# Evolution of circumstellar disks and planet formation

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# Outline

- Part I: Primordial disks
  - Turbulence and accretion: "active" and "dead" zones
  - Planet formation
  - Observational constraints

- Part II: Debris disks
  - Photoelectric instability

## **Protoplanetary Disks**







## A disk life story

#### Gas-rich phase (< 10 Myr) *Primordial Disks*

Thinning phase (~10 Myr) Transitional Disks

Gas-poor phase (>10 Myr) Debris Disks

## Accretion in disks occurs via turbulent viscosity

Turbulence in disks is enabled by the Magneto-Rotational Instability



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## **Particle drift**



## **Pressure Trap**



Adapted from Whipple (1972)

## **Pressure Trap**



Stellocentric distance

## **Turbulence concentrates solids mechanically in pressure maxima**



## Gravitational collapse into asteroid-mass objects



Johansen et al. (2007)

#### Dead zones are robust features of protoplanetary disks



Disks are cold and thus poorly ionized

(Blaes & Balbus 1994)

Therefore, accretion is layered

(Gammie 1996)

There should be a magnetized, active zone, and a non-magnetic, dead zone.

## Inner Active/Dead zone boundary



Magnetized inner disk + resistive outer disk Lyra & Mac Low (2012)

## **Outer Dead/Active zone transition**



Resistive inner disk + magnetized outer disk Lyra et al (2015)

## Vortices – an ubiquitous fluid mechanics phenomenon











## Vortices – an ubiquitous fluid mechanics phenomenon







## Von Kármán vortex street





## Rossby Wave Instability (or.... Kelvin-Helmholtz in rotating disks)











#### Active/dead zone boundary



Lyra & Mac Low (2012)

## The Tea-Leaf effect



Particles do not feel the pressure gradient. They sink towards the center, where they accumulate.

#### Aid to planet formation

(Barge & Sommeria 1995, Adams & Watkins 1996, Tanga et al. 1996)

#### Speed up planet formation enormously

(Lyra et al. 2008b, 2009ab, Raettig et al. 2012)

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Raettig et al. (2012, 2015)

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#### **Vortices and Planet Formation**



#### Collapse into Mars mass objects

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#### Collapse into Mars mass objects

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## **Rapid formation of planetary cores**



Lambrechts & Johansen (2012)

#### **Baroclinic Instability – Excitation and self-sustenance of vortices**

Sketch of the Baroclinic Instability



Lesur & Papaloizou (2010)

Armitage (2010)

#### **Baroclinic Instability – Excitation and self-sustenance of vortices**

1. Radial entropy gradient

2. Thermal diffusion

#### Sketch of the **Baroclinic Instability**

thermalization

vortex

to diffusion

dT\_\_\_ / dφ ≠ 0

thermalization due



Lesur & Papaloizou (2010)

Armitage (2010)

buoyant sinking,

roughly adiabatic

$$\frac{\partial \omega}{\partial t} = -(\mathbf{u} \cdot \nabla) \omega - \omega (\nabla \cdot \mathbf{u}) + (\omega \cdot \nabla) \mathbf{u} + \frac{1}{\rho^2} \nabla \rho \times \nabla p + \nu \nabla^2 \omega$$

$$\downarrow \text{ compression } \text{ baroclinicity } \text{ baroclinicity } \text{ dissipation}$$

entropy

gradient

#### **Baroclinic Instability – Excitation and self-sustenance of vortices**



#### **Baroclinic instability and layered accretion**

What happens when the vortex is magnetized?



Lyra & Klahr (2011)



#### **Baroclinic instability and layered accretion**

What happens when the vortex is magnetized?



#### A possible detection of vortices in disks?



## **Oph IRS 48**



#### A Major Asymmetric Dust Trap in a Transition Disk

Nienke van der Marel,<sup>1</sup>\* Ewine F. van Dishoeck,<sup>1,2</sup> Simon Bruderer,<sup>2</sup> Til Birnstiel,<sup>3</sup> Paola Pinilla,<sup>4</sup> Cornelis P. Dullemond,<sup>4</sup> Tim A. van Kempen,<sup>1,5</sup> Markus Schmalzl,<sup>1</sup> Joanna M. Brown,<sup>3</sup> Gregory J. Herczeg,<sup>6</sup> Geoffrey S. Mathews,<sup>1</sup> Vincent Geers<sup>7</sup>

The statistics of discovered exoplanets suggest that planets form efficiently. However, there are fundamental unsolved problems, such as excessive inward drift of particles in protoplanetary disks during planet formation. Recent theories invoke dust traps to overcome this problem. We report the detection of a dust trap in the disk around the star Oph IRS 48 using observations from the Atacama Large Millimeter/submillimeter Array (ALMA). The 0.44-millimeter-wavelength continuum map shows high-contrast crescent-shaped emission on one side of the star, originating from millimeter-sized grains, whereas both the mid-infrared image (micrometer-sized dust) and the gas traced by the carbon monoxide 6-5 rotational line suggest rings centered on the star. The difference in distribution of big grains versus small grains/gas can be modeled with a vortex-shaped dust trap triggered by a companion.

lthough the ubiquity of planets is con- tion mechanism of planetary systems in disks firmed almost daily by detections of of gas and dust around young stars remains a new exoplanets (1), the exact forma- long-standing problem in astrophysics (2). In

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1199

Down

#### van der Marel et al. 2013

A possible huge vortex observed with ALMA



asymmetric mm dust at 63 AU

Gas detection: Keplerian rotation

Micron-sized dust follows gas



Ataiee et al. (2013)

-80

-40

0 AU 40

80

-80 -40

0 AU 40

80

80

-80-

-80

-40

0 AU 40

80

-80

-40

0 AU 40

# **Drag-Diffusion Equilibrium**



Trapped particle

# **Drag-Diffusion Equilibrium**



Trapped particle

# **Drag-Diffusion Equilibrium**


#### Analytical solution for dust trapping



Solution  

$$\rho_d(a) = \rho_{d\max} \exp\left(-\frac{a^2}{2H_V^2}\right),$$

$$H_V = \frac{H}{f(\chi)} \sqrt{\frac{1}{S+1}}$$

$$S = \frac{St}{\delta}$$
$$\delta = v_{\rm rms}^2 / c_{\rm s}^2$$

a = vortex semi-minor axis H = disk scale height (temperature)  $\chi = \text{vortex aspect ratio}$   $\delta = \text{diffusion parameter}$  St = Stokes number (particle size) $f(\chi) = \text{model-dependent scale function}$ 

#### **Derived quantities**



H = disk scale height (temperature)St = Stokes number (particle size) $\chi = \text{vortex aspect ratio}$  $f(\chi) = \text{model-dependent scale function}$  $\delta = \text{diffusion parameter}$  $\epsilon = \text{dust-to-gas ratio}$ 





1.0

Lyra & Lin (2013)

0.5

0.0

△RA(")

-0.5

-1.0

asymmetric mm dust at 63 AU

Gas detection: Keplerian rotation

Micron-sized dust follows gas

#### **Turbulence in vortex cores**



Lesur & Papaloizou (2010)

Lyra & Klahr (2011)

0.0

х

0.1

0.2

-0.1

 $u_z/c_s$ 0.0

-0.1

0.1

Turbulence in vortex cores:

max at ~10% of sound speed rms at ~3% of sound speed

- Vortices exist in the dead zone only
- Two sustenance modes: Rossby Wave Instability and Convective Overstability
- Vortex-assisted and streaming instability are complementary
- Vortex-trapped dust in drag-diffusion equilibrium explains the observations
- Rossby wave instability may be the culprit of these dust traps



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   or our model predictions:





- Vortices exist in the dead zor
- Two sustenance modes: Ros
- Vortex-assisted and streaming

$$\begin{split} \rho_d(a,z) &= \epsilon \rho_0 \, (S+1)^{3/2} \, \exp\left\{-\frac{\left[a^2 f^2(\chi) + z^2\right]}{2 H^2} (S+1)\right\} \\ \text{Lyra \& Lin (2013)} \end{split}$$

- Vortex-trapped dust in drag-diffusion equilibrium explains the observations
- Rossby wave instability may be the culprit of these dust traps
- We're in the era of observational testing/confirmation of our model predictions!!
   Intensity (Jy/beam) 0.00 0.05 0.11 0.16 0.21 0.27 0.32





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 We're in the era of observational testing/confirmation of our model predictions!!



## **Future direction**



Dynamical resistivity Particles

Particle gravity

#### Convergence

#### Resolution



Lyra & Mac Low (2012)

#### High end computing



### A new >100,000 proc supercluster - Stampede







#### <u>Debris disks – The gas-poor phase</u>



#### Sharp and eccentric rings in debris disks: Signposts of planets



#### Narrow sharp eccentric ring

Detection of a source quickly heralded as a planet Fomalhaut b

#### Debris disks are not completely gas-free



# Debris disks are *not* completely gas-free

What is the dynamical effect of this gas?

## LETTER

## Formation of sharp eccentric rings in debris disks with gas but without planets

W. Lyra<sup>1,2,3</sup> & M. Kuchner<sup>4</sup>

'Debris disks' around young stars (analogues of the Kuiper Belt in our Solar System) show a variety of non-trivial structures attributed to planetary perturbations and used to constrain the properties of those planets<sup>1-3</sup>. However, these analyses have largely ignored the fact that some debris disks are found to contain small quantities of gas<sup>4-9</sup>, a component that all such disks should contain at some level<sup>10,11</sup>. Several debris disks have been measured with a dust-to-gas ratio of about unity<sup>4-9</sup>, at which the effect of hydrodynamics on the structure of the disk cannot be ignored<sup>12,13</sup>. Here we report linear and nonlinear modelling that shows that dust-gas interactions can produce some of the key patterns attributed to planets. We find a robust clumping instability that organizes the dust into narrow, eccentric rings, similar to the Fomalhaut debris disk<sup>14</sup>. The conclusion that such disks might contain planets is not necessarily required to explain these systems.

Disks around young stars seem to pass through an evolutionary phase when the disk is optically thin and the dust-to-gas ratio  $\varepsilon$  ranges from 0.1 to 10. The nearby stars  $\beta$  Pictoris<sup>5,6,15–17</sup>, HD32297 (ref. 7), 49 Ceti (ref. 4) and HD 21997 (ref. 9) all host dust disks resembling ordinary debris disks and also have stable circumstellar gas detected in molecular CO, Na I or other metal lines; the inferred mass of gas ranges from lunar masses to a few Earth masses (Supplementary Information). The gas in these disks is the useful to be produced by planetesimals or dust emission We present simulations of the fully compressible problem, solving for the continuity, Navier–Stokes and energy equations for the gas, and the momentum equation for the dust. Gas and dust interact dynamically through a drag force, and thermally through photoelectric heating. These are parametrized by a dynamical coupling time  $\tau_f$  and a thermal coupling time  $\tau_T$  (Supplementary Information). The simulations are performed with the Pencil Code<sup>21–24</sup>, which solves the hydrodynamics on a grid. Two numerical models are presented: a three-dimensional box embedded in the disk that co-rotates with the flow at a fixed distance from the star; and a two-dimensional global model of the disk in the inertial frame. In the former the dust is treated as a fluid, with a separate continuity equation. In the latter the dust is represented by discrete particles with position and velocities that are independent of the grid.

We perform a stability analysis of the linearized system of equations that should help interpret the results of the simulations (Supplementary Information). We plot in Fig. 1a–c the three solutions that show linear growth, as functions of  $\varepsilon$  and n = kH, where k is the radial wavenumber and H is the gas scale height ( $H = c_s / \sqrt{\gamma} \Omega_K$ , where  $c_s$ is the sound speed,  $\Omega_K$  the Keplerian rotation frequency and  $\gamma$  the adiabatic index). The friction time  $\tau_f$  is assumed to be equal to  $1/\Omega_K$ . The left and middle panels show the growth and damping rates. The



Lyra & Kuchner (2013, Nature, 499, 184)

#### Particles move toward pressure maxima



Adapted from Whipple (1972)

#### **Photoelectric heating**

In optically thin debris disks, the **dust** is the **main heating agent** for the gas.



Dust intercepts starlight directly, emits electron, that heats the gas.

Gas is photoelectrically heated by the dust

**Runaway process: instability** 

Dust heats gas Heated gas = high pressure region High pressure concentrates dust **Runaway process: instability** 



**Dust heats gas** 

Heated gas = high pressure region

High pressure concentrates dust



#### **Linear Analysis**



$$\lim_{\tau_T \to 0} P = c_v (\gamma - 1) T_0 \Sigma_g \Sigma_d / \Sigma_0$$



#### **Solutions**



#### **Solutions**



Damped and free Oscillations

#### **Solutions**



Damped and free Oscillations

#### Oscillations

#### Low Reynolds number

High Reynolds number



## **Epicyclic oscillations** clear at high Reynolds numbers!

#### The model in r- $\phi$ : Eccentric rings



**Epicyclic oscillations** 

make the ring appear *eccentric* !!!

### **Ring Offset**



Eccentricity e=0.04

There is a robust ring-forming *photoelectric instability* 

in optically thin gas-dust disks

Reproduces gross properties of observed systems

(rings, sharp edges, eccentricity)

#### Maximum for gas-to-dust ratio ~ 5

(probably more applicable to transitional disks and gas-rich debris disks such as 49 Ceti)

**Future work**: 3D turbulence, Radiation forces, Collisions.... .... (suggestions?)

## Europa



## **Europa: ocean-bearing moon**



## Induced magnetic field



## **Europa Clipper**





#### Reconnaissance: 45 flybys, as low as 25km

Radar to determine ice's thickness

#### High resolution camera

Identify future landing sites
Dynamical equations  

$$\frac{\partial}{\partial x_j} \sigma_{ij} - \nabla p + (RaT)\mathbf{z} = 0,$$

$$\frac{\partial T}{\partial t} + \mathbf{u} \cdot \nabla T = \nabla^2 T + q',$$

$$\nabla \cdot \mathbf{u} = 0,$$

Ice Rheology  

$$\dot{\varepsilon}_{ij} = \frac{1}{2} \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right).$$

$$\dot{\varepsilon}_{ij} = A^{-1} \sigma^{n-1} \sigma_{ij} \quad \text{or} \quad \sigma_{ij} = A^{1/n} \dot{\varepsilon}^{(1-n)/n} \dot{\varepsilon}_{ij},$$

$$\eta = \frac{\sigma_{ij}}{2\dot{\varepsilon}_{ij}} = \frac{1}{2} \sigma^{1-n} = \frac{1}{2} \dot{\varepsilon}^{(1-n)/n}.$$



## State of the art

### 3. Coupled thermal convection with tidal dissipation

Next, we perform fully coupled numerical simulations of thermal convection and tidal heating that we self-consistently calculate from the time-evolving temperature structure. We again adopt 2D cartesian (rectangular) geometry, with the dimensions in this case representing horizontal position x and height z. Cartesian geometry is appropriate for regional studies of Europa's ice shell because Europa's ice-shell thickness is much smaller than its radius. We neglect inertia and adopt the Boussinesq approximation. We use the finite-element code ConMan (King et al., 1990) to solve the dimensionless equations of momentum, continuity, and energy, respectively given by

$$\frac{\partial \sigma_{ij}}{\partial x_j} + Ra\,\theta k_i = 0 \tag{3}$$

$$\frac{\partial u_i}{\partial \mathbf{x}_i} = \mathbf{0} \tag{4}$$

$$\frac{\partial \theta}{\partial t} + u_i \frac{\partial \theta}{\partial x_i} = \frac{\partial^2 \theta}{\partial x_i^2} + q'$$
(5)

Icarus 207 (2010) 834-844



### Coupled convection and tidal dissipation in Europa's ice shell

#### Lijie Han<sup>a,\*</sup>, Adam P. Showman<sup>b</sup>

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ARTICLE INFO ABSTRACT Article history: We performed 2D Received 13 May 2009 Revised 29 October 2009 Accepted 22 December 2009 dissipation rate pea

We performed 2D numerical simulations of oscillatory tidal flexing to study the interrelationship between tidal dissipation (calculated using the Maxwell model) and a heterogeneous temperature structure in Europa's ice shell. Our 2D simulations show that, if the temperature is spatially uniform, the tidal dissipation rate peaks when the Maxwell time is close to the tidal period, consistent with previous stud-

### Han and Showman (2010)

### 2D, Resolution 100x100







**Fig. 5.** Temperature distribution from a fully coupled ConMan/Tekton model of thermal convection and oscillatory tidal flexing. The model implements a domain-averaged tidal-flexing amplitude of  $1.25 \times 10^{-5}$  and tidal period of 3.5 days. The thickness of the ice shell is 15 km, and the Rayleigh number is  $1.81 \times 10^{7}$ . Top: temperature range of 90–270 K. Bottom: temperature range of 260–270 K.



# **Temperature structure and terrain morphology**



# To be done:

- Consider more realistic equation of state for the ice.
- Include melting/freezing and different grain size.
- Code 3D model.