# **Planet Formation**

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### Quick Bio

Wladimir Lyra

B.Sc.

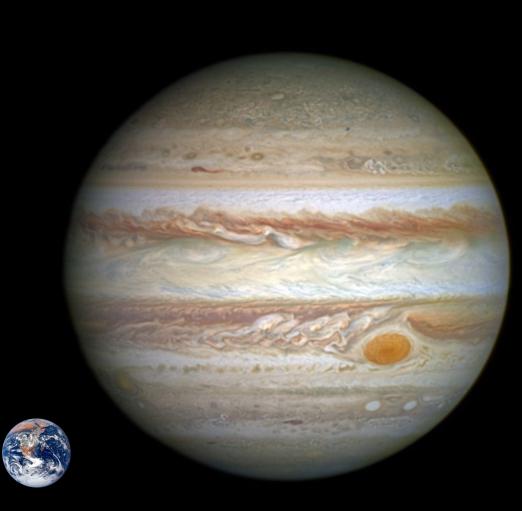
*Interests:* Planet formation, accretion disks, hydrodynamics, computational methods.

 Faculty New Mexico State University, NM 2019 – (tenured 2022). California State University, Northridge CA, 2015-2019.
 Visiting Faculty Nagoya University, Nagoya, Japan 2015. Max-Planck Institute for Astronomy, Heidelberg Germany 2018, 2019.
 Postdocs Hubble Fellow @ Jet Propulsion Laboratory – Caltech, 2011-2015. American Museum of Natural History (New York NY), 2009-2011.
 Ph.D. Uppsala University (Uppsala, Sweden), 2004-2009. Nordic Institute for Theoretical Physics (Stockholm, Sweden). Max-Planck Institute for Astronomy (Heidelberg, Germany).
 Research Assistant European Southern Observatory (Garching, Germany, 2003).

Research AssistantEuropean Southern Observatory (Garching, Germany, 2003).<br/>Cerro Tololo Interamerican Observatory (La Serena, Chile, 2003-2004).<br/>Lisbon Observatory, Portugal (2003).<br/>Space Telescope Science Institute (Baltimore MD, 2002).

Federal University of Rio de Janeiro (**Brazil**), Astronomy, 1999-2003.

# Planet Formation





### **Planet Formation - Outline of the Week**

Monday

• Setting the stage: Cloud collapse, disk formation, disk structure.

Learning objective: understanding the initial conditions for planet formation.

Wednesday

• The building blocks: Planetesimal formation via Streaming Instability and Vortex Trapping. *Learning objective: understanding how dust first turns into objects that can grow by gravity.* 

Thursday

• Scooping up: Pebble accretion vs planetesimal accretion Learning objective: understanding how planets grow in mass.

Friday

### • Direct gravitational instability.

Learning objective: a competing theory to core accretion for giant planet formation.

### **Reading Material**

- PP7 Chapter 13 Hydro-, Magnetohydro-, and Dust-Gas Dynamics of Protoplanetary Disks, Lesur et al. 2022.
- The Initial Conditions for Planet Formation: Turbulence Driven by Hydrodynamical Instabilities in Disks around Young Stars, Lyra & Umurhan 2019, PASP, 131, 1001.
- Astrophysics of Planet Formation, 2<sup>nd</sup> edition 2020, Armitage, Cambridge University Press.

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### Astrophysics of Planet Formation

Second Edition



#### The Initial Conditions for Planet Formation: Turbulence Driven by Hydrodynamical Instabilities in Disks around Young Stars

<sup>1</sup>California State University, Montidge, CA 91302 why no Beneration of Control and Contrel and Contrel and Control and Control and Con

#### Abstract

This review examines recent theoretical developments in our understanding of turbulence in cold, nonmagnetically active, planetesimal-forming regions of protoplanetary disks that we refer to throughout as "Ohmic zones." We give a brief background introduction to the subject of disk turbulence followed by a terse pedagogical review of the phenomenology of hydrodynamic turbulence. The equations governing the dynamics of cold astrophysical disks are given and back flow states are described. We discuss the Sobberg-Holiaud conditions required for stability, and the three recently identified turbulence-generating mechanisms that are possibly active in protoplanetary disk Ohmic zones: (i) the vertical shear instability, (ii) the convective overstability, and (ii) the zombic vortex instability. We summarize the properties of these processes, identify their limitations, and discuss where and under what conditions these processes are active in protoplanetary disk context.

Key words: turbulence – protoplanetary disks – hydrodynamics – instabilities – accretion – accretion disks – magnetohydrodynamics (MHD) Online material: color figures

#### 1. Introduction

ons of the Astronomical Society of the Pacific, 131:072001 (34pp), 2019 July

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Planet formation is simultaneously one of the oldest and one of the newset concerns of human inquiry. "How did the Earth come to be?" is a question that almost invariably appears in the cosmogonies of the ancients. They did not always have a clear lidea of what "Earth" meant, but this is a question that, in one form or another, virtually every society in recorded history has at some point acked itself. Particulary interesting are the ideas of Leucippus (480–4207 B.C.E.) who, according to testimonial, is to have said (Oleis & Knarz 1961)

> The worlds come into being as follows: many bodies of all sorts and shapes move from the infinite into a great void; they come together there and produce a single whirl, in which, colliding with one another and revolving in all manner of ways, they begin to separate like to like.

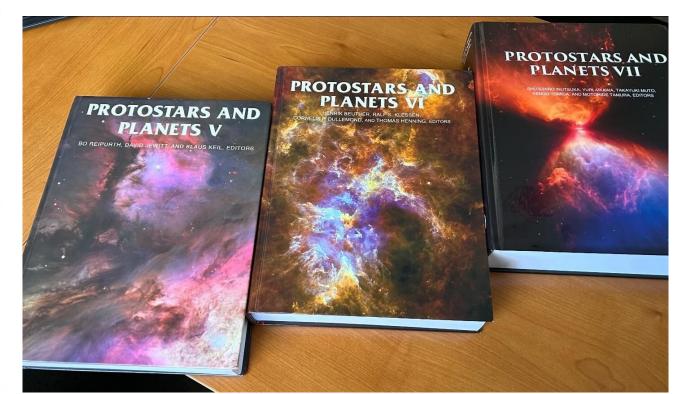
(Diogenes Laertius IX, 31)5

<sup>5</sup> Scholars of the Classical period note that nothing but third-person accounts survive of Leucippus' words. This vision strikes surprisingly modern, and not without foundation within the modern heavy of planet formation. Substitute "many bodies of all sorts and shapes" with gas and dust, then "single whith" with protoplanetary disk, and finally "revolving in all manner of ways" with turbulence, and it could have figured in the introduction of a paper in the latest issue of a major astronomy journal. This attests not to clairvoyance of the ancient Greeks, but to the antiquity of the question. Given the huge sample space, some of the educated guesses of the time are bound to contain some truth.

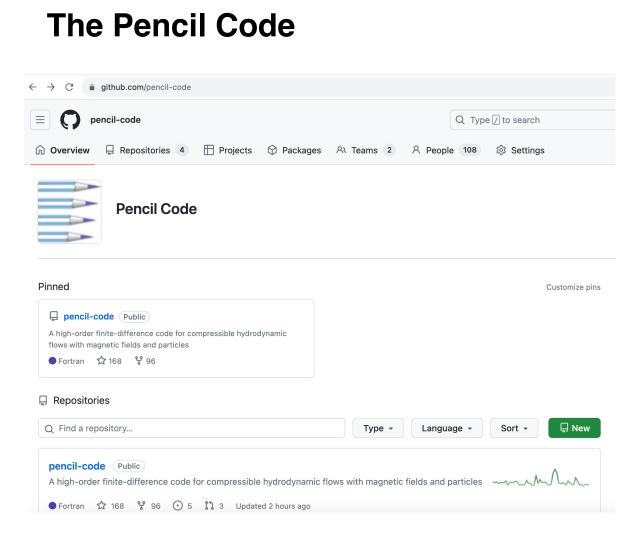
//doi.org/10.1088/1538-3873/aaf5f

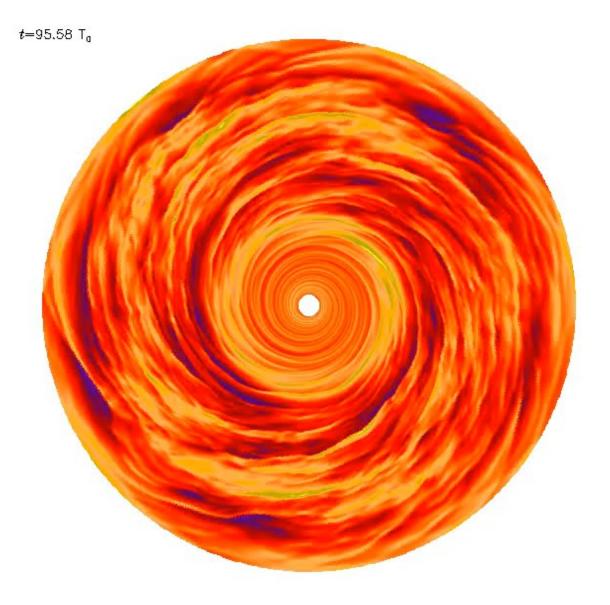
By the 18th century, Newtonian gravity and the orbits of the planets were understood in enough detail to realize that the low inclinations of the orbits implied that the assist way to attain that configuration was if the planets have formed in a disk that orbited the proto-Sun (Kant 1755). Because Jupiter and Saturn are gas giant planets, this disk must have been a disk of gas. Early mathematical considerations by Laplace (1706) applied Newton's theory of universal gravitation and laws of motion to a slowly rotating spherical cloud, implying that it should collapse under its own weight. Due to conservation of angular momentum, the gas settles into a flat disk torbing the condensing proto-Sun in the center. In this solar nebuda, planets are taking shape.

C. F. von Weizsäcker extended these fundamental notions, and pointed out that eddies in the forming solar nebula ought to



### Tools





## Planet Formation is an active and evolving field of research

	planet formation	× 🏮 🤇
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	Early Planet Formation in Embedded Disks (eDisk). XI. A High-resolution View Toward the BHR 71 Class 0 Protostellar Wide Binary	
	Continuum emission at 1.3 mm with robust parameter r = 0.5 for IRS1 (top row) and IRS2 (bottom row). The right panels are zoomed-in views of	
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ope in Chile reveal unique insights

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#### NASA's Webb Findings Support Long-Proposed Process of Planet Formation



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#### New Habitable Zone Planet Found in Unusual Star System

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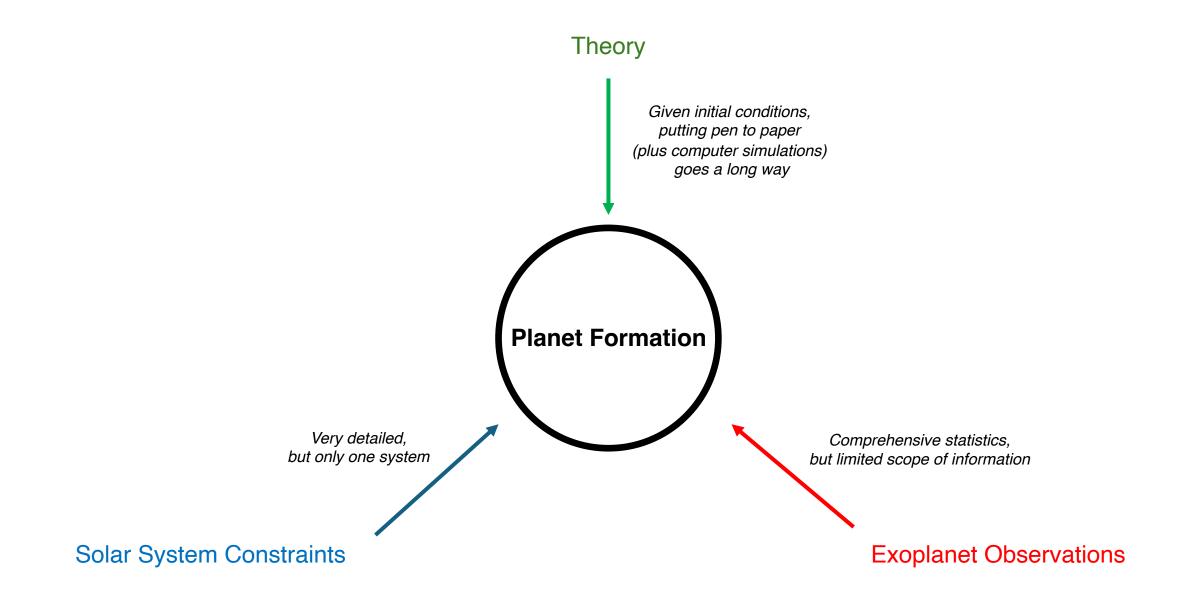
#### Planet Mercury is covered with a layer of diamonds that is 9 miles thick



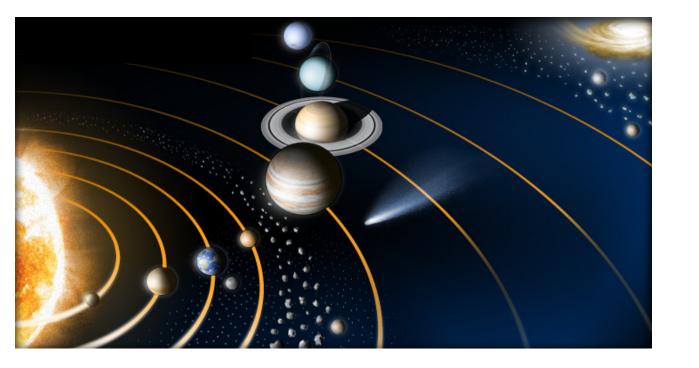
Beneath the skin of Mercury, the smallest planet in our solar system, expect the unexpected. Reaching out across the cosmos with this...

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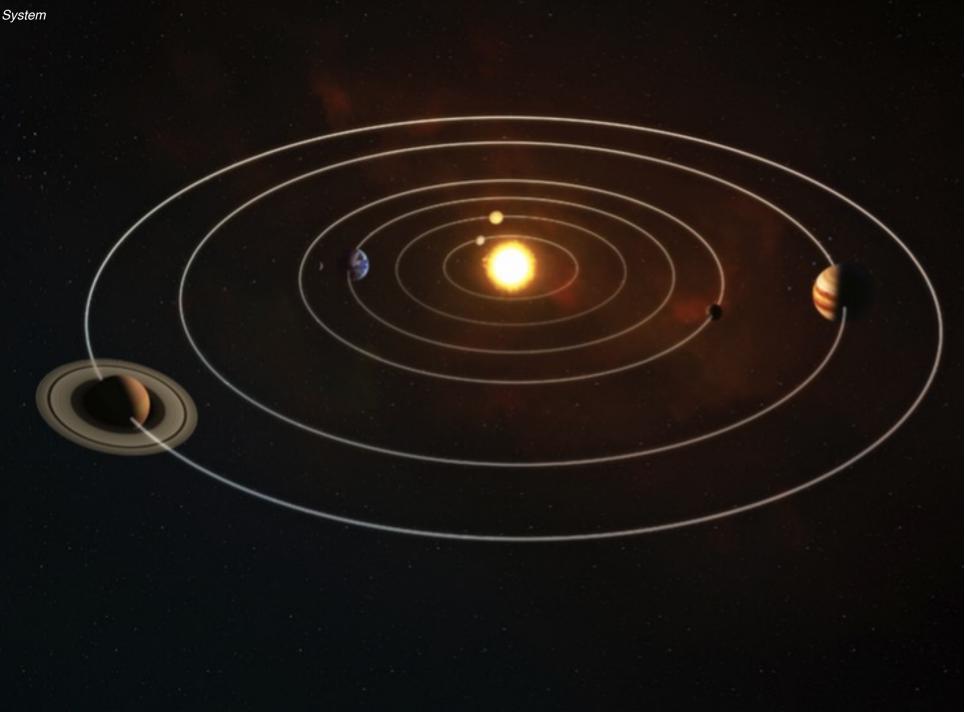


## **Solar System Planets**



# Any formation model of Solar System must explain:

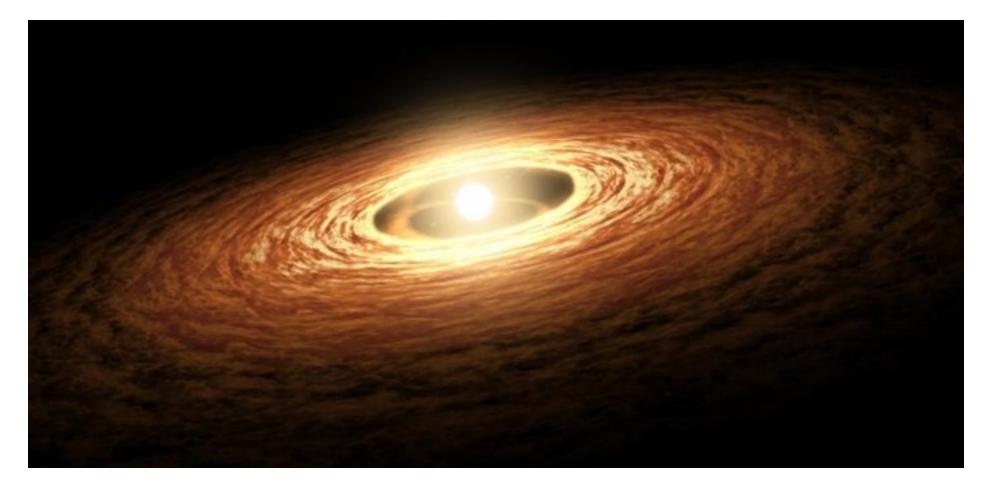
- All the orbits of the planets are prograde
- All the planets have orbital planes that are roughly in the same plane (inclined by less than 6 degrees with respect to each other).
- Inner/outer planets dichotomy
  - Inner planets are **terrestrial**: dense, rocky and small,
  - Outer planets are **jovian**: gaseous/icy and large.





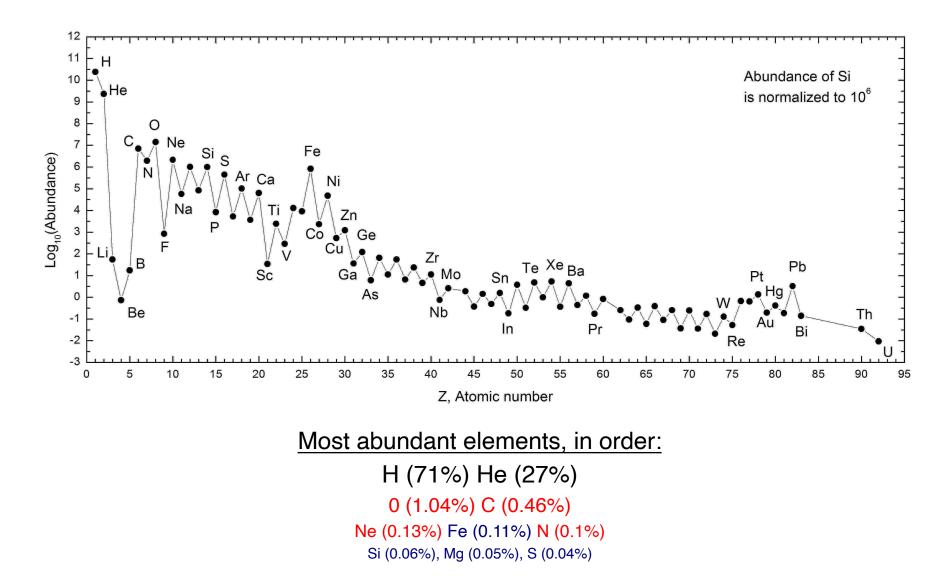
## **The Solar Nebula**

Nebular hypothesis – planets form in disks of gas and dust (Kant 1755, Laplace 1794)



## **Chemical Composition**

#### The chemical composition of the Sun



## Chemistry

H (71%) He (27%)

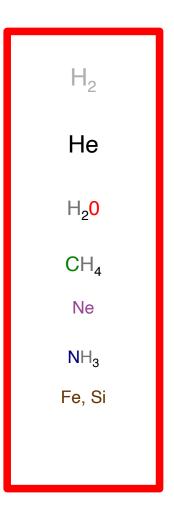
0 (1.04%) C (0.46%) Ne (0.13%) Fe (0.11%) N (0.1%) Si (0.06%)

**Volatiles** 

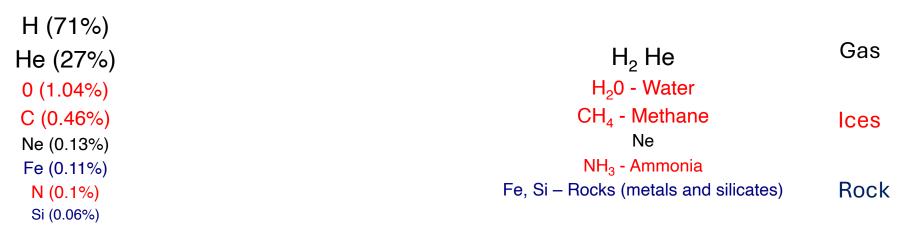
Refractory

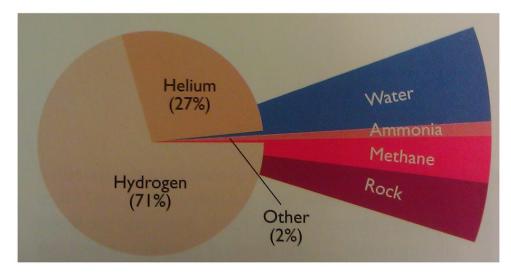
## Chemistry

ННННН Не НННННННННННННННННННН Не ННН НННННННЫ Si ННННН Не НННННННННН О НННН НННННННННННННН ННННННННННКСНННН ННННеннннннннннннннннннннннн ННН **Не** ННННННННННННННННННННН**Н**НН**Н** НИНИИ ОНИНИИНИИНИИНИИ Не ИНИИИ ОИИ ННННННННННННННН **Ne** ННННННННННН НННеннннннннннннннннннннннннн Ненноннинининининининининоннии ННННННННННННННННННННННННННН НННСНННННННННННННННН ОННННННН Ненннннннннннннннннннн ННСННННННННННННННННННННННН Неннннннннннннн Ненннноннннннннн ннн не ннннннннннннннннннннн НННННННОНННННННННКСНННННННН Ненн ННННННОНННННННЕННЕНННННННННН НИНИНИИНИИНИИНИИНИИНИИНИИНИИ НЕ



## What will the chemistry of the mixture be?





## **Classes of planets**

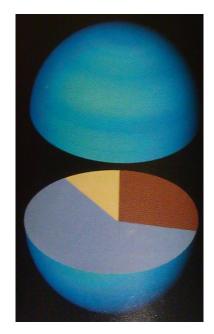
#### **Rocky Planets**

Earth



#### **Ice Giants**

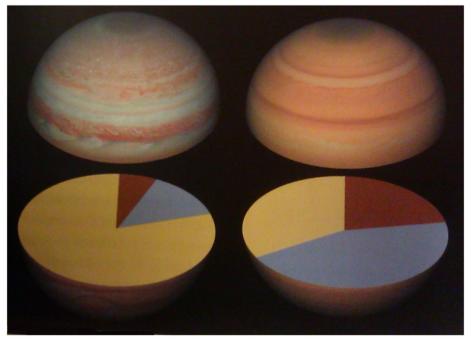
#### Uranus/Neptune



#### **Gas Giants**

Jupiter







Rock

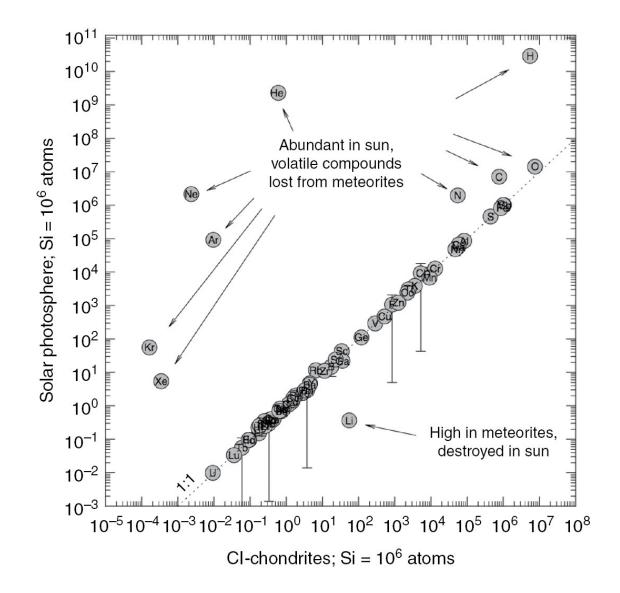


Ice

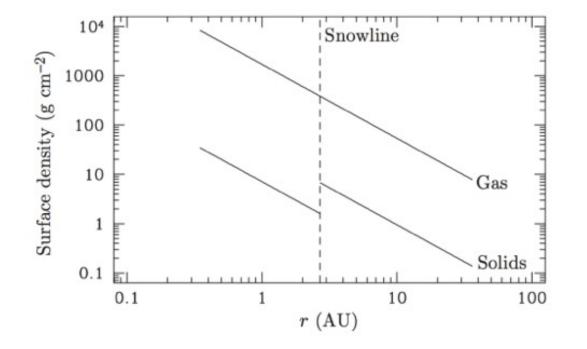


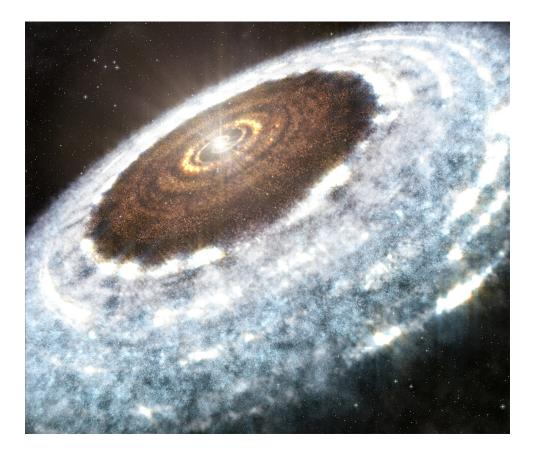


### **Refractories in meteorites: Solar Composition**



## Snowline





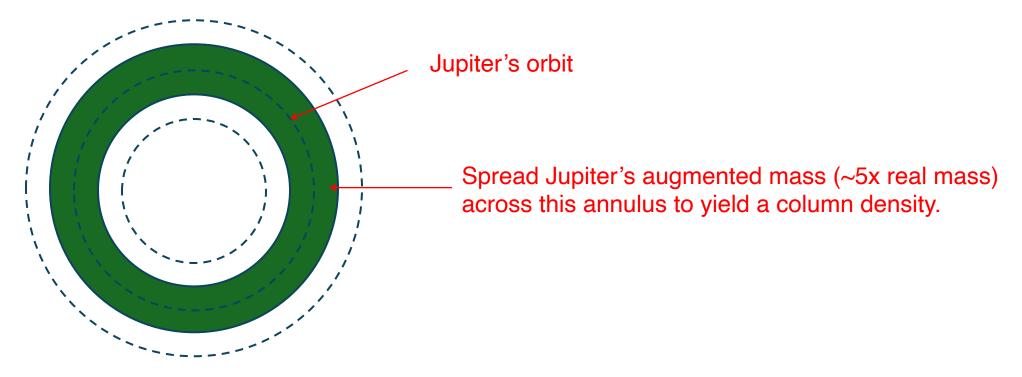
## The idea, *roughly*



## The Minimum Mass Solar Nebula (MMSN)

How much mass was needed to form the planets?

- 1. Take the mass in each planet
- 2. Increase H/He to solar composition
- 3. Spread the mass into an annulus around each orbit



## The Minimum Mass Solar Nebula (MMSN)

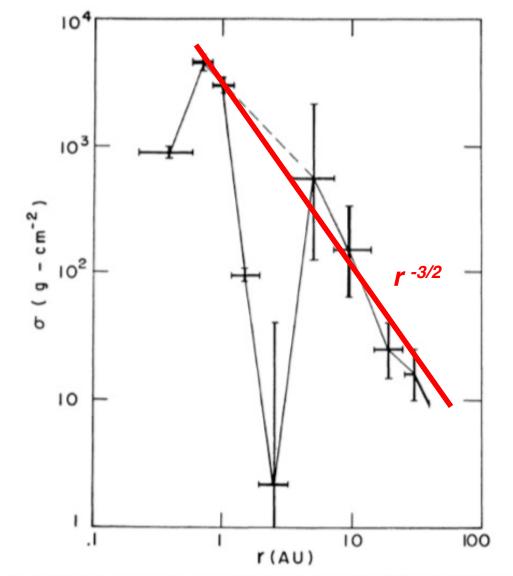
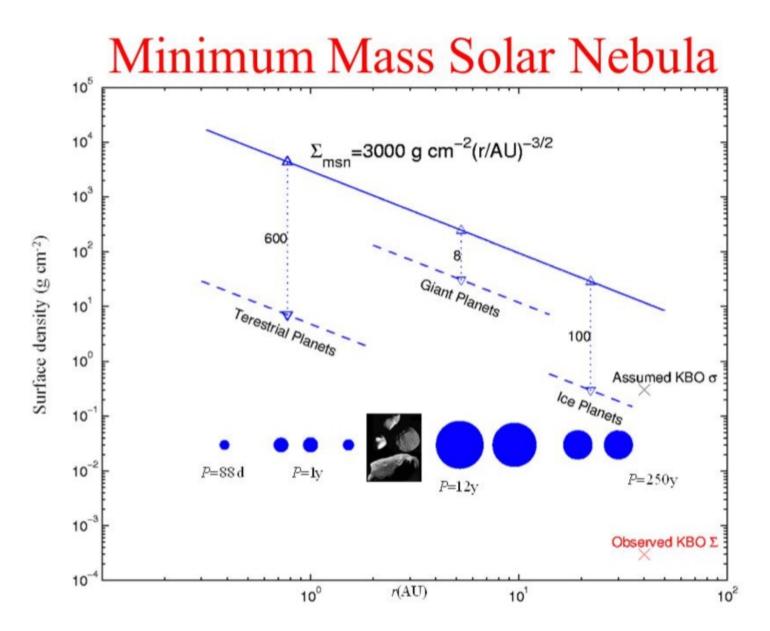


Fig. 1. Surface densities,  $\sigma$ , obtained by restoring the planets to solar composition and spreading the resulting masses through contiguous zones surrounding their orbits. The meaning of the 'error bars' is discussed in the text.



### **Distribution of Disk Masses**

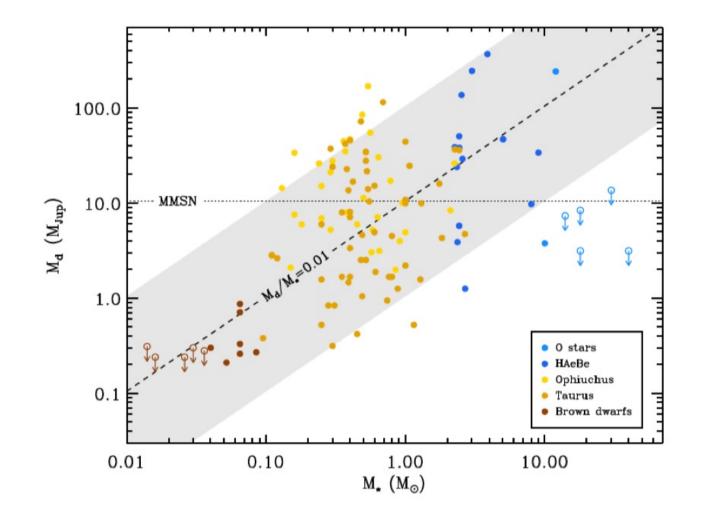
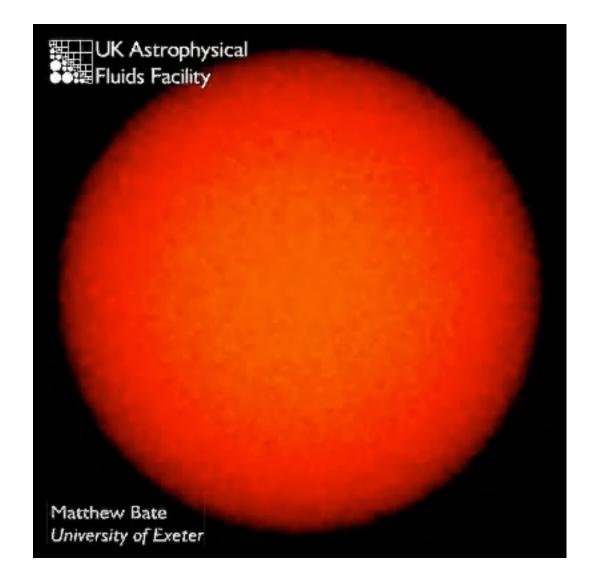


Figure 1: The disk mass as a function of the stellar mass (adopted from [51])

### Square one: Star Formation

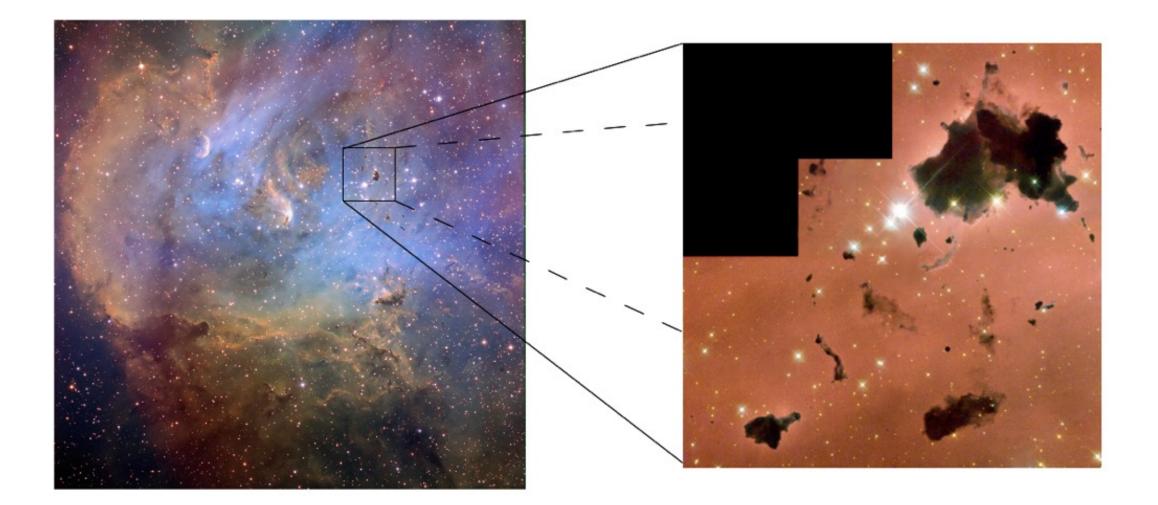




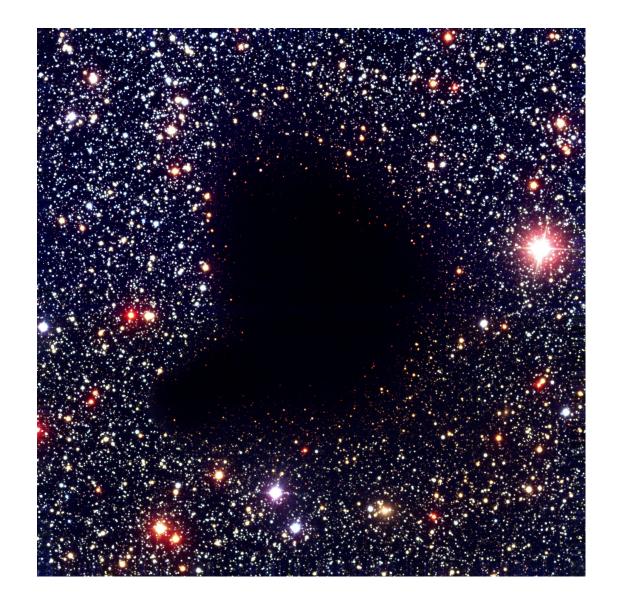




## Square one: Star Formation



## **Barnard 68**

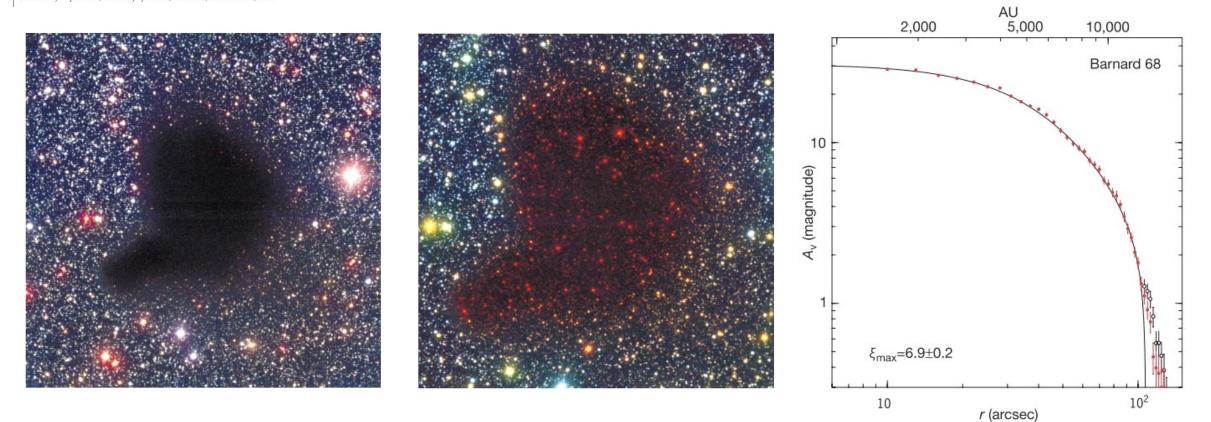


#### Internal structure of a cold dark molecular cloud inferred from the extinction of background starlight

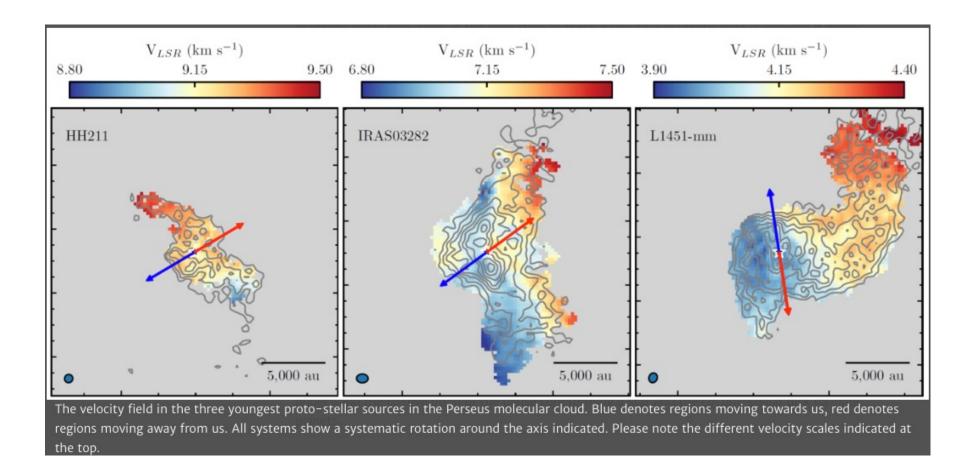
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#### João F. Alves\*, Charles J. Lada† & Elizabeth A. Lada‡

\* European Southern Observatory, Karl-Schwarzschild Straße 2, D-85748 Garching b. München, Germany † Harvard-Smithsonian Center for Astrophysics, 60 Garden Street, Cambridge, Massachusetts 02138, USA ‡ Astronomy Department, University of Florida, Gainsville, Florida 32608, USA

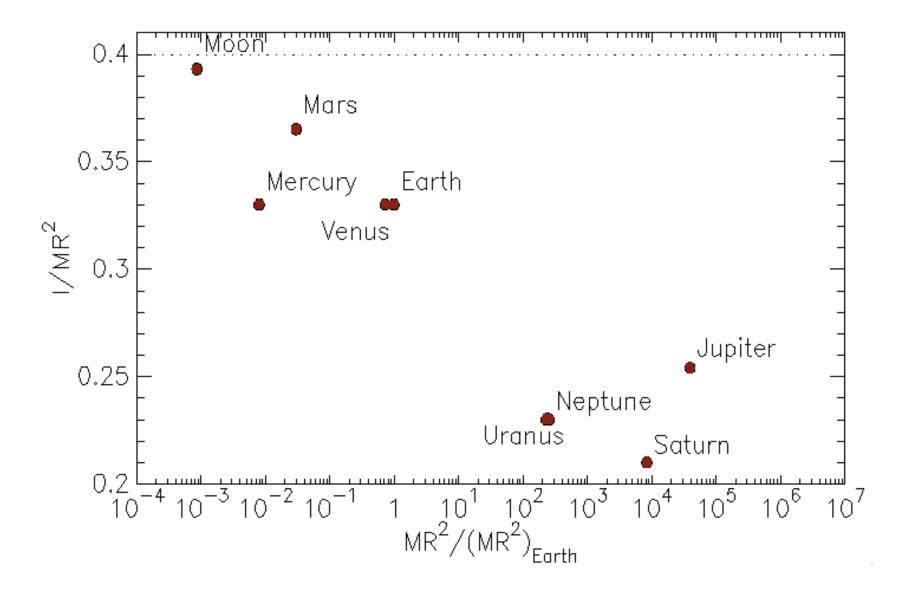


### **Radial Velocities – Solid body rotation**

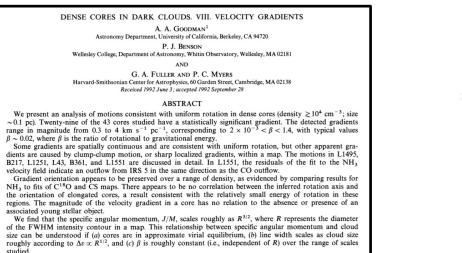


(LSR=Local Standard of Rest)

### Inertia moment – deviations from homogeneity ( $I = 0.4 MR^2$ )



### Distribution of $\beta$ (rotational support)



Subject headings: ISM: clouds — ISM: kinematics and dynamics — ISM: molecules — stars: formation

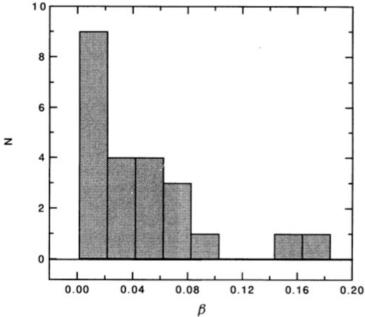
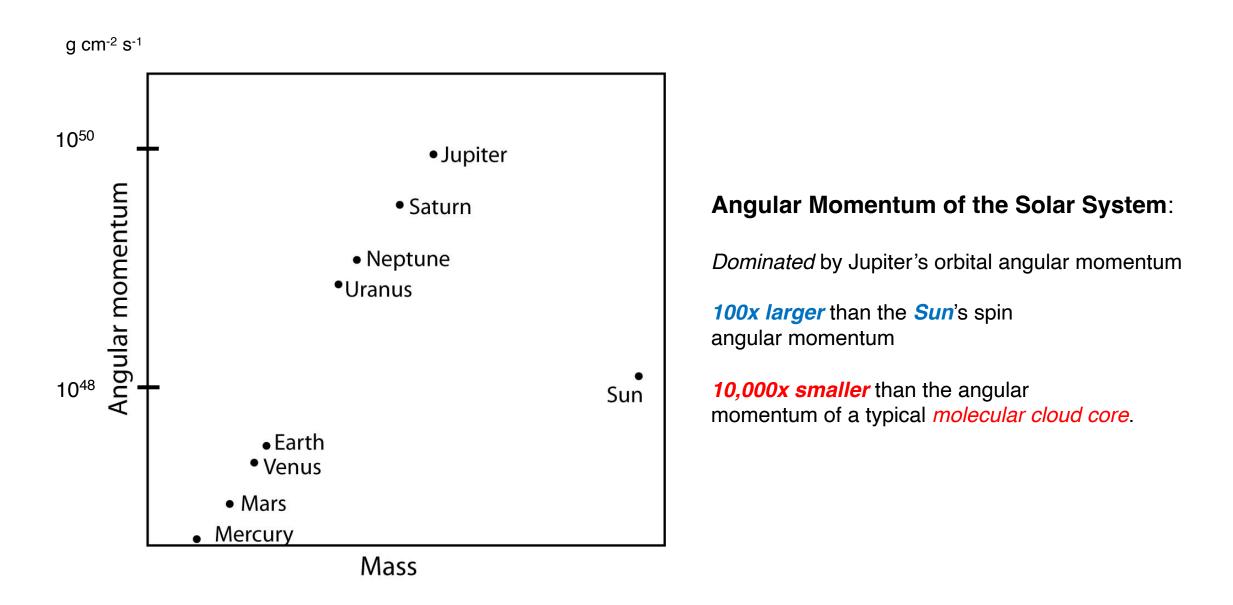


FIG. 11.—Distribution of  $\beta$ , shown for the 23 cores where enough information is available to calculate  $\beta$  without assuming virial equilibrium (i.e., the estimate of gravitational energy is based on the measured cloud size and a derived volume *density*, not on line width). Note that the value of  $\beta = 1.4$  for L1495NW is not included in this distribution; this seemingly discrepant value is discussed in the text (§ 6.2).

β ~0.02 (<<1)

## **The Angular Momentum Problem**



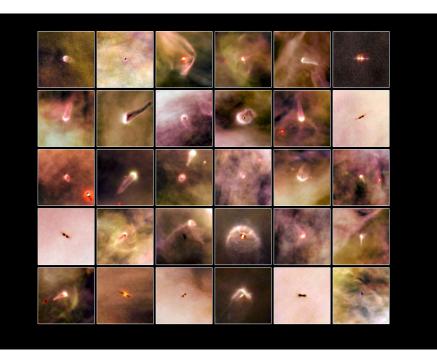


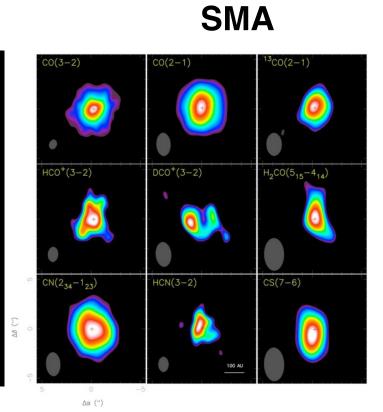
## HST view of disks in Orion



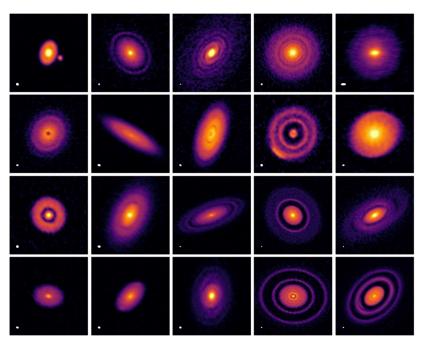
## HST vs SMA vs ALMA

### HST





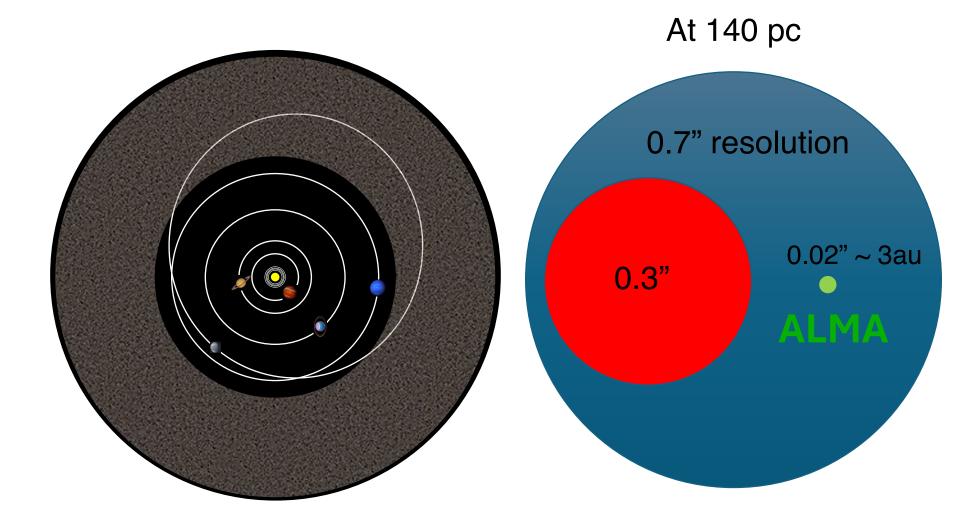
### ALMA



# The Atacama Large (sub-)Millimeter Array (ALMA)

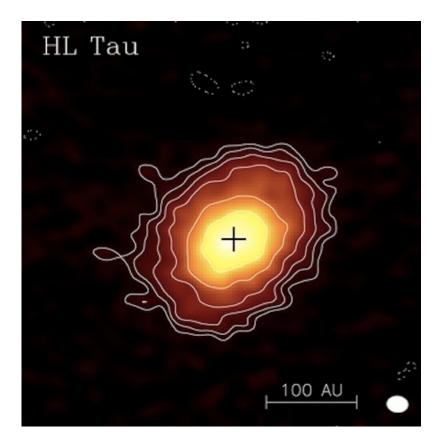


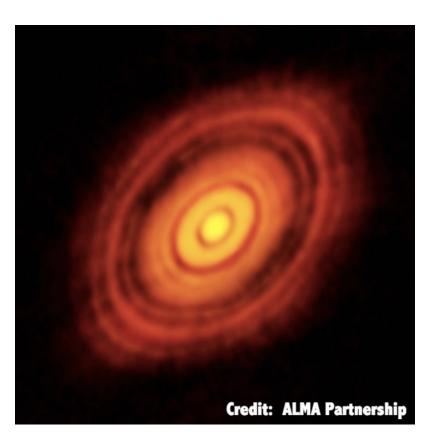
# The ALMA Revolution

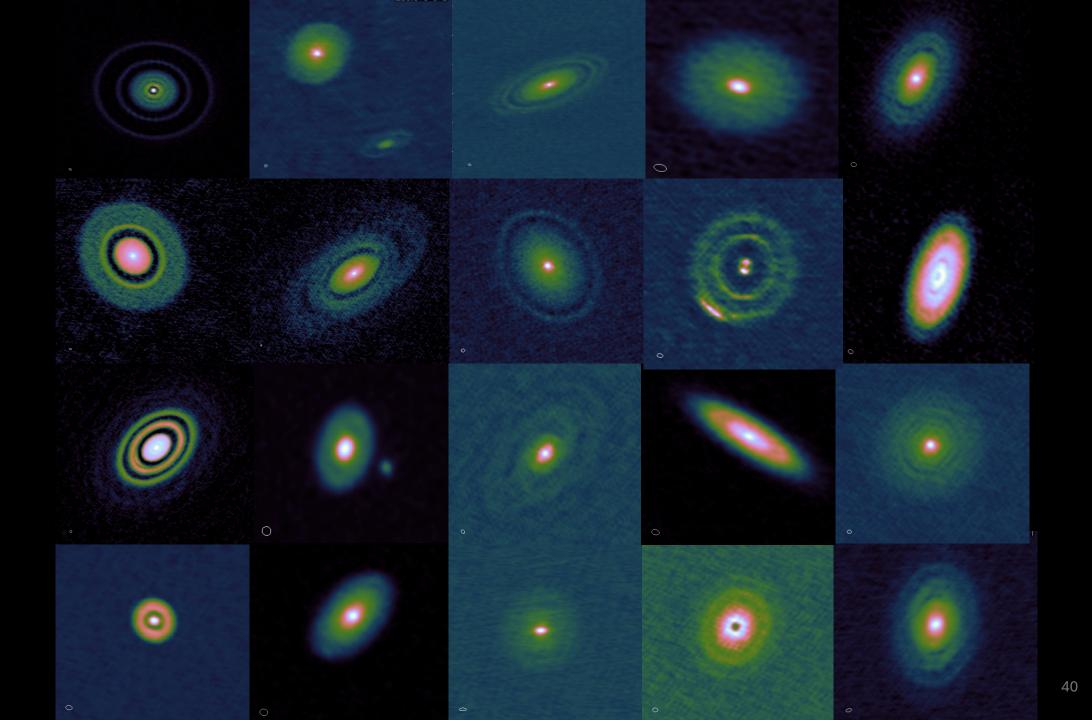


### **Before ALMA**

ALMA







## **Distribution of Disk Sizes**

DRAFT VERSION JANUARY 16, 2020 Typeset using LATEX twocolumn style in AASTeX62

The evolution of dust-disk sizes from a homogeneous analysis of 1-10 Myr-old stars

Nathanial Hendler,  $^1$ Ilaria Pascucci,  $^1$ Paola Pinilla,  $^2$ Marco Tazzari,  $^3$ John Carpenter,  $^4$ Renu Malhotra,  $^1$  and Leonardo Testi  $^5$ 

<sup>1</sup>Lunar and Planetary Laboratory, The University of Arizona, Tucson, AZ 85721, USA
 <sup>2</sup>Max-Planck-Institut für Astronomie, Königstuhl 17, 69117, Heidelberg, Germany
 <sup>3</sup>Institute of Astronomy, University of Cambridge, Madingley Road, CB3 0HA Cambridge, UK
 <sup>4</sup>Joint ALMA Observatory, Avenida Alonso de Córdova 3107, Vitacura, Santiago, Chile
 <sup>5</sup>European Southern Observatory, Karl-Schwarzschild-Strasse 2, 85748 Garching bei Machen, Germany

#### ABSTRACT

We utilize ALMA archival data to estimate the dust disk size of 152 protoplanetary disks in Lupus (1-3 Myr), Chamaeleon I (2-3 Myr), and Upper-Sco (5-11 Myr). We combine our sample with 47 disks from Tau/Aur and Oph whose dust disk radii were estimated, as here, through fitting radial profile models to visibility data. We use these 199 homogeneously derived disk sizes to identify empirical disk-disk and disk-host property relations as well as to search for evolutionary trends. In agreement with previous studies, we find that dust disk sizes and millimeter luminosities are correlated, but show for the first time that the relationship is not universal between regions. We find that disks in the 2-3 Myr-old Cha I are not smaller than disks in other regions of similar age, and confirm the Barenfeld et al. (2017) finding that the 5-10 Myr USco disks are smaller than disks belonging to younger regions. Finally, we find that the outer edge of the Solar System, as defined by the Kuiper Belt, is consistent with a population of dust disk sizes which have not experienced significant truncation.

 ${\it Keywords:}\ {\rm protoplanetary}\ {\rm disks,\ stars:}\ {\rm pre-main\ sequence,\ submillimeter:\ planetary\ systems}$ 

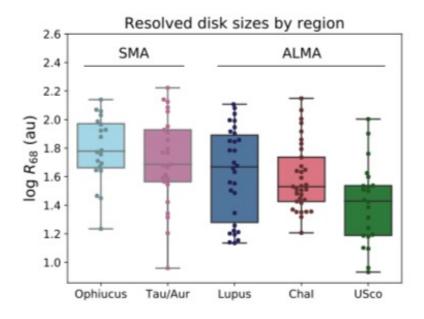
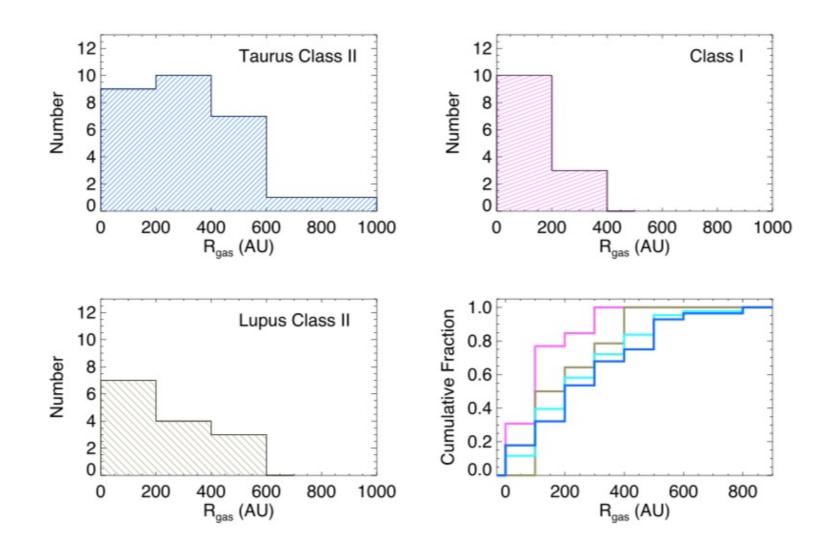


Figure 4. Swarmplots for resolved disks in different regions, ordered by age. The boxplots include a shaded region surrounding the  $R_{68}$  25-75% quartiles, the horizontal line denotes the median disk size, while whiskers define the 0-25% and 75-100% quartiles. The regions observed with the SMA are greyed out because they are biased to the brightest millimeter disks, hence their size distributions should not be directly compared to the regions observed by ALMA.

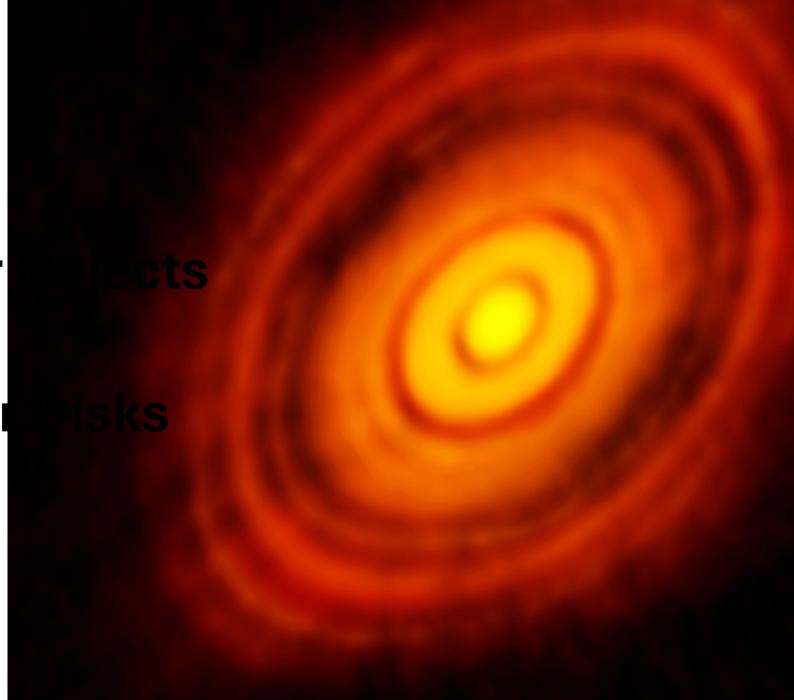
# **Distribution of Disk Sizes**



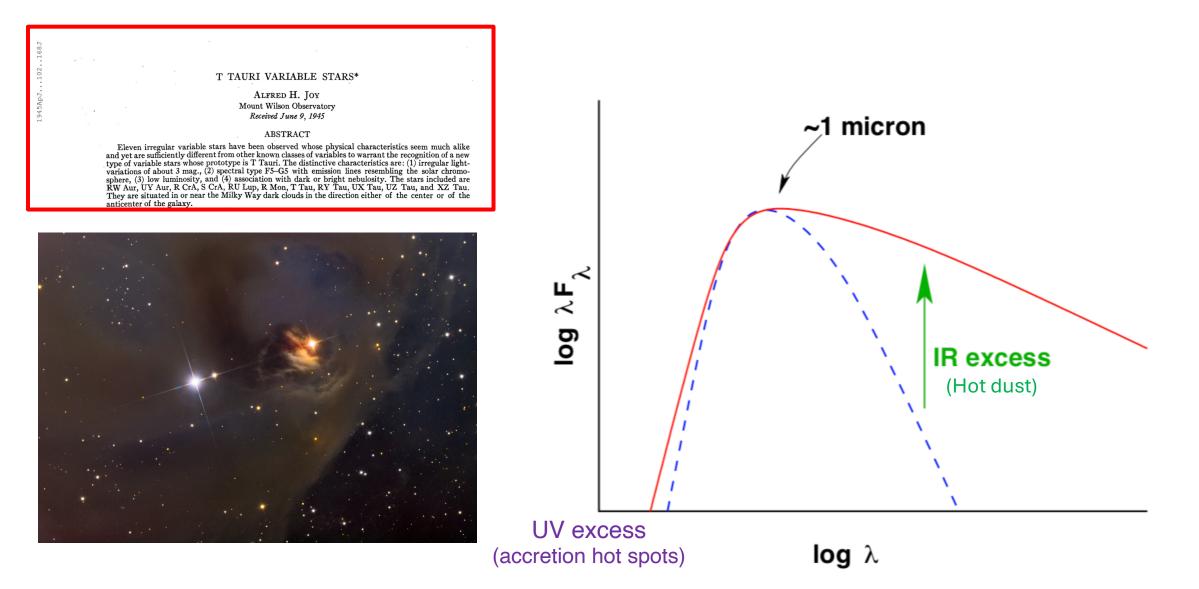
# Key ideas so far

- Planets form in disks of gas and dust
  - Gas means 99% He and H
  - "Dust" means ices and rock.
- A collapsing molecular cloud has too much angular momentum
  - A ~100au disk will form
- Disks have in average a mass ratio 0.01, although with considerable scatter.

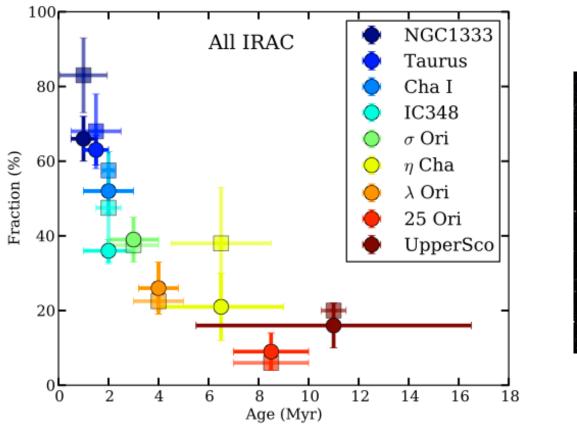
# Classes of Young Stellar and Circumstella



## **T** Tauri stars



# **Disk lifetime**



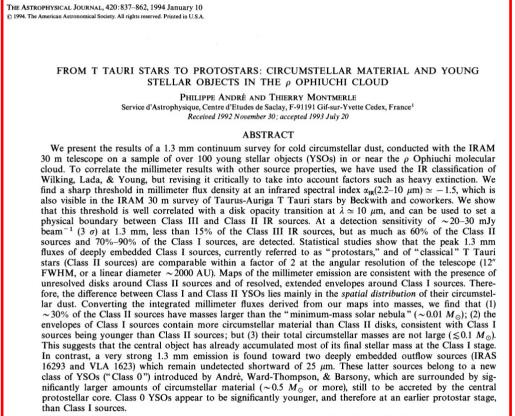


(Ribas et al. 2014)

#### **Disks dissipate within ~10Myr**

A static description cannot be the full picture: disks must evolve in time.

#### $\alpha_{\text{IR}}$ and Infrared Excess



Subject headings: circumstellar matter — dust, extinction — ISM: individual ( $\rho$  Ophiuchi) — radio continuum: stars — stars: pre-main-sequence

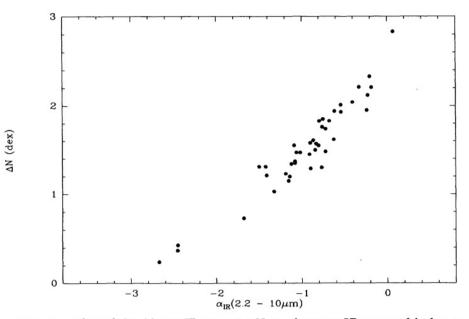
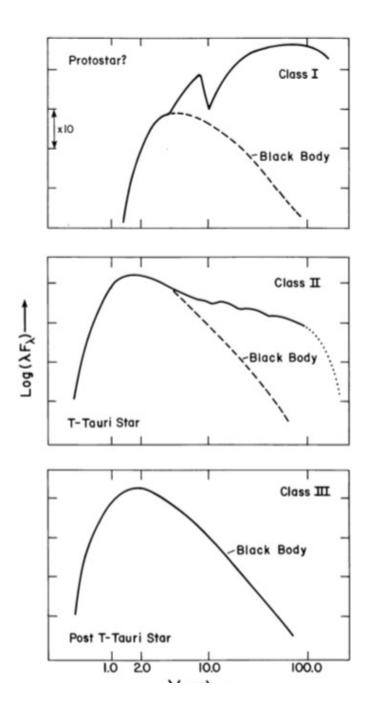


FIG. 2.—Plot of the 10  $\mu$ m IR excess  $\Delta N$  vs. the near-IR spectral index  $\alpha_{IR}$  measured between 2.2 and 10  $\mu$ m, for the T Tauri stars of Taurus-Auriga. The good correlation suggests that  $\alpha_{IR}$  may be used as an indicator of the 10  $\mu$ m excess for highly extinguished stars where it is difficult to measure directly by comparison with the photosphere.  $\Delta N \sim 1$  marks the boundary between optically thin and optically thick disk emission at 10  $\mu$ m (cf. Skrutskie et al. 1990).



#### **Classification of T-Tauri stars**

11

STAR FORMATION: FROM OB ASSOCIATIONS TO PROTOSTARS

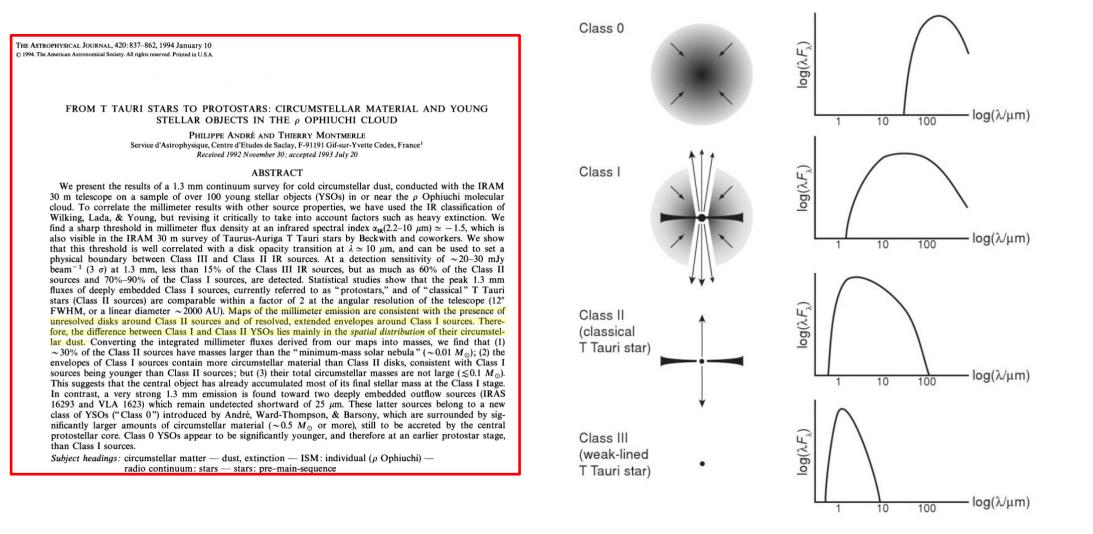
Charles J. Lada Steward Observatory University of Arizona Tucson, Arizona 85721 USA

#### 4.2. An Evolutionary Sequence?

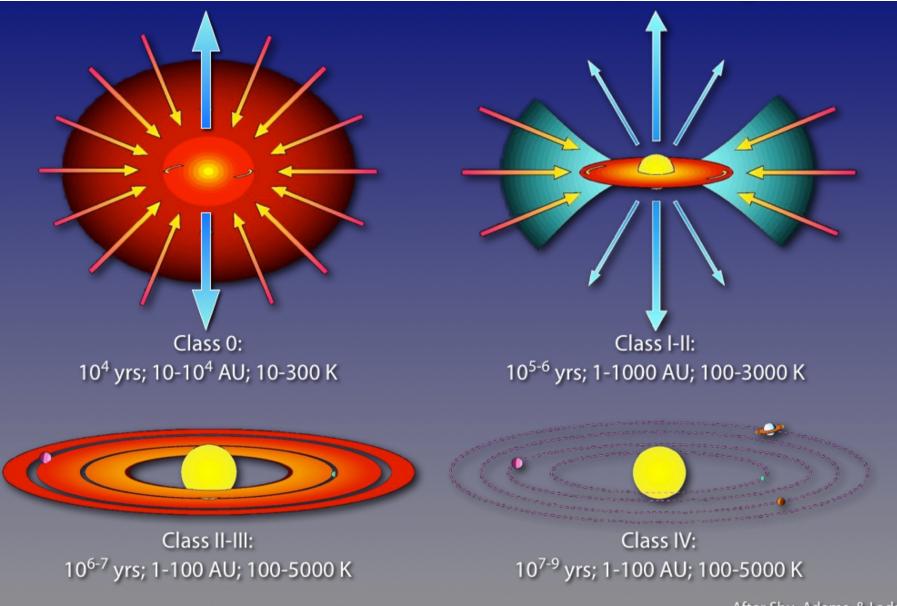
Does the more or less continous variation in the spectral shapes of embedded infrared sources represent a sequence of evolution for protostars and young stellar objects? Most class II and III objects are associated with visible stars, mostly T Tauri stars and PMS stars. On the other hand, most class I objects are invisible and heavily obscured. Consequently their nature is difficult to ascertain. They could be protostars, or very deeply embedded T Tauri-PMS stars or some intermediate type of object. However, it is unlikely that they are merely more heavily reddened versions of T Tauri stars because examples of such stars exist in the Ophiuchi cluster and their energy distributions are typically flat or decreasing at long wavelengths (similar to optically visible T Tauri stars but unlike class I objects), but are considerably steeper at shorter wavelengths than the visible T Tauri stars. It is possible that class I objects are protostars, although many are the driving sources of molecular outflows a circumstance that has been interpreted to indicate that such objects are in a post-protostar phase of very early stellar evolution (Wynn-

Williams 1982; Lada 1985). Recent theoretical models which predict the emergent energy distributions of low mass protostars strongly suggest that class I objects are indeed objects in the process of building up mass by the accretion of infalling circumstellar matter (Adams and Shu 1985, Shu, Lizano and Adams, this conference). In any event it appears evident and it is reasonable to assume that class I objects are in a much younger stage of development than class II sources. Since class III sources are stars with very little near in circumstellar dust it also seems reasonable to assume that they are the most removed in time from the events of stellar formation and the most evolved of the infrared sources.

#### **T-Tauri classes as an evolutionary sequence**



## **T-Tauri classes as an evolutionary sequence**



After Shu, Adams, & Lada

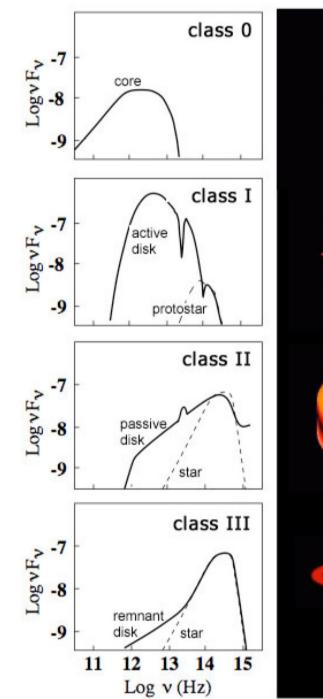
#### Table 1 Classification of young stellar objects

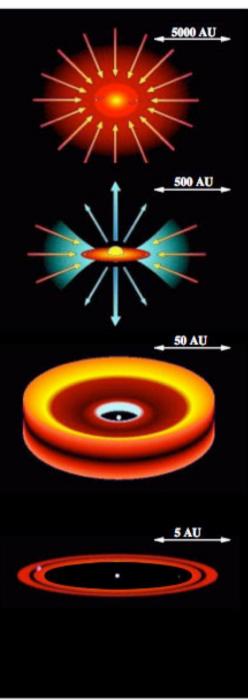
Class	SED slope	Physical properties	Observational characteristics
0	-	$M_{\rm env} > M_{\rm star} > M_{\rm disk}$	No optical or near-IR emission
Ι	$\alpha_{\rm IR} > 0.3$	$M_{\rm star} > M_{\rm env} \sim M_{\rm disk}$	Generally optically obscured
FS	$-0.3 < \alpha_{\rm IR} < 0.3$		Intermediate between Class I and II
Π	$-1.6 < \alpha_{\rm IR} < -0.3$	$M_{ m disk}/M_{ m star} \sim 1\%, M_{ m env} \sim 0$	Accreting disk; strong H $\alpha$ and UV
III	$\alpha_{\rm IR} < -1.6$	$M_{ m disk}/M_{ m star} \ll 1\%, \; M_{ m env} \sim 0$	Passive disk; no or very weak accretion

- IR-based classification: Lada & Wilking (1984)
- Class I-II-III
- Spectral slope between 2 and 25 μm

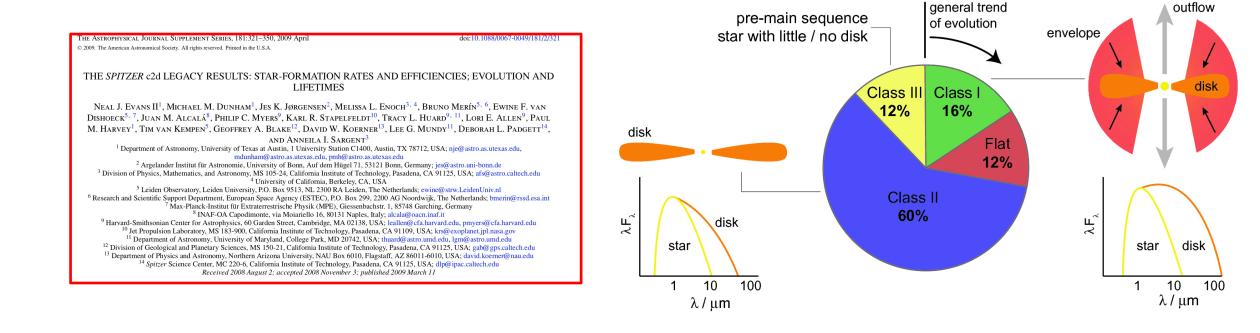
$$\alpha_{\rm IR} = \frac{d \log \nu F_{\nu}}{d \log \nu} = \frac{d \log \lambda F_{\lambda}}{d \log \lambda}$$

- Flat spectrum; Class 0
- CTTS / WTTS EW(Hα) ~ 10 Å



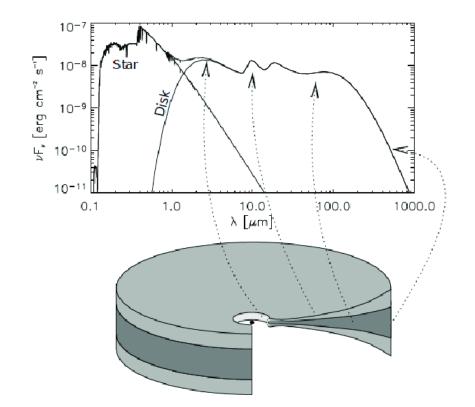


# **Evolution summary (Spitzer Core to Disk Legacy)**



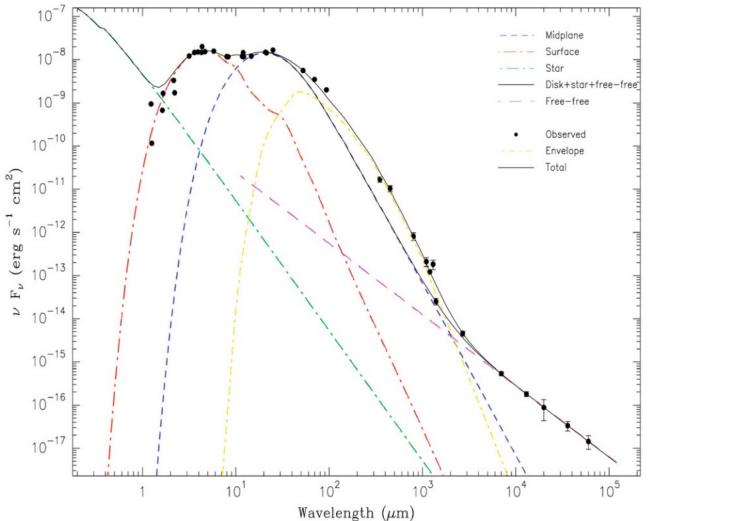
#### Class II is the (main) epoch of planet formation

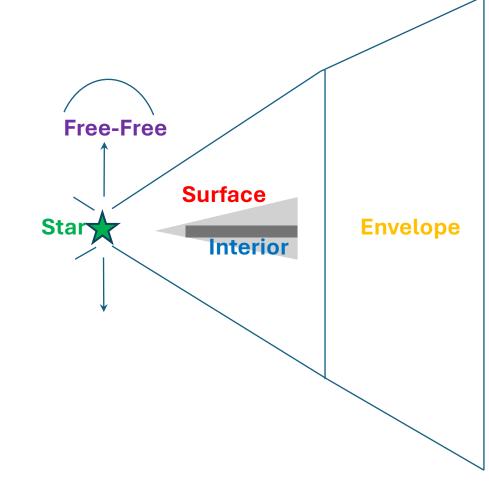
# Disks are optically thick in infrared and optically thin in millimeter



To witness planet formation we must observe in millimeter

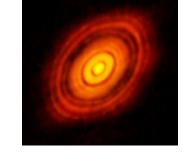
# SED fitting for R Mon





# New Developments since the 1980s and 1990s

Observations of Protoplanetary Disks
 (initial conditions)





• Discovery of extrasolar planets

(confirm earlier ideas but also points to diversity of outcomes)

- Formulation of the models of
  - Core Accretion
  - Streaming Instability
  - Pebble Accretion
  - Vortex Trapping

