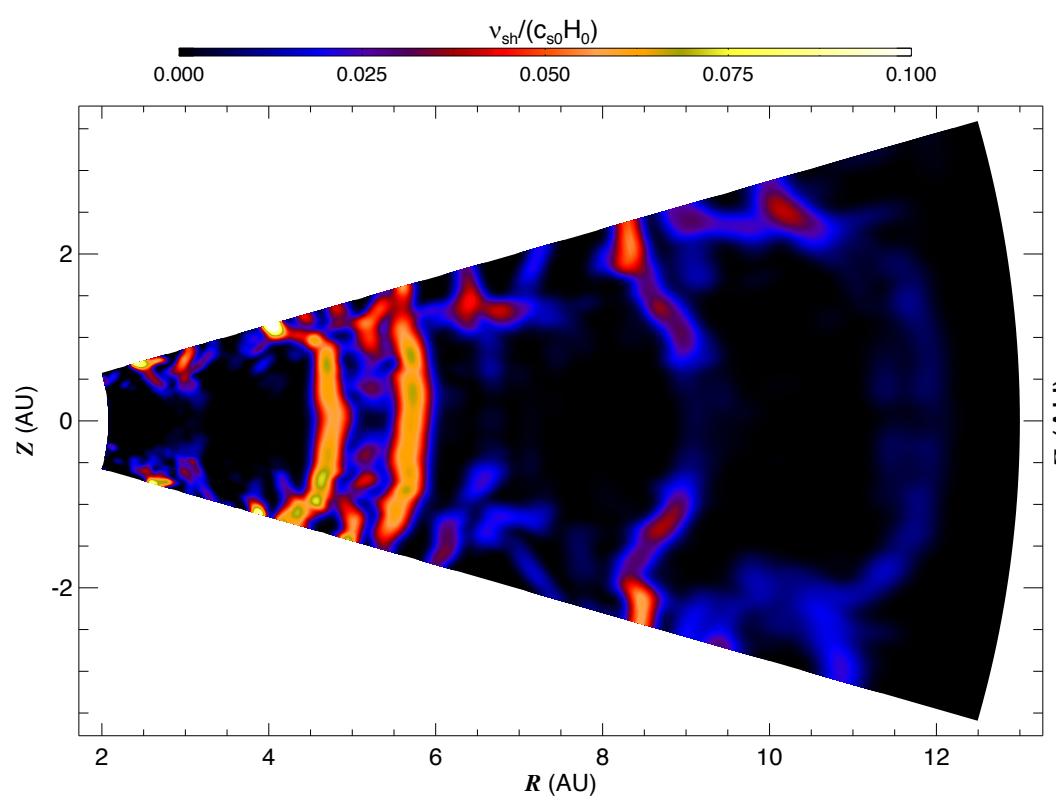


Temperature -  $5 M_J$

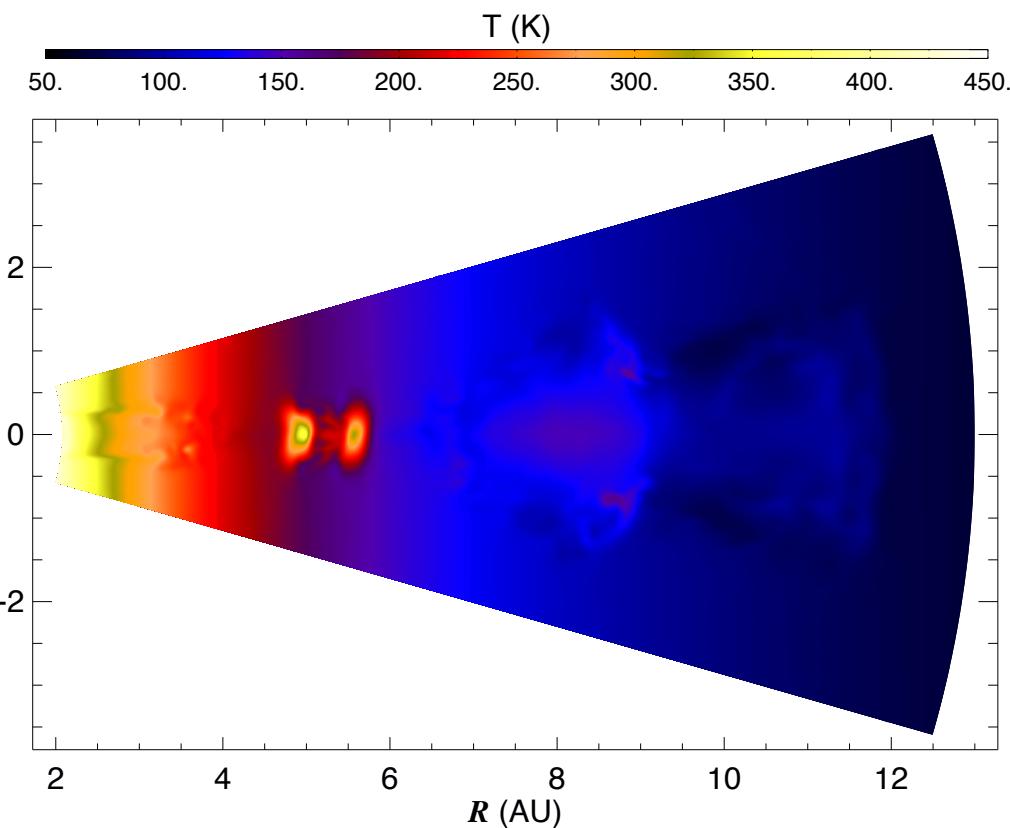
Lyra et al. (2016)

# Shock bores

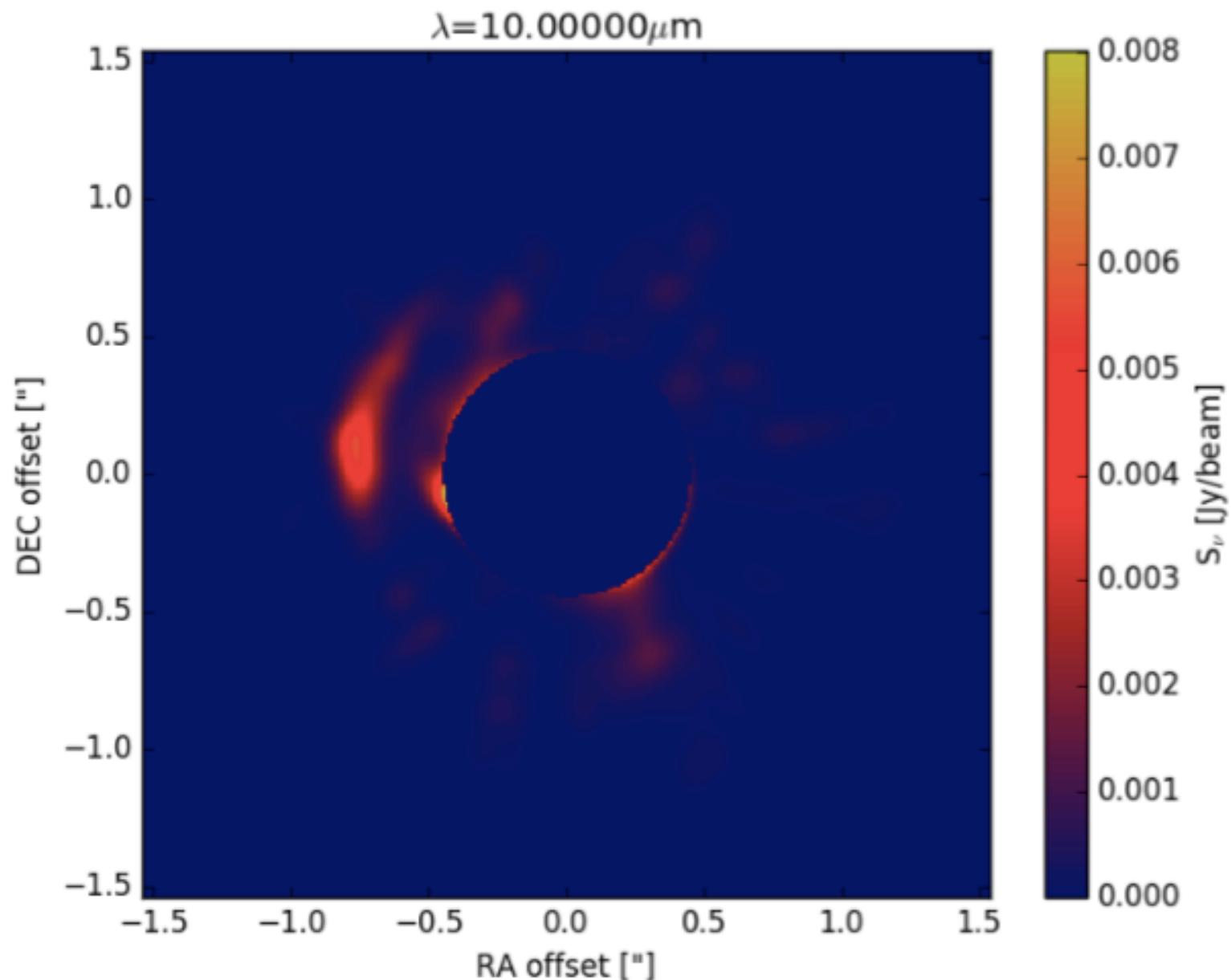
Shocks (velocity convergence)



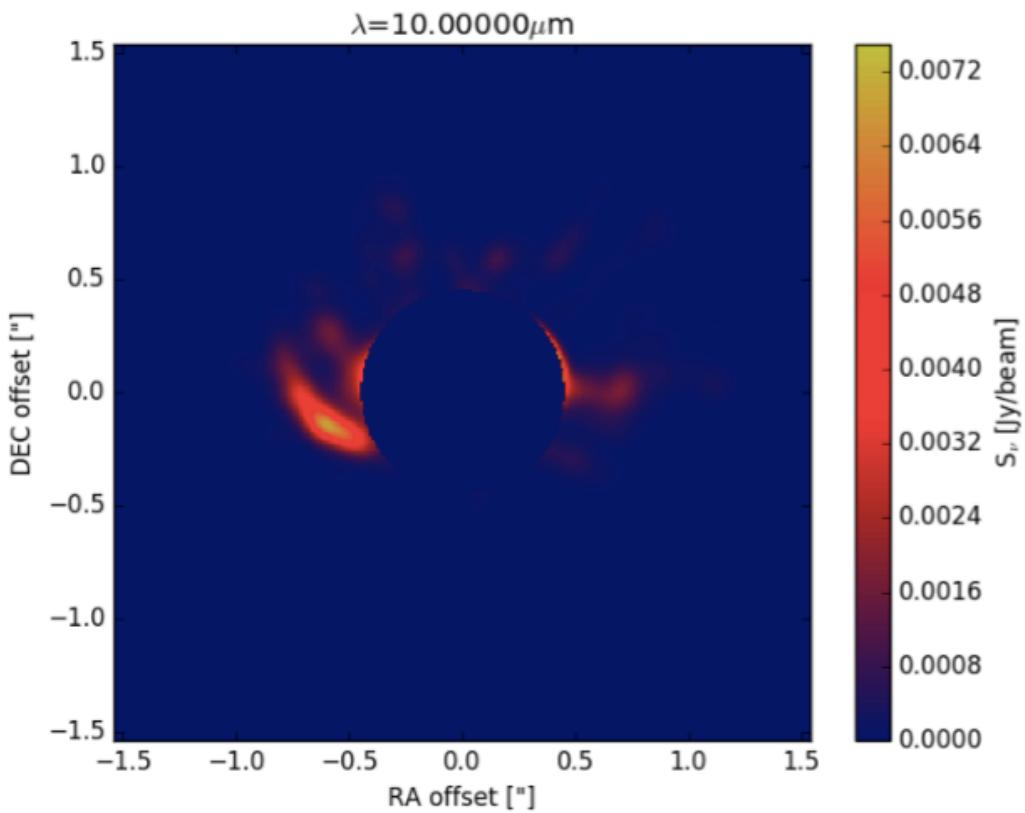
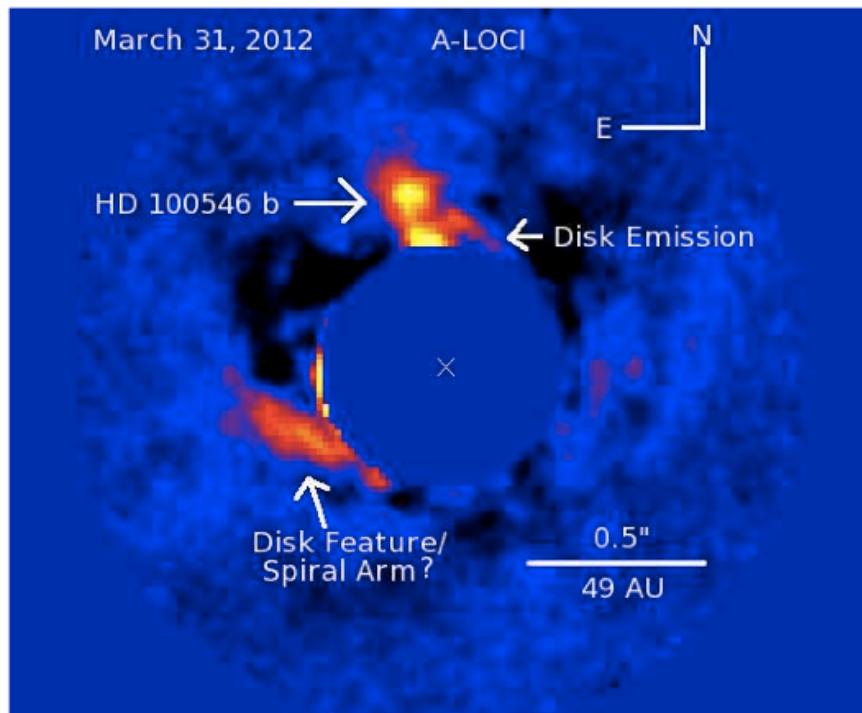
Temperature



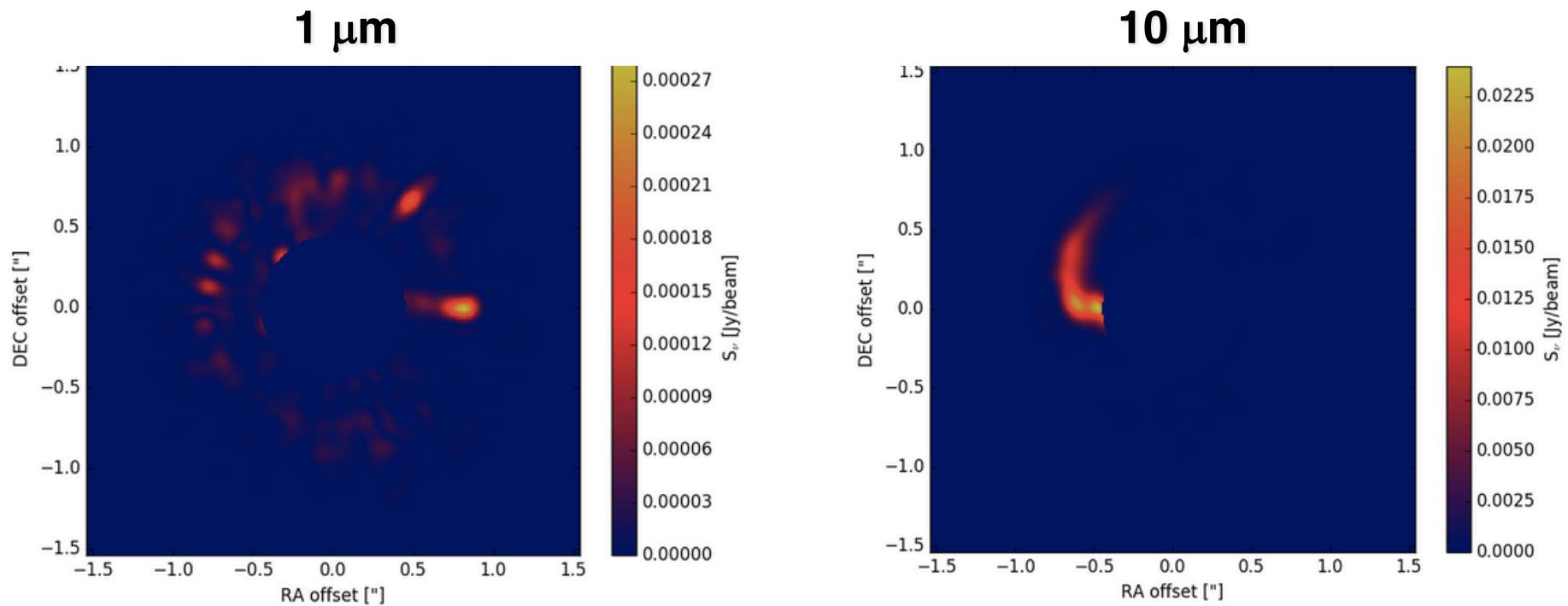
# Synthetic image



# Observation vs Synthetic Image

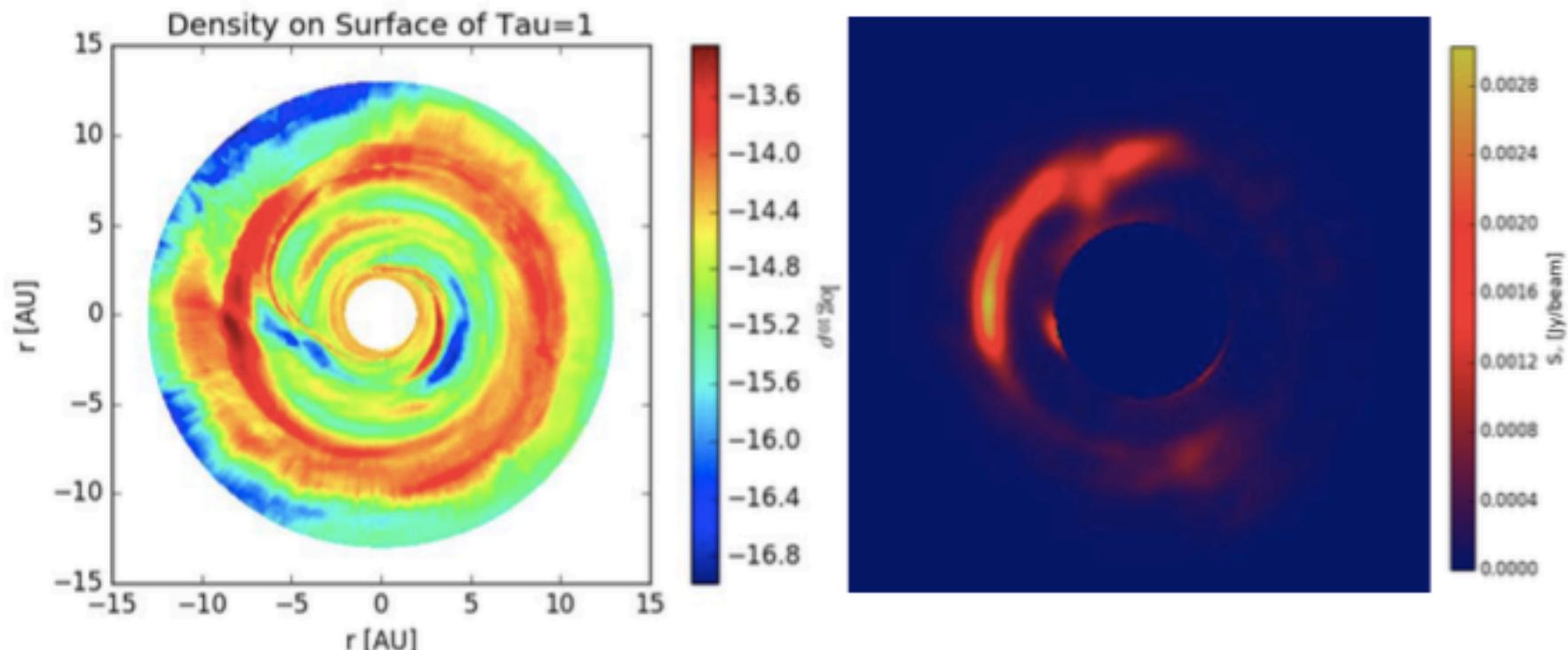


# Effect of shocks alone



Hord et al. (2017)

# Scattering in Image



Light scattered off **gap outer edge**

"Bird's eye view"  
synthetic image

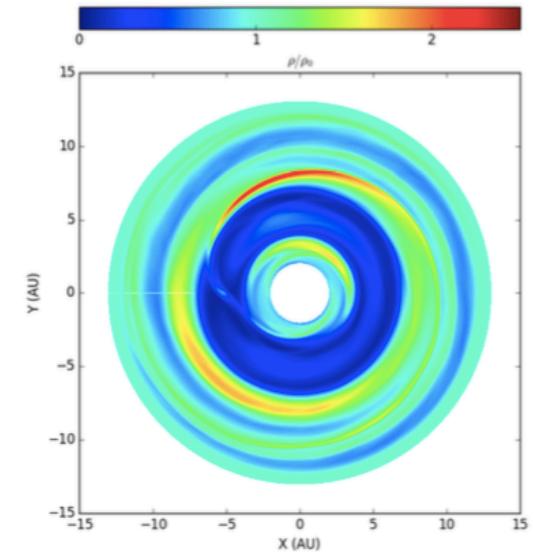
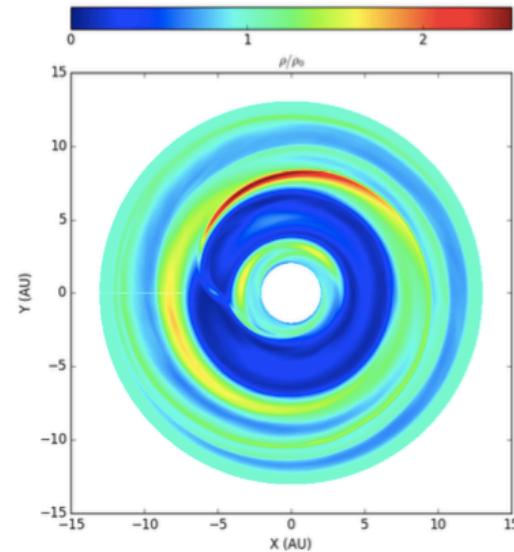
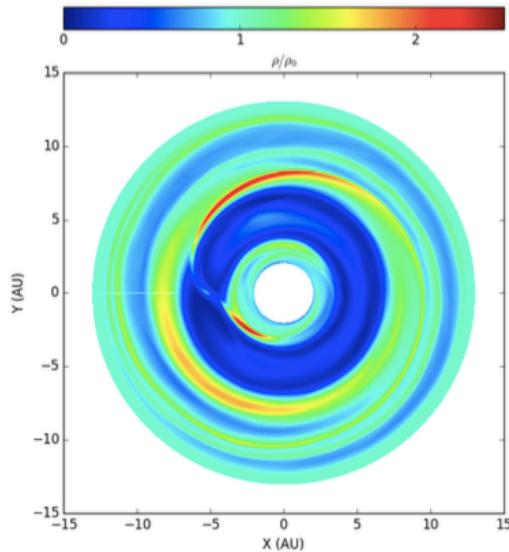
# The vortex raises the scale height

T = 39 orbits

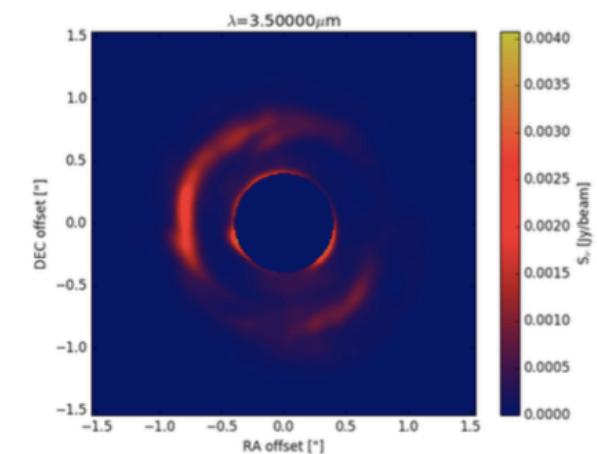
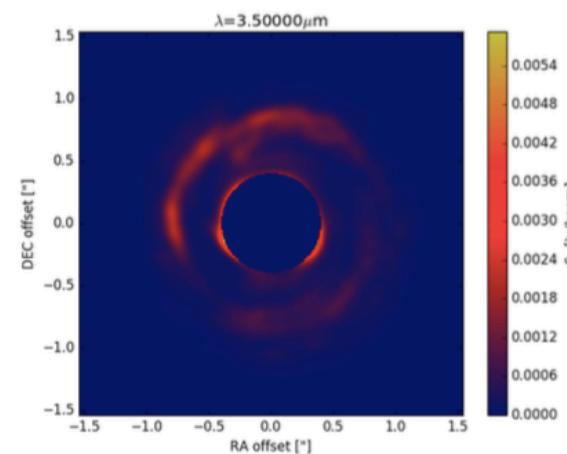
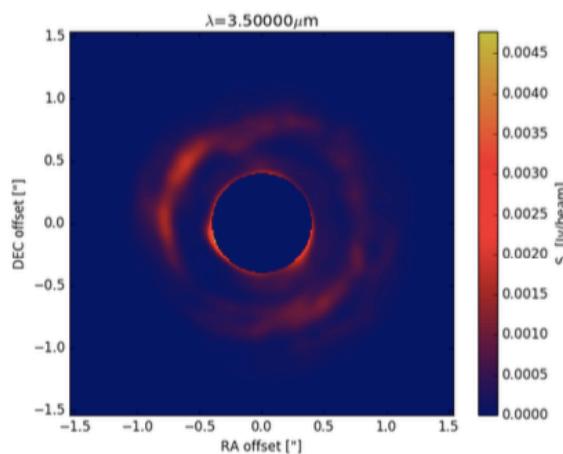
T = 40 orbits

T = 41 orbits

Density

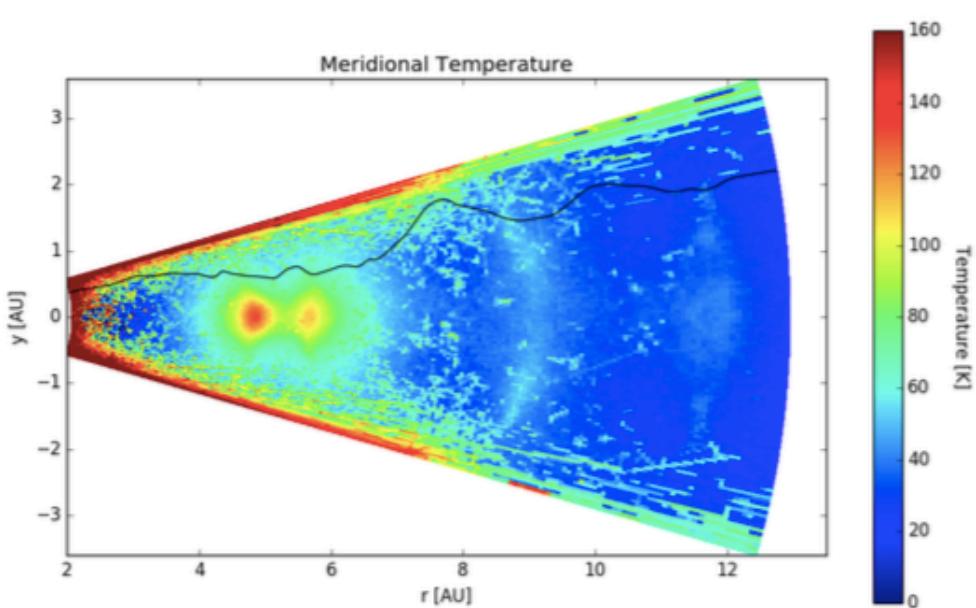


Intensity

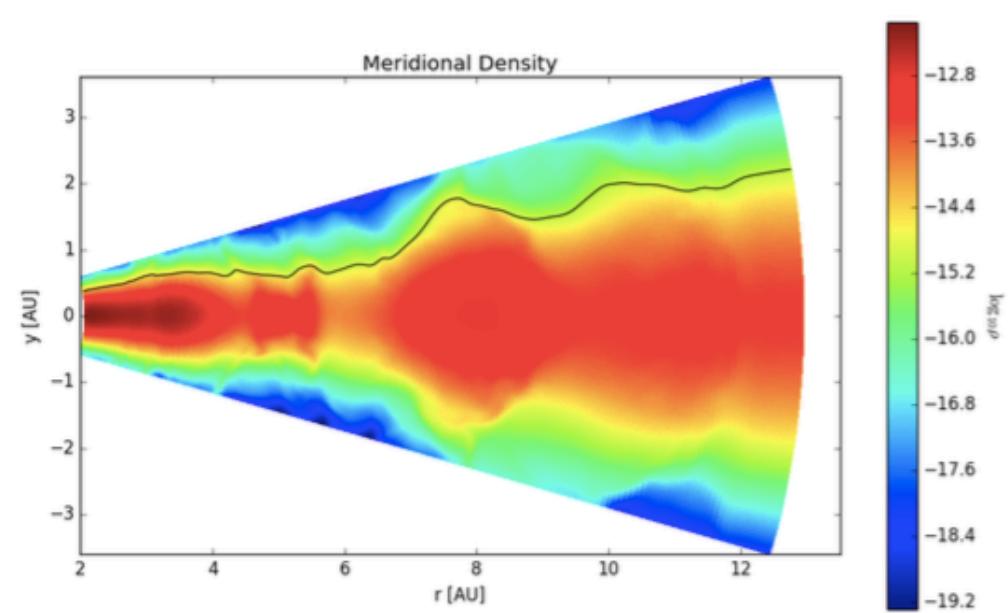


# A puffed-up outer gap

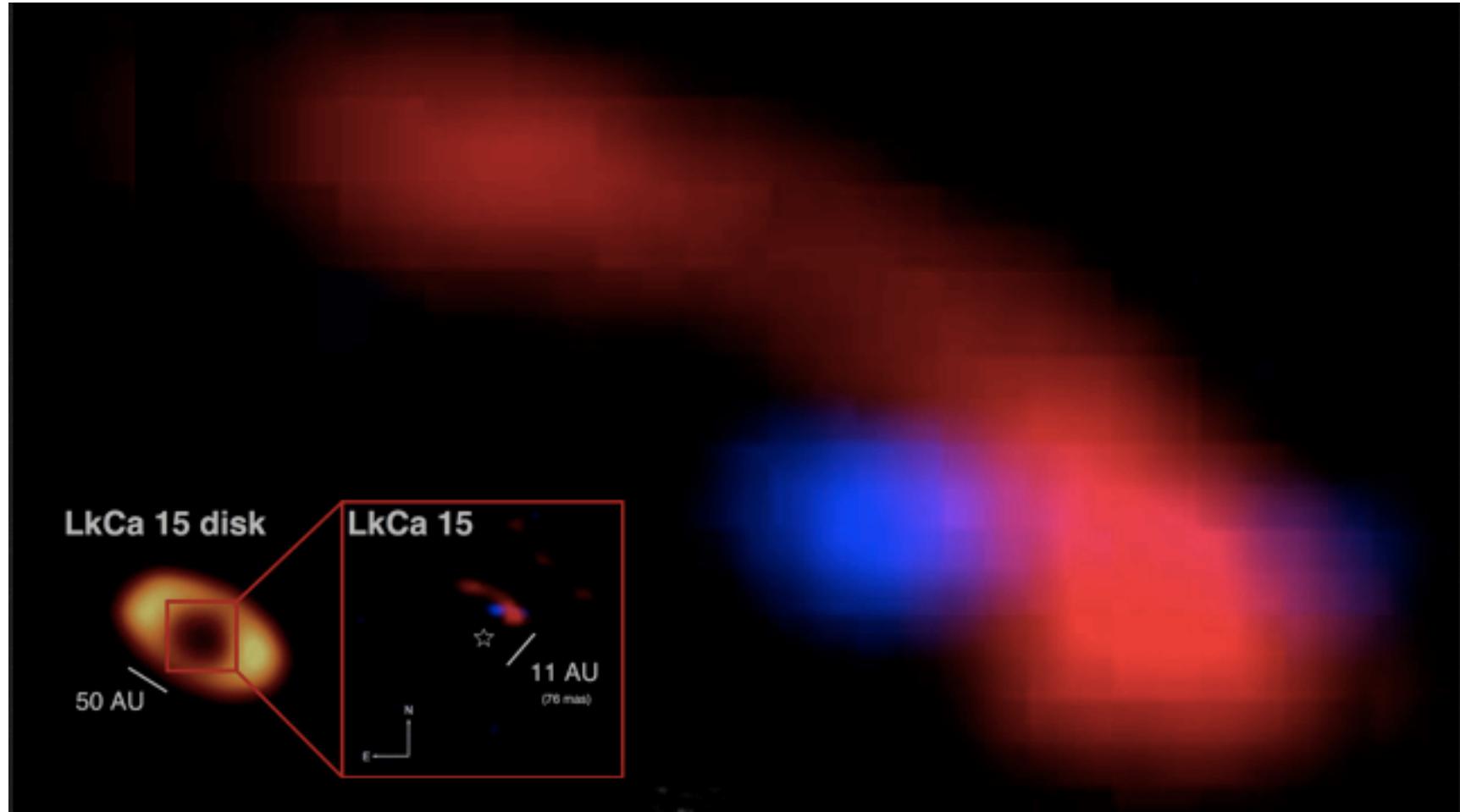
Temperature



Density



# LkCa 15

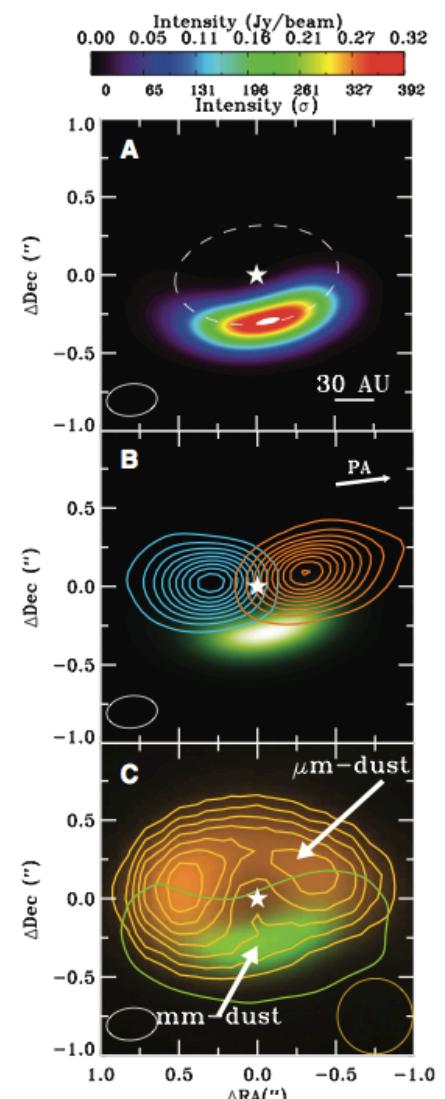


# Conclusions

- Disk vortices are a prime location for planet formation
  - Tea leaf effect
- Dust trapped in drag-diffusion equilibrium explains the observations
- Hydrodynamical instabilities vs planet excitation mechanism:
  - Vertical Shear Instability
    - *Vertical violation of Solberg-Hoiland criterion*
  - Convective Overstability
    - *Amplification of epicyclic motion by buoyancy*
  - Zombie Vortex Instability
    - *Resonance between epicyclic and buoyancy frequency*
- Hot lobes next to high mass planets at high resolution
- Planets puff up their outer gap edges – visible in scattered light

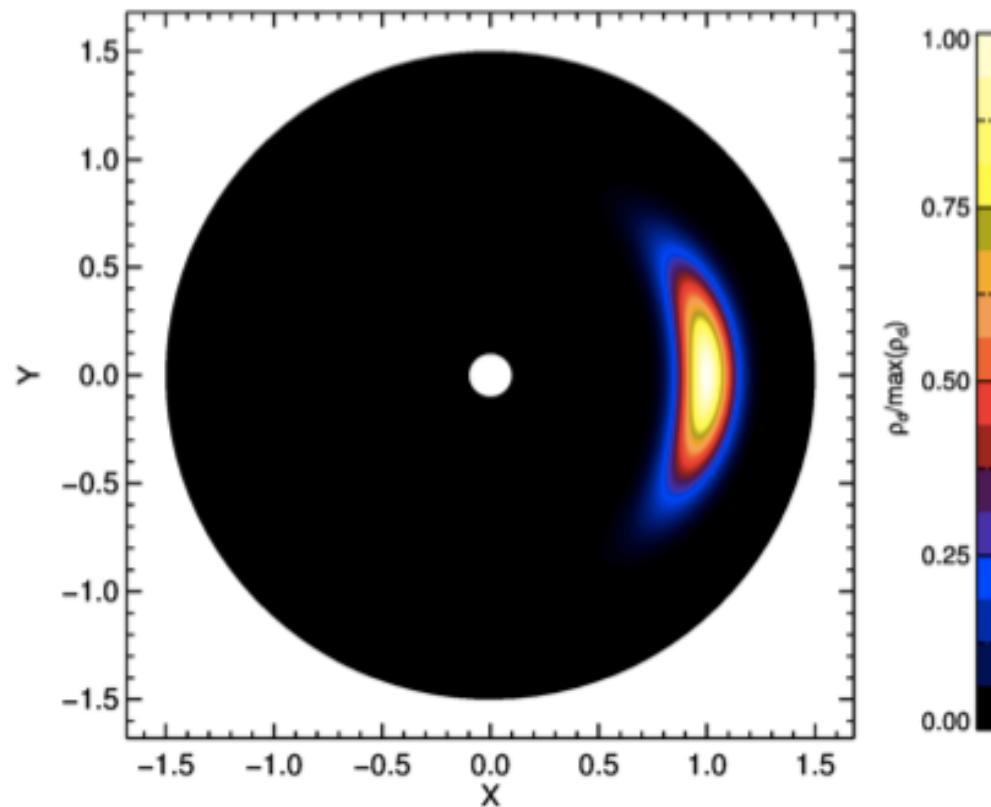
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# Conclusions

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  - Tea leaf effect
- Dust trapped in drag-diffusion equilibrium explains the observations



citation mechanism:

*criterion*

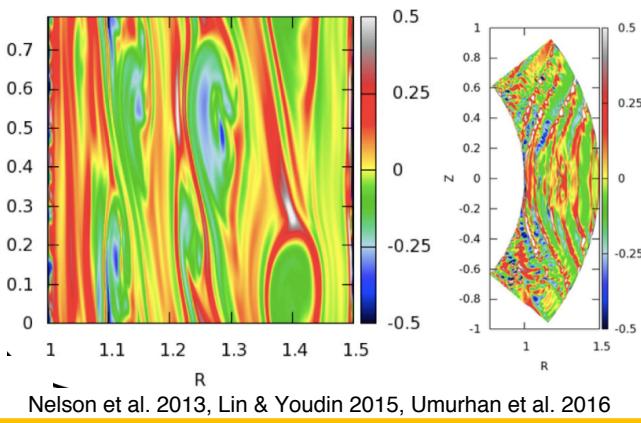
*buoyancy*

*buoyancy frequency*

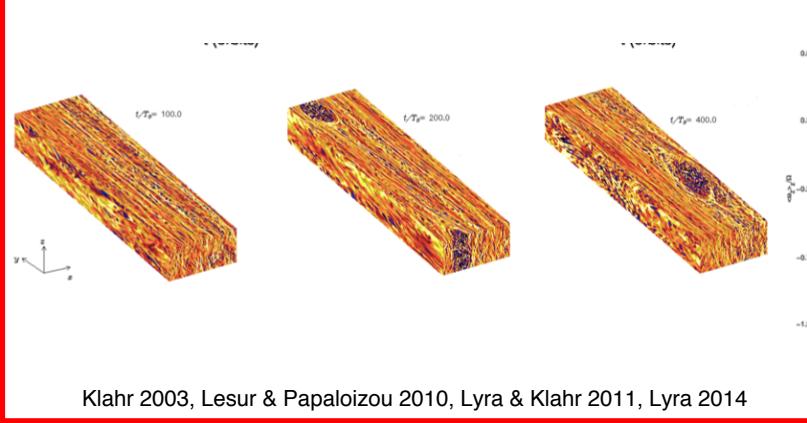
*high resolution*

sible in scattered light

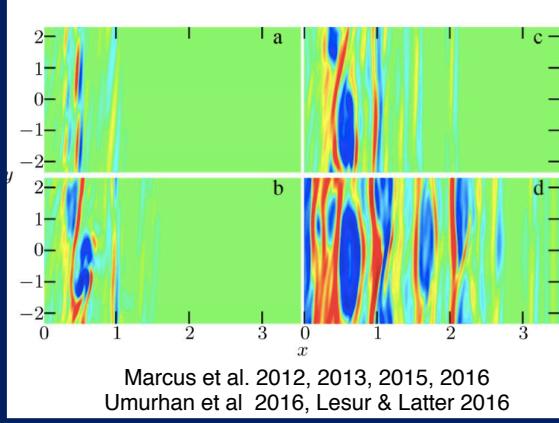
## Vertical Shear Instability



## Convective Overstability

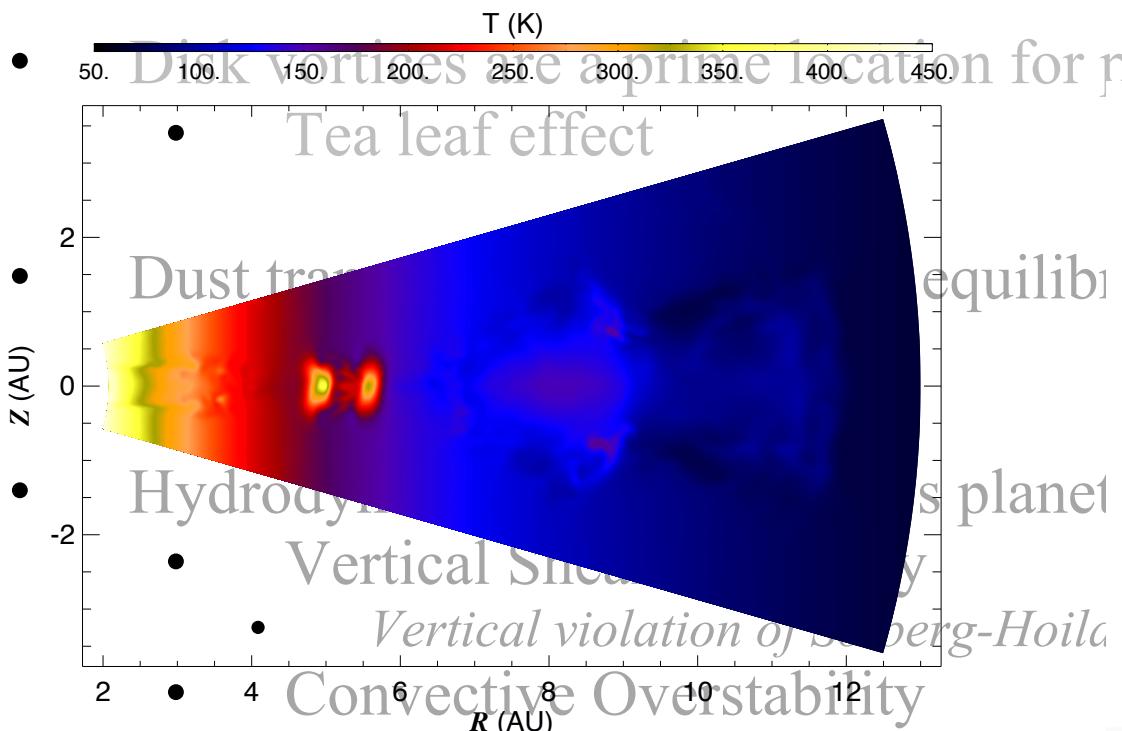


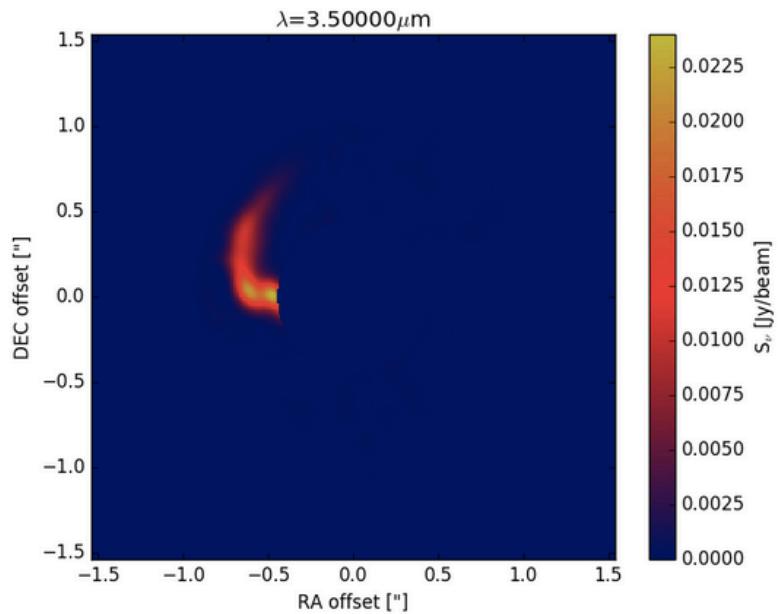
## Zombie Vortex Instability



- Hydrodynamical instabilities vs planet excitation mechanism:
  - Vertical Shear Instability
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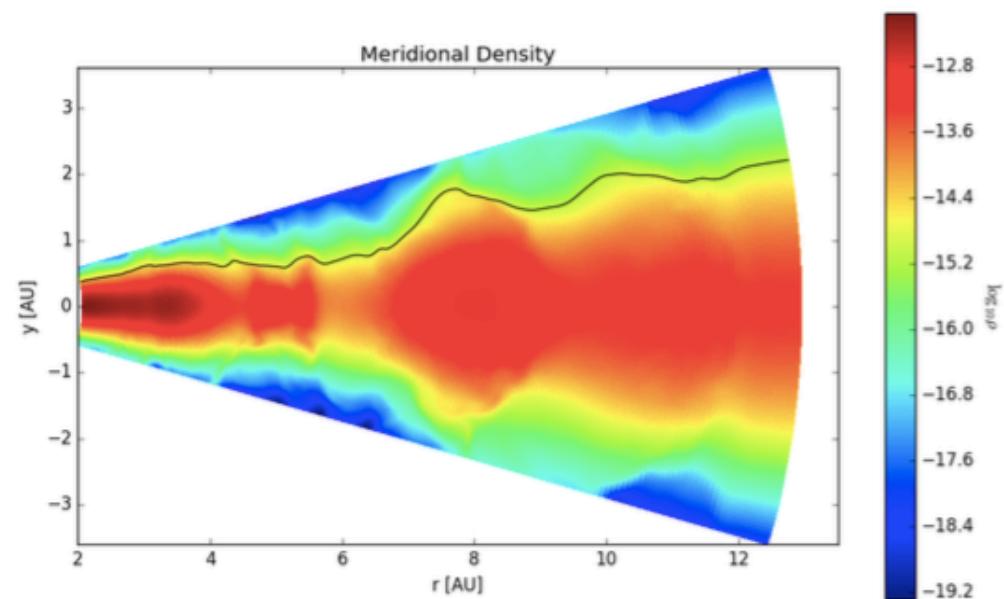
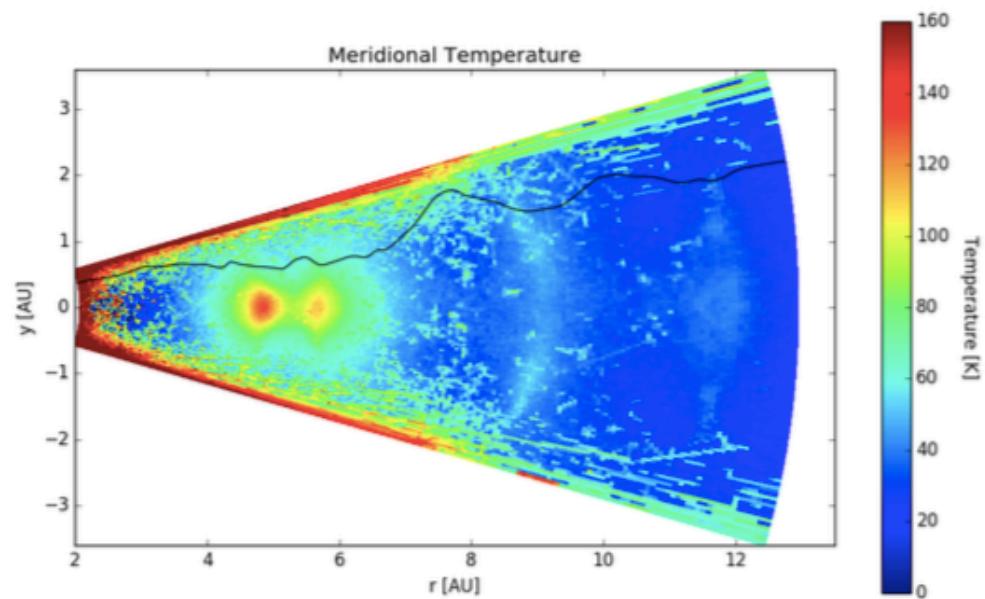
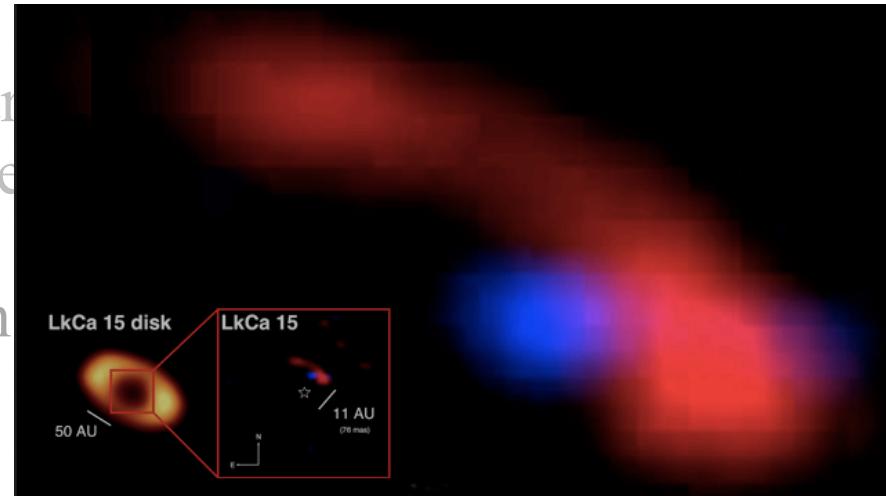
# Conclusions

- Disk vortices are a prime location for planet formation
- A 3D plot showing temperature distribution  $T$  (K) in a protoplanetary disk. The vertical axis is  $Z$  (AU), the horizontal axis is  $R$  (AU), and the depth axis is  $\phi$ . A color bar at the top indicates temperature from 50 to 450 K. Labels include "Tea leaf effect", "Dust trap", "Hydrodynamic instability", "Vertical Shear Instability", "Vertical violation of Salpeter-Hoila", "Convective Overstability", and "Zombie Vortex Instability".
- Hot lobes next to high mass planets at high resolution
- Planets puff up their outer gap edges – visible in scattered light



# Conclusions

- Disk vortices and gap features
  - Tea leaf edge effect
- Dust trapped in gaps – visible in observations

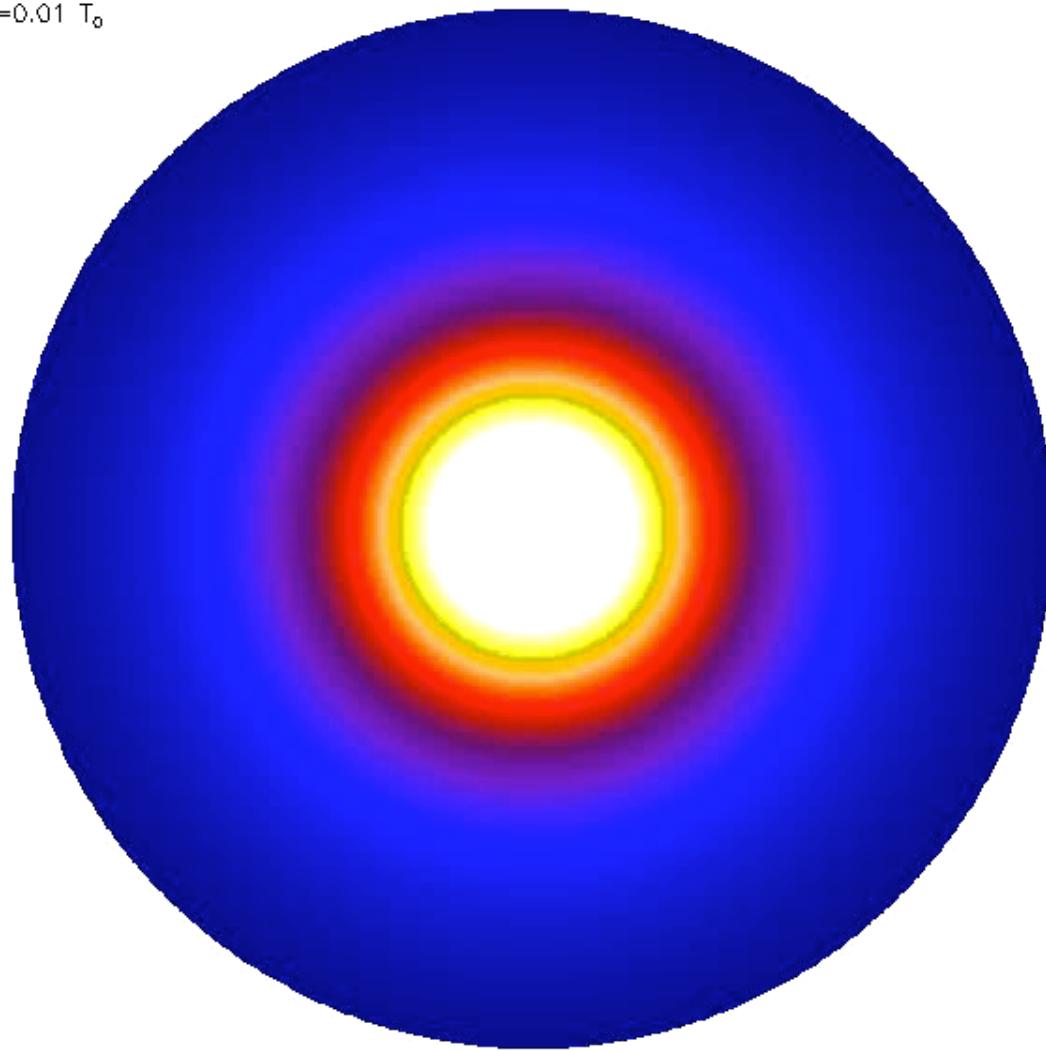


- Planets puff up their outer gap edges – visible in scattered light



## Inner (0.1 AU) active/dead zone boundary

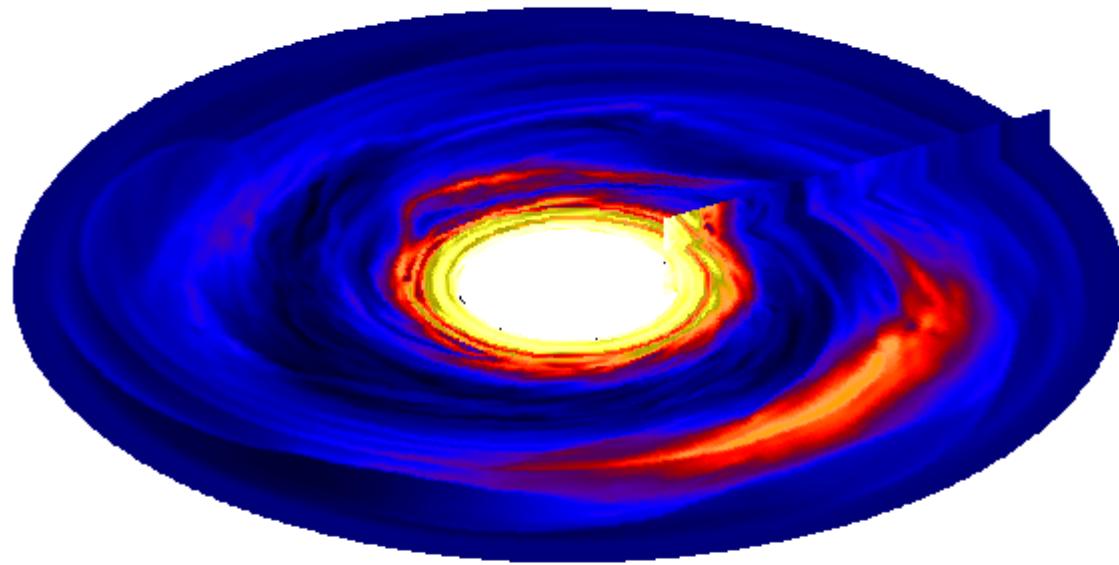
$t=0.01 T_0$



Magnetized inner disk + resistive outer disk  
Lyra & Mac Low (2012)

# Inner (0.1AU) active/dead zone boundary

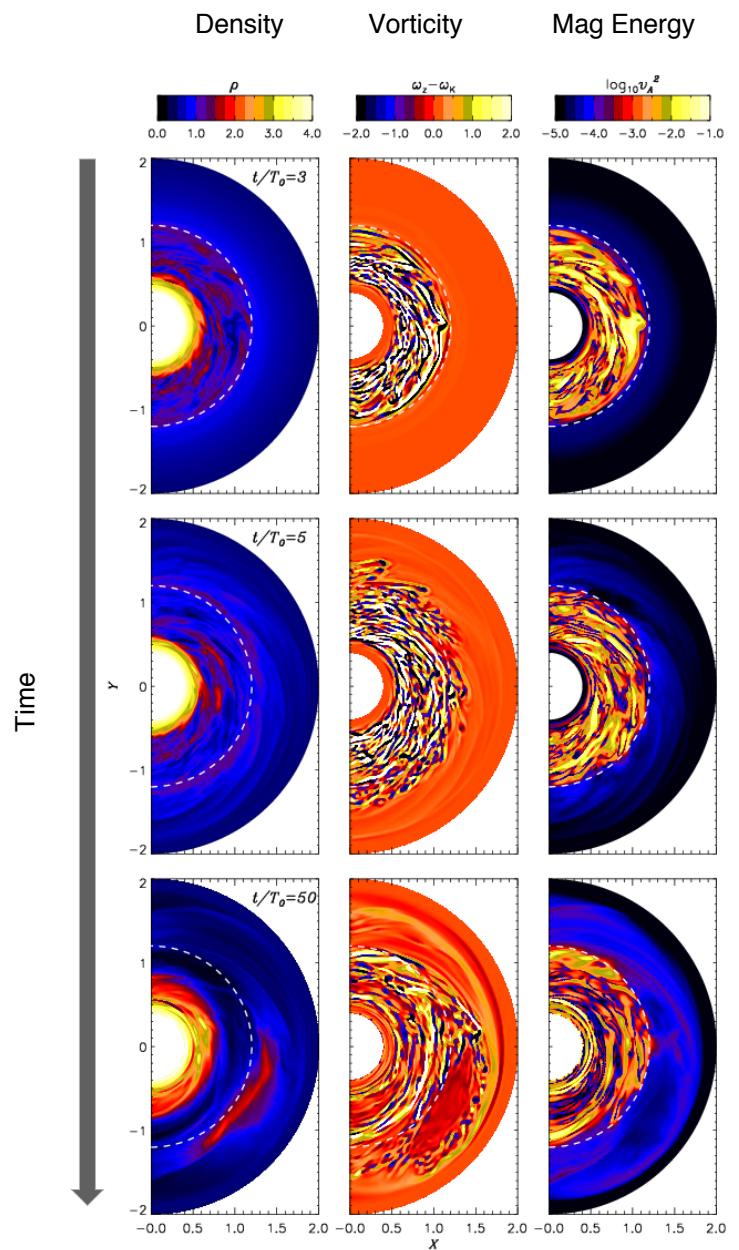
$t=22.28 T_0$



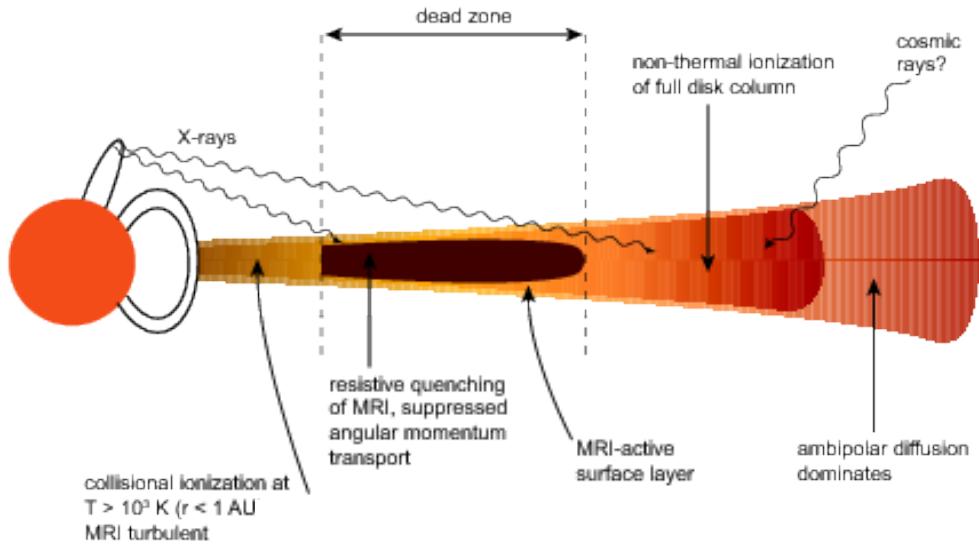
0.00 2.00 4.00  
 $\rho$

Magnetized inner disk + resistive outer disk

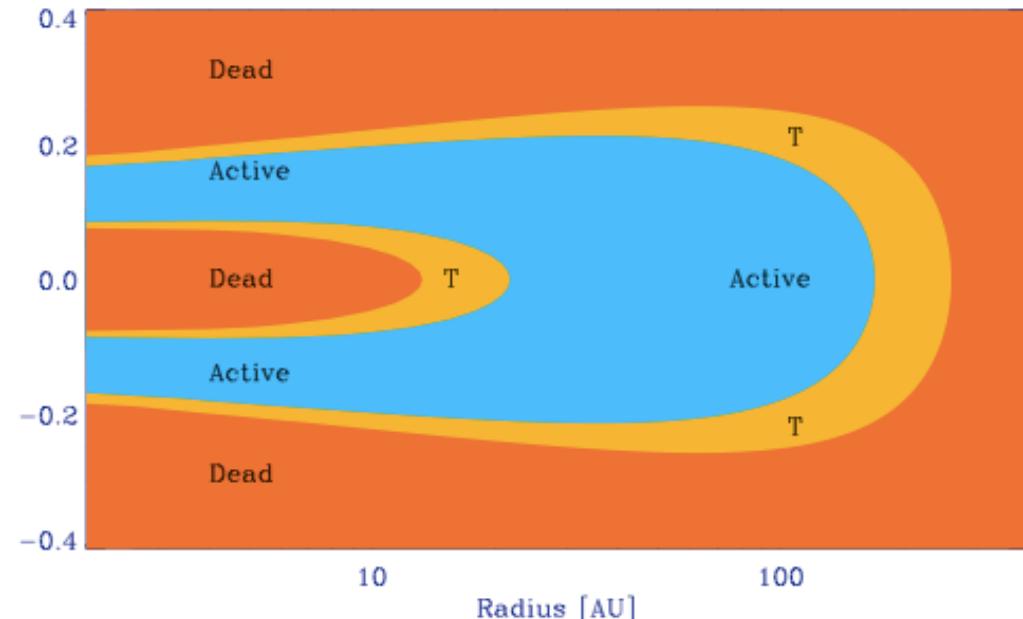
Lyra & Mac Low (2012)



# Outer Dead/Active zone transition KHI



Armitage (2010)

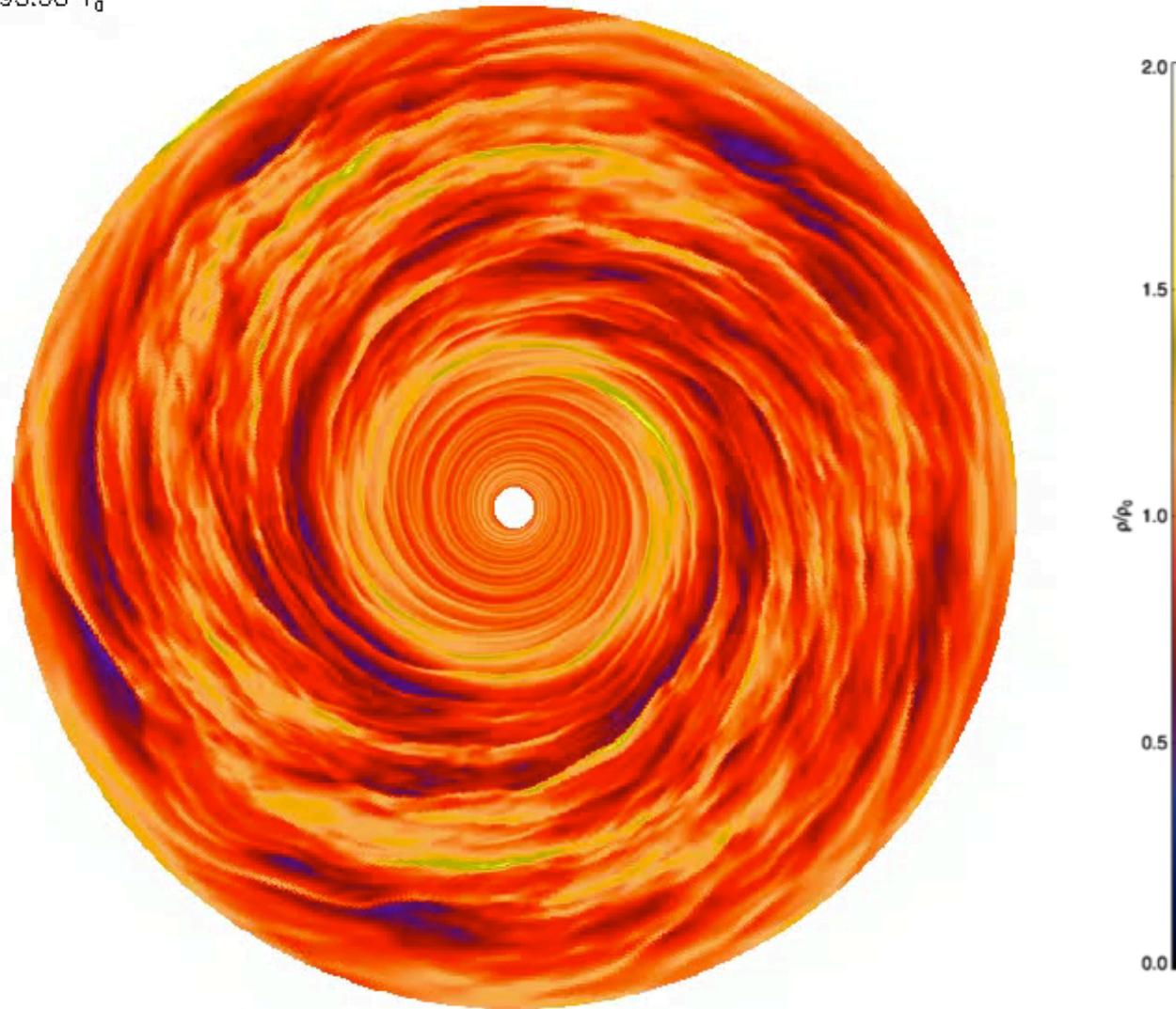


Dzyurkevitch et al (2013)

The **outer** dead zone transition in ionization supposed  
**TOO SMOOTH**  
to generate an KH-unstable bump.

# Outer Dead/Active zone transition: 3D MHD

$t=95.58 T_0$

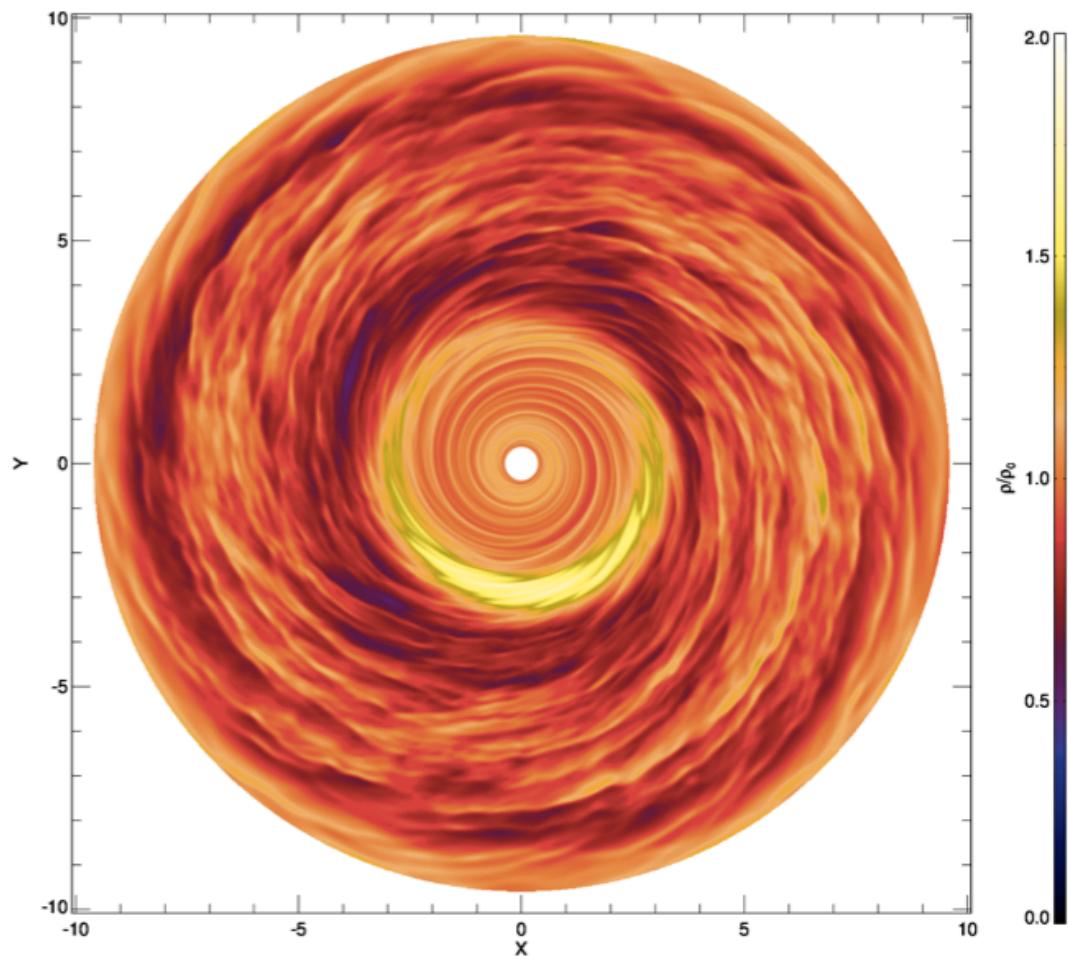
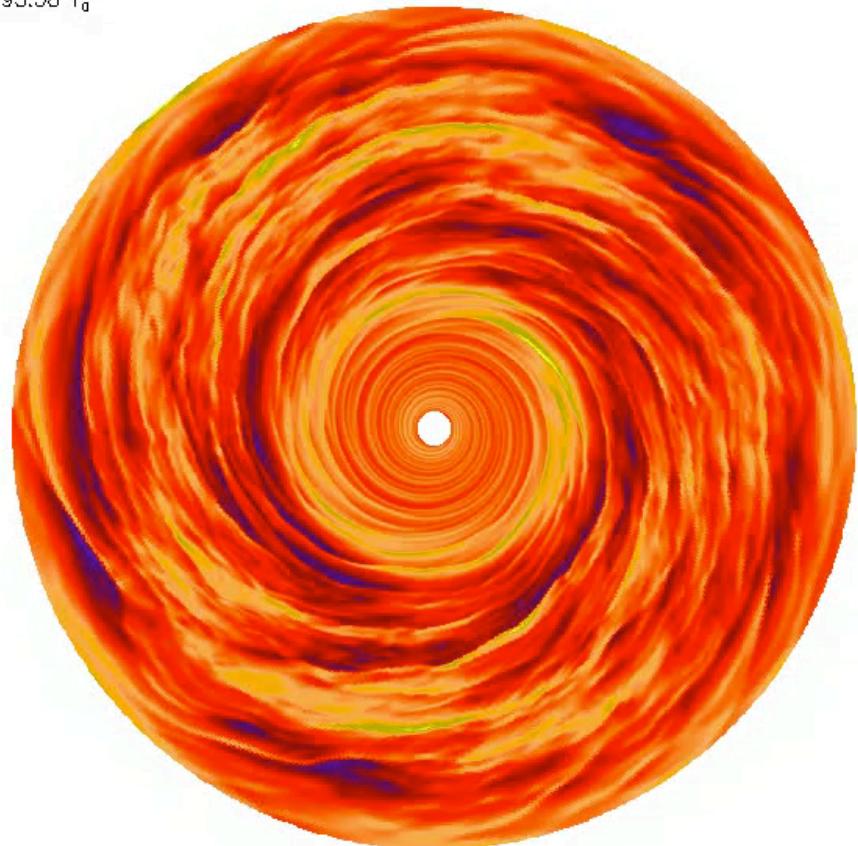


Resistive inner disk + magnetized outer disk

Lyra et al (2015)

# Outer Dead/Active zone transition KHI

$t=95.58 T_0$

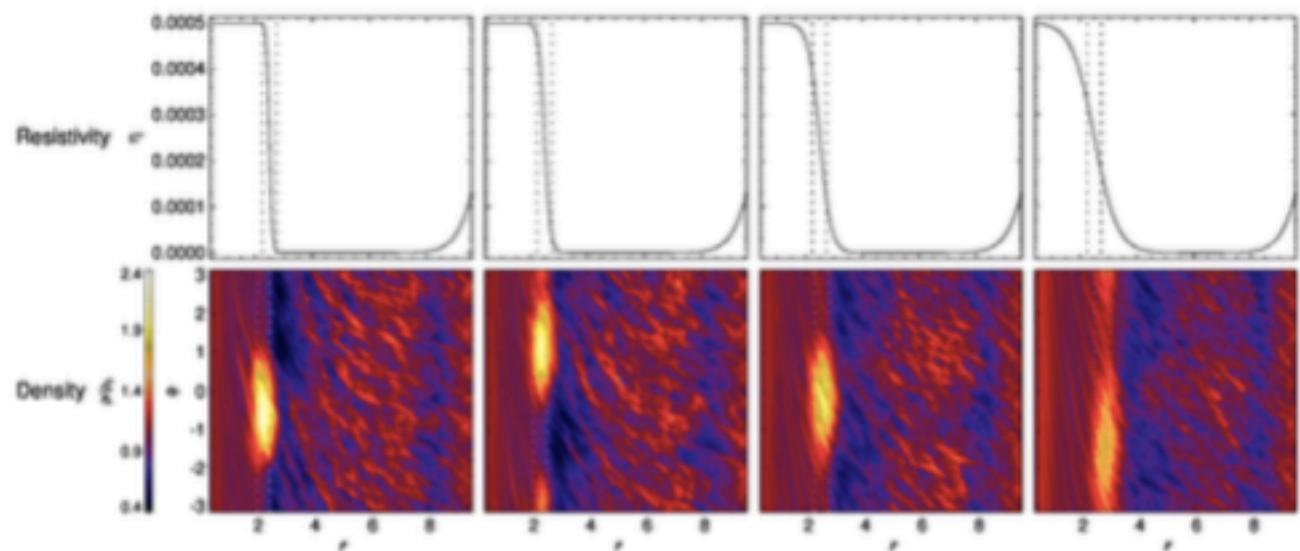


Resistive inner disk + magnetized outer disk

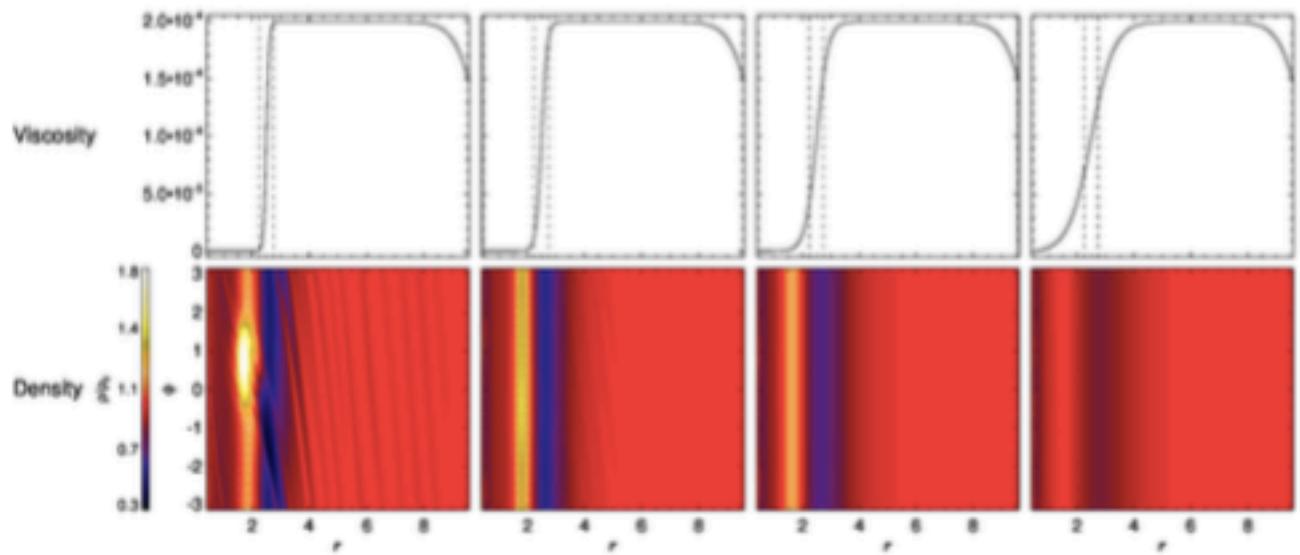
Lyra, Turner, & McNally (2015)

# Outer Dead/Active zone transition RWI

MHD

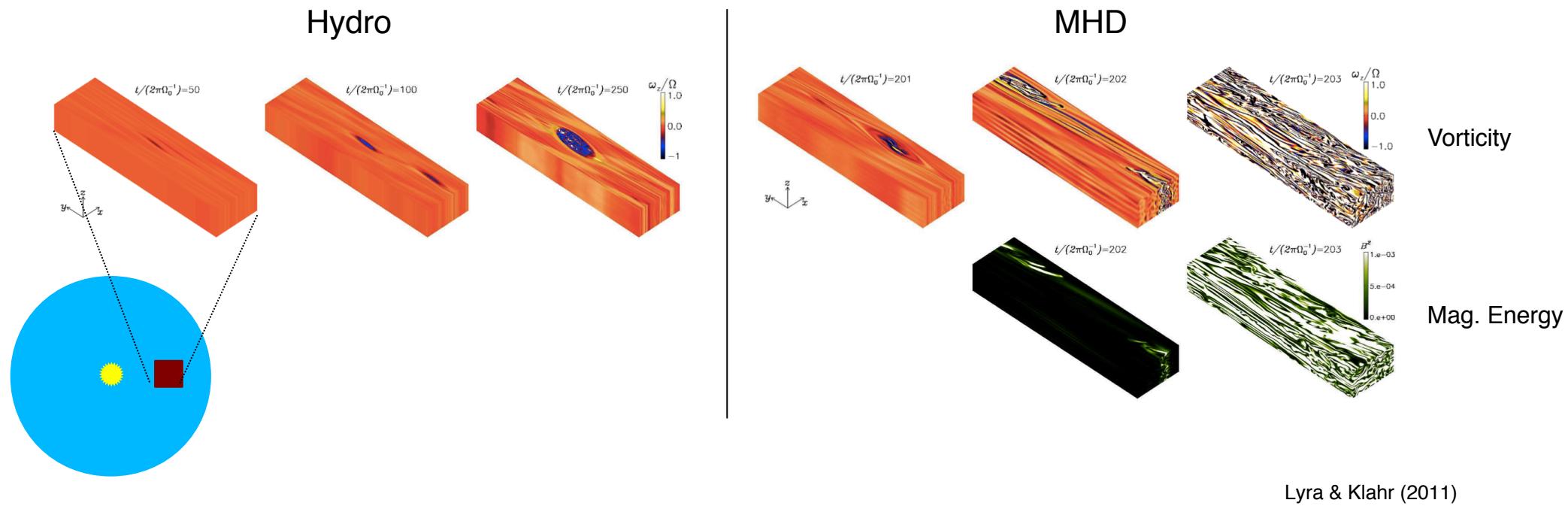


Hydro



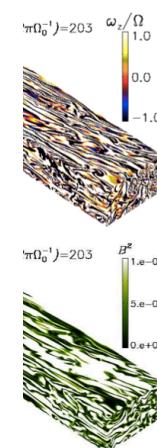
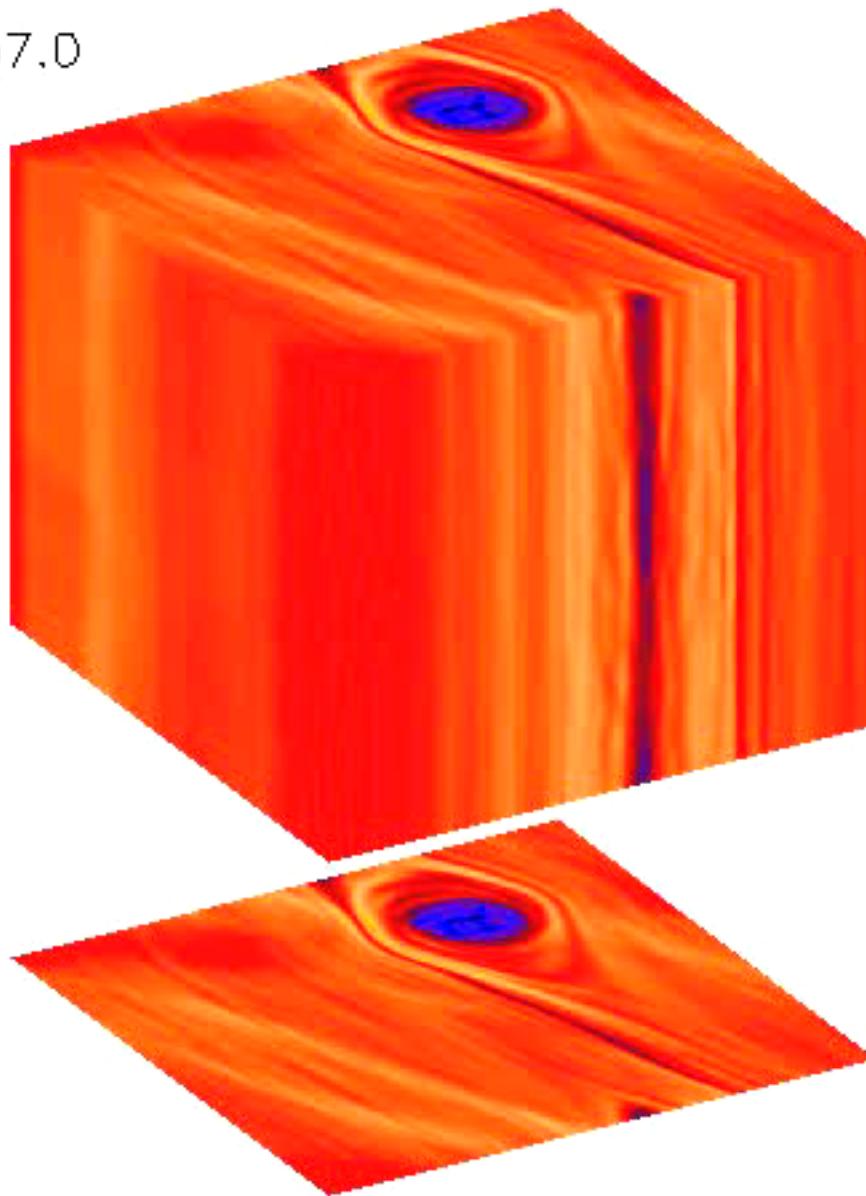
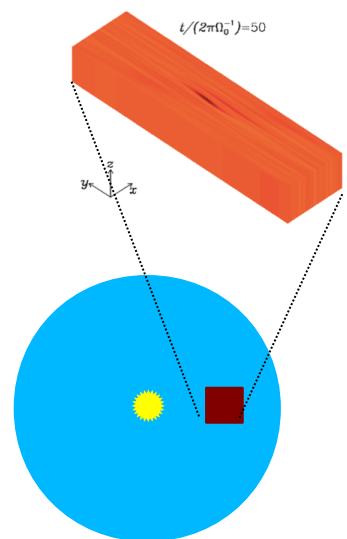
# COV/SBI and MRI

What happens when the disk is magnetized?

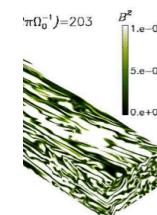


# COV/SBI and MRI

$t=1257.0$

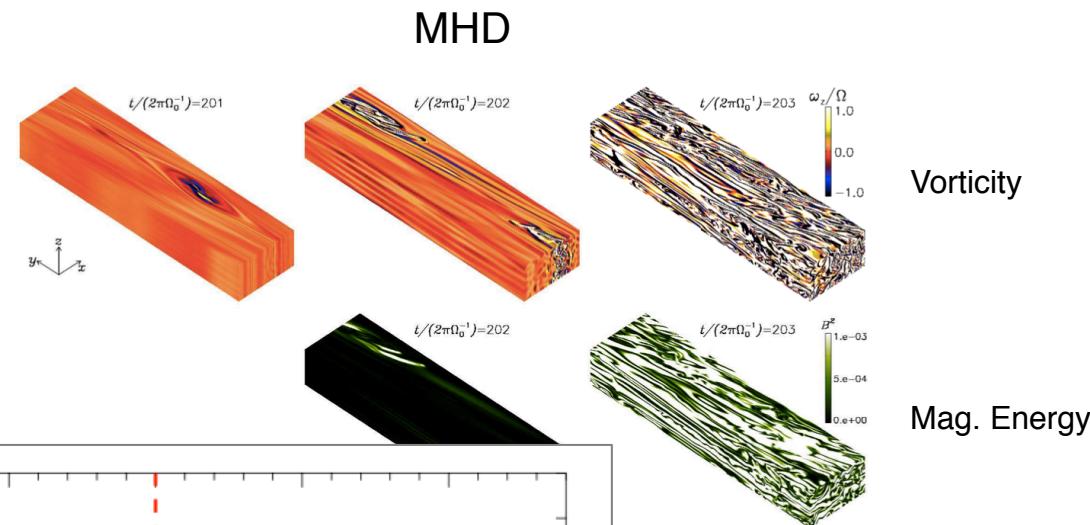
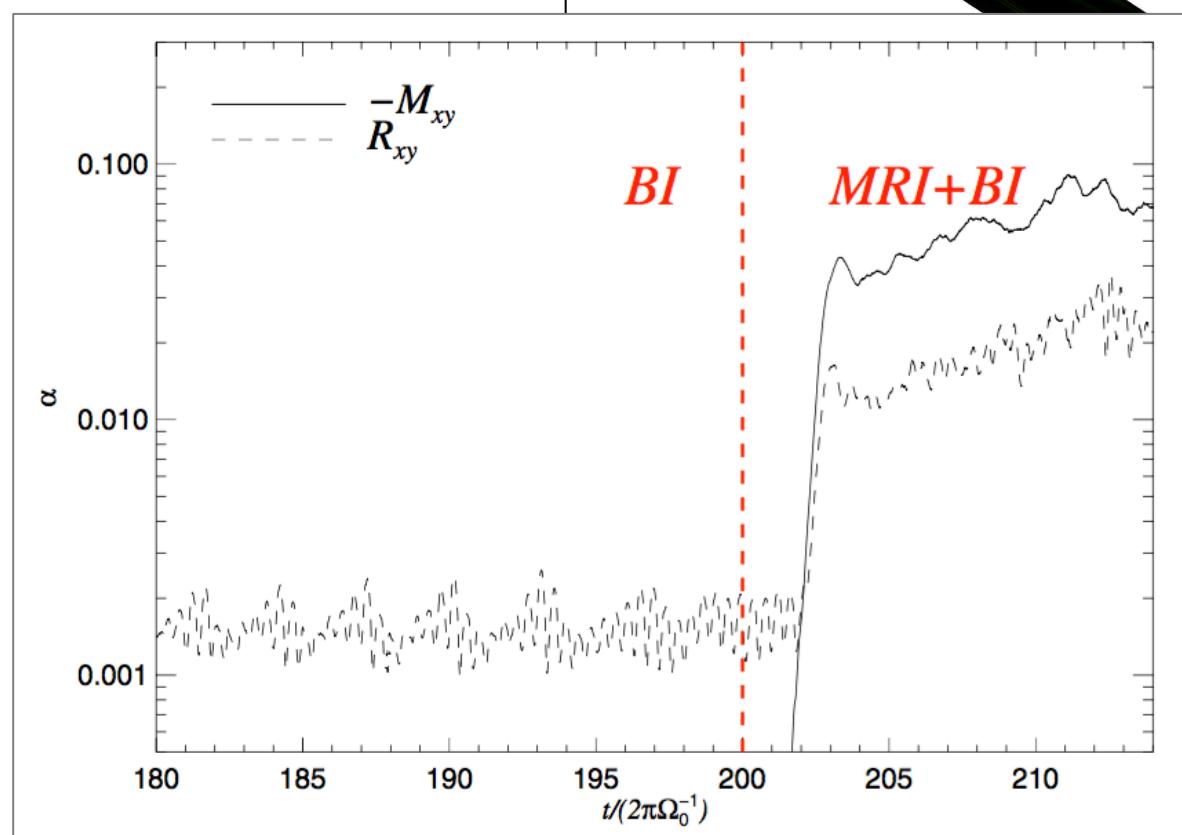
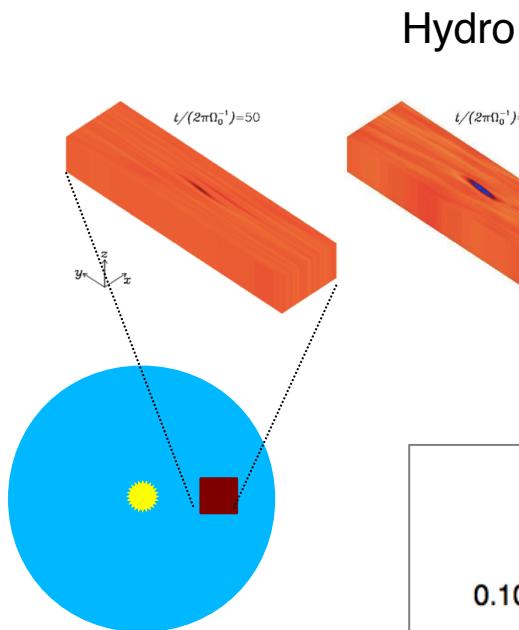


Vorticity



Mag. Energy

# COV/SBI and MRI



Lyra & Klahr (2011)