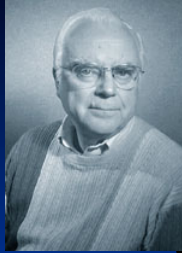


# Life in the Universe



the Drake Equation

$$N = R_* \cdot f_p \cdot n_e \cdot f_l \cdot f_i \cdot f_c \cdot L$$

An exercise in applied logic that cuts across every field of human knowledge...

4/30/15

The Drake Equation

1

$$N = R_* f_p n_e f_l f_i f_c L$$



the number of civilization in the Galaxy that can communicate across stellar distances

Human Knowledge Required...

Astronomy Astrophysics Physics Chemistry Climatology  
Oceanography Geophysics Biology Evolution Paleontology  
Archeology Anthropology Sociology Psychology Politics  
Culture Economics Ethics Theology...

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The Drake Equation

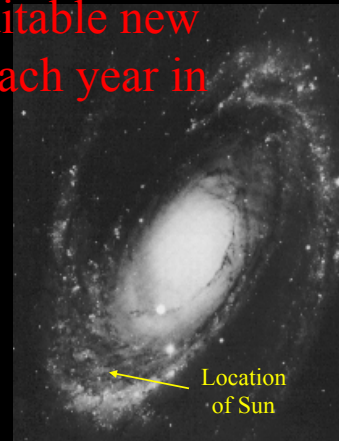
2

$$N = R_* f_p n_e f_l f_i f_c L$$

the *rate* at which suitable new stars are forming each year in the Galaxy

The Galaxy has ~400,000,000,000 stars, which are forming, living, and dying in billion year cycles-

*Stars are the fundamental platforms and energy sources for life...*



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The Drake Equation

3

$$N = R_* f_p n_e f_l f_i f_c L$$



*Dark Clouds- stellar wombs amongst the billions of stars*

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The Drake Equation

4

$$N = R_* f_p n_e f_l f_i f_c L$$



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The Drake Equation

5

$$N = R_* f_p n_e f_l f_i f_c L$$

$R_*$  is pretty well known because astronomical technology is up to the task of measuring it...

$$R_* \sim 10 \text{ stars per year}$$

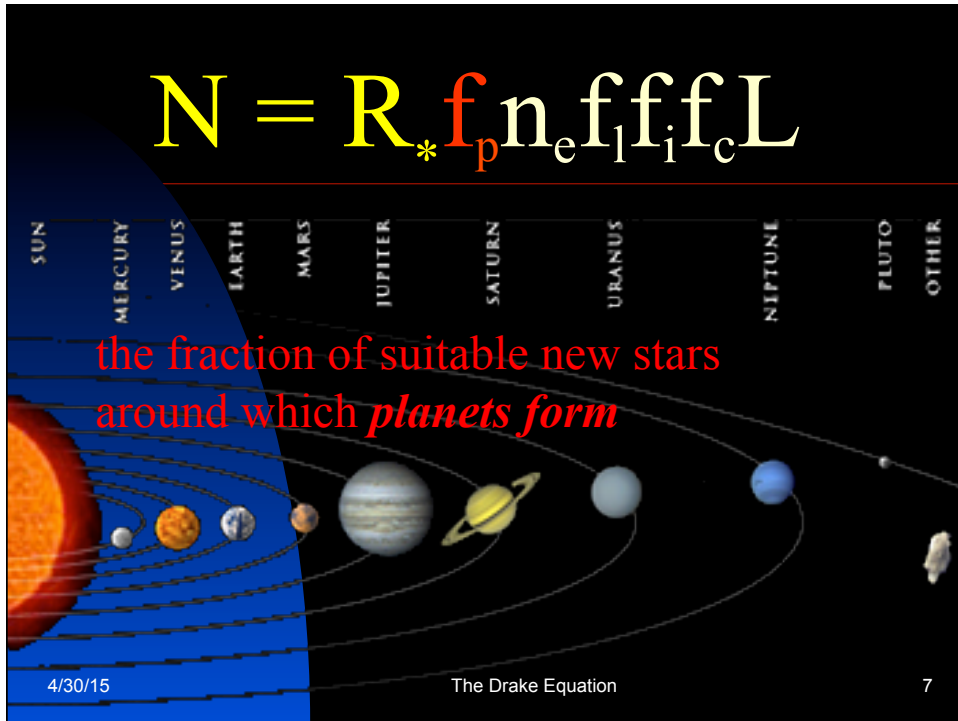
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The Drake Equation

6

$$N = R_* f_p n_e f_l f_i f_c L$$

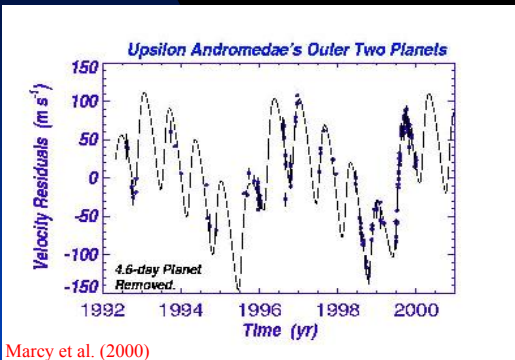
the fraction of suitable new stars around which *planets form*



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$$N = R_* f_p n_e f_l f_i f_c L$$

*Planet Detections: The Doppler Velocity Method*



As planets orbit, they pull the star around the center of gravity.

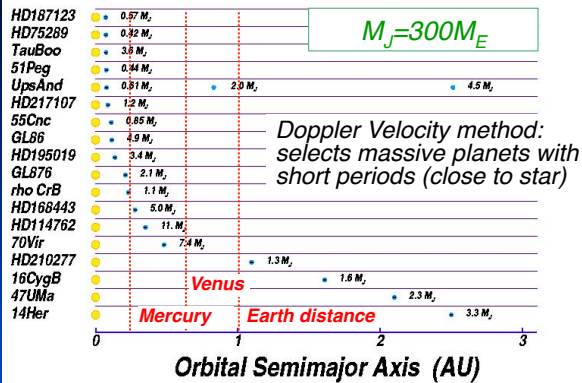
The stellar velocity oscillates, increasing and decreasing with time.

Marcy et al. (2000)

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$$N = R_* f_p n_e f_l f_i f_c L$$

### Jupiter Size Planets Around Nearby Stars

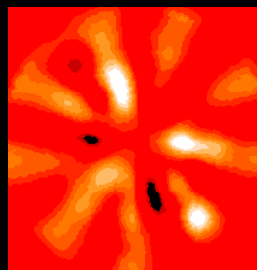
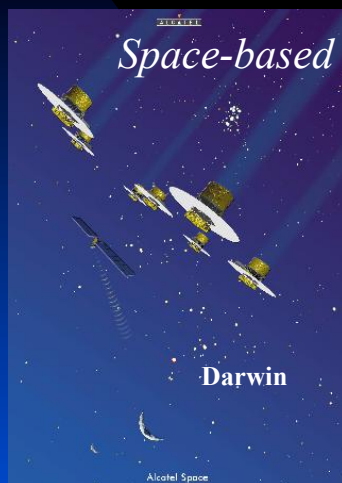


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The Drake Equation

9

$$N = R_* f_p n_e f_l f_i f_c L$$



Venus and Earth detection  
from 30 light years away!

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The Drake Equation

10

$$N = R_* f_p n_e f_l f_i f_c L$$

$f_p$  is becoming better known as we speak... long term Doppler programs and future space mission like TPF and Darwin will increase our knowledge.

$$f_p \sim 0.5$$

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The Drake Equation

11

$$N = R_* f_p n_e f_l f_i f_c L$$

the number of planets residing in an *ecosphere*, the shell of life

*Direct energy: light from star*

- Proximity to star (too close, too far, just right)
- Atmosphere of planet (climatic evolution)

*Indirect energy: localized*

- Solar wind + local magnetosphere
- Geothermal (radioactive decay)
- Central Planet (tidal forces on moons)



*Requires stability and flexibility for billions of years*

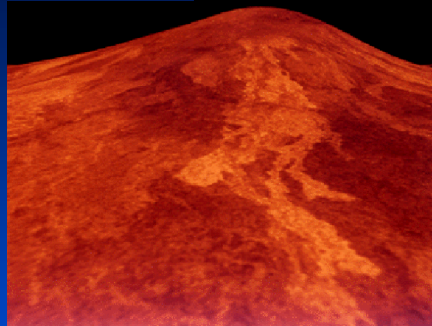
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The Drake Equation

12

$$N = R_* f_p n_e f_l f_i f_c L$$

Rare Earth?  *Venus*



### Too close to the Sun

*Venus suffers from a runaway Greenhouse effect, in which light energy from the star is trapped as heat by the atmosphere.*

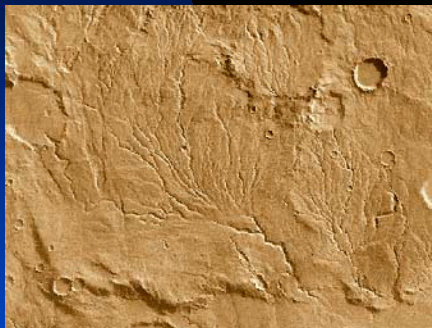
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The Drake Equation

13

$$N = R_* f_p n_e f_l f_i f_c L$$

Rare Earth?  *Mars*



### Too far from the Sun

*Mars suffers from a runaway Ice Catastrophe, in which light energy from the star is reflected back into space.*

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The Drake Equation

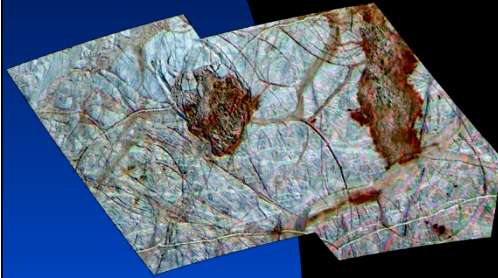
14



$$N = R_* f_p n_e f_l f_i f_c L$$

## Rare Earth? Europa

Jupiter's moon...



Heated by Jupiter's tidal forces and/or radioactive elements in its core?

*Europa is geologically active with glacier-like ice sheets of **Frozen Water**. Changing cracks indicate underground oceans!*

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The Drake Equation

15

$$N = R_* f_p n_e f_l f_i f_c L$$

*Europa's magnetic field suggests a **metallic core** and its average density indicates a **rocky interior**.*

*An ice mantle or sub-ocean?  
A stable site conducive to life?*

*Boldly Go...*



A JPL proposal for a European ocean explorer

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The Drake Equation

16



$$N = R_* f_p n_e f_l f_i f_c L$$

### Serious unknowns:

- what are conditions under which life **can** arise?
- i.e., what is a “primordial” ecosphere?
- how does early life modify “primordial” ecosphere?
- how do planetary atmospheres and oceans evolve and how do they respond to astrophysical pressures?

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The Drake Equation

17

$$N = R_* f_p n_e f_l f_i f_c L$$

$n_e$  probably is zero in some planetary systems and is a few to several in others (ours?). We need to know what  $n_e$  is on average, its typical value.

**$n_e$  uncertain (~ 2?)**

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The Drake Equation

18

$$N = R_* f_p n_e f_l f_i f_c L$$

the fraction of ecosphere planets  
on which *life arises*



## The Origin of Life

Key Question: how readily does life arise?

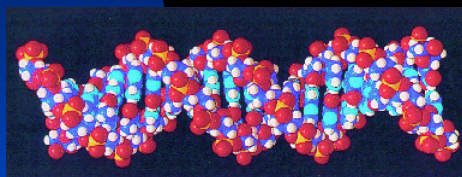
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The Drake Equation

19

$$N = R_* f_p n_e f_l f_i f_c L$$

- All life (as we know it) is made of carbon based molecular chains
- Only 30 complex molecules comprised of only five (5) basic elements



*DNA molecule*

C = carbon  
H = hydrogen  
N = nitrogen  
O = oxygen  
P = phosphorous

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The Drake Equation

20

$$N = R_* f_p n_e f_l f_i f_c L$$



- C, H, N, and O are among the five most abundant elements in the universe; (helium is 2<sup>nd</sup> to hydrogen)
- The five elements of life are created in stars and supernovae explosions distributed them throughout the interstellar medium
- Organic molecules, such as amino acids, are commonly found in interstellar, molecular gas clouds, and in comets and meteorites

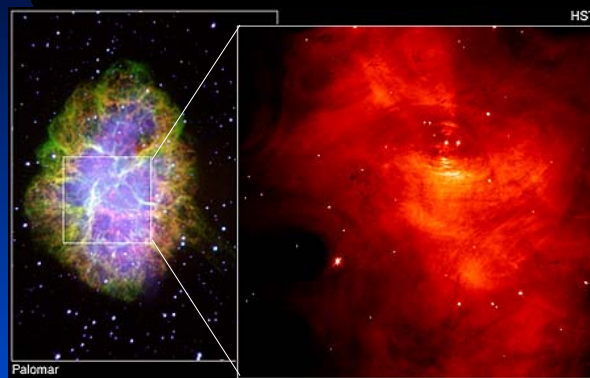
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The Drake Equation

21

$$N = R_* f_p n_e f_l f_i f_c L$$

Supernovae create elements and distribute them into the galaxy



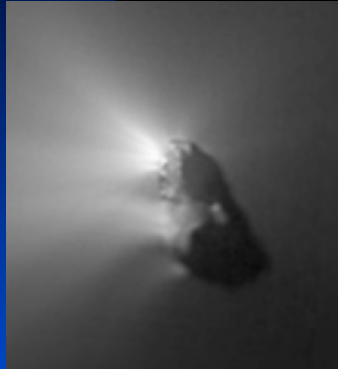
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The Drake Equation

22

$$N = R_* f_p n_e f_l f_i f_c L$$

Comets, such as Halley, contain water ice and organic molecules, which are evaporated into interplanetary space



- Building blocks of planets during planet formation epoch
- Deposit water and organic molecules on planets
- Can alter course of evolution if impacting life bearing planet

4/30/15

The Drake Equation

23

$$N = R_* f_p n_e f_l f_i f_c L$$

Just how robust is life?

- Life persists in a wide range of terrestrial environments- from the high desert to frozen ice tundra, from the tropics to the black depths of the oceans...

Are there alternatives to photosynthesis?

- Life in the ocean depths exploits geothermal energy and survives not on sunlight, but on bacteria that metabolizes sulfuric acid outgassing from thermal vents

*Life can arise in a range of environments and can survive on a variety of primary energy sources. (?)*

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The Drake Equation

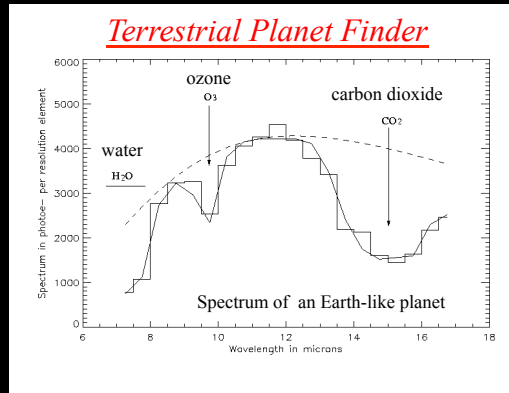
24

$$N = R_* f_p n_e f_l f_i f_c L$$

How will we detect signs of life on extrasolar planets?

*Terrestrial Planet Finder* will take spectra of earth sized planets up to 30 light years away!

Ozone, water, and carbon dioxide absorption features are indirect indicators of life processes (photosynthetic)



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The Drake Equation

25

$$N = R_* f_p n_e f_l f_i f_c L$$

$f_l$ , presently, can be guesstimated only by carefully studying our solar system, and in particular, Earth.

That life is a “language” with a 30 molecule “alphabet” and is comprised of the five most abundant elements is encouraging

$$f_l \sim 0.1-1 (?)$$

NOTE:  $f_l$  is likely not vanishingly small, say  $10^{-8}$  or so

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The Drake Equation

26

$$N = R_* f_p n_e f_l f_i f_c L$$

the fraction of life bearing planets  
upon which *intelligence arises*

- How to define intelligence?
- Humans and dinosaurs?
- Special events required?

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The Drake Equation

27

$$N = R_* f_p n_e f_l f_i f_c L$$

Defining intelligence...

### *Encephalization Quotient*

Encephalization (E) is the ratio of brain mass  
to body “surface mass”

$$E = \frac{\text{Brain Mass}}{(\text{Body Mass})^{2/3}}$$

4/30/15

The Drake Equation

28

$$N = R_* f_p n_e f_l f_i f_c L$$

### *Encephalization Quotient*

Encephalization Quotient (EQ) measures how “intelligent” a species is relative to other *comparable* life forms

*ex. Dolphins compared to similar mass aquatic mammals*

$$EQ = \frac{E(\text{actual})}{E(\text{average})}$$

land mammals

$$EQ(\text{cows}) = 0.2$$

$$EQ(\text{dogs}) = 1$$

$$EQ(\text{chimps}) = 4$$

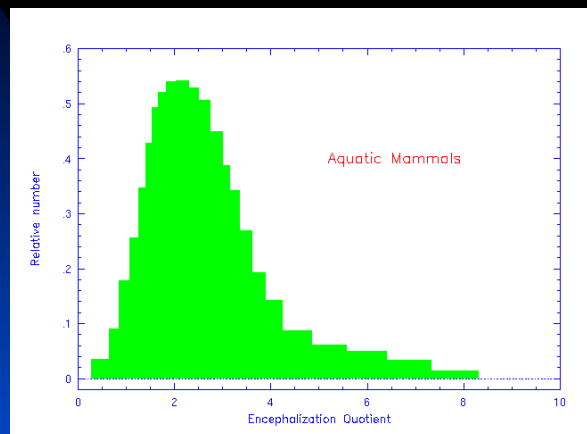
$$EQ(\text{humans}) = 8$$

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The Drake Equation

29

$$N = R_* f_p n_e f_l f_i f_c L$$



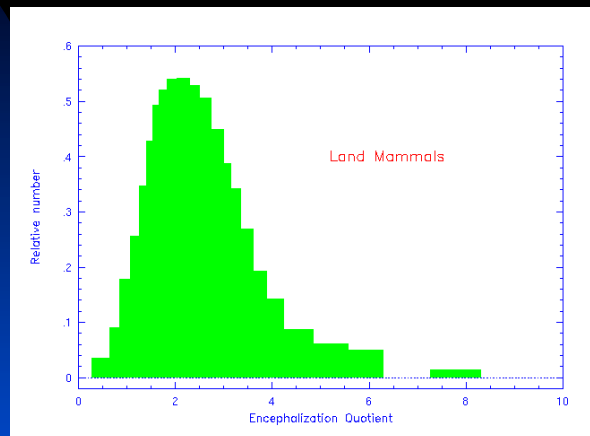
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The Drake Equation

30



$$N = R_* f_p n_e f_l f_i f_c L$$



4/30/15

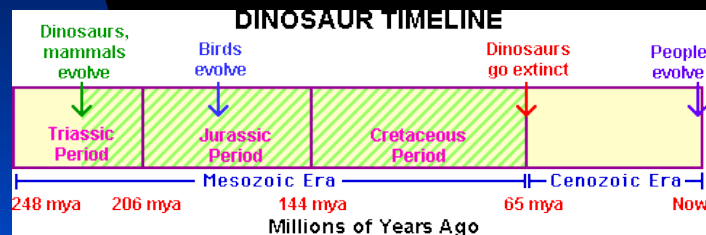
The Drake Equation

31

$$N = R_* f_p n_e f_l f_i f_c L$$

## Were some dinosaurs smart?

They evolved over 160 million years, whereas humans have been around only 200 thousands years... what was different?



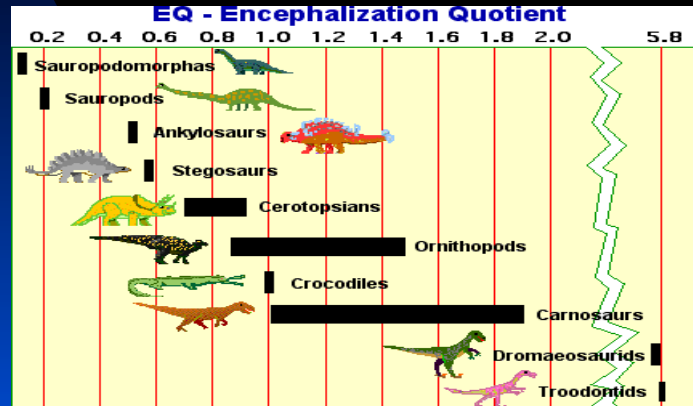
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The Drake Equation

32

$$N = R_* f_p n_e f_l f_i f_c L$$

In fact, some dinosaurs were “intelligent”, with EQ ~ 6 !



4/30/15

The Drake Equation

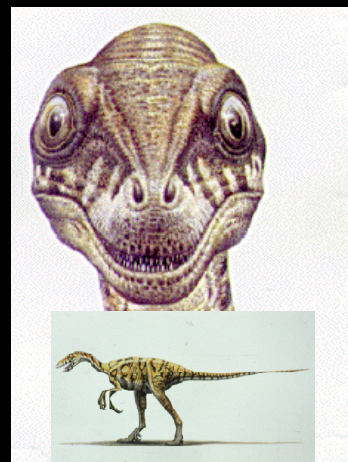
33

$$N = R_* f_p n_e f_l f_i f_c L$$

### *Tröodon*

- Binocular Vision
- Stereoscopic Hearing
- Dexterous “Hands”
- Largest EQ of dinosaurs

All this... and still not as smart as a chimp...



4/30/15

The Drake Equation

34

$$N = R_* f_p n_e f_l f_i f_c L$$

$f_i$  can only be studied via the history of intelligence on Earth

- intelligence has always steadily increased with time, even with the repeated mass extinctions
- no “freak” circumstances of events have ever intervened in history to *increase* the chances of intelligence

$$f_i \sim 0.1-1 (?)$$

NOTE:  $f_i$  is likely not vanishingly small, say  $10^{-8}$  or so

4/30/15

The Drake Equation

35

$$N = R_* f_p n_e f_l f_i f_c L$$

the fraction of planets hosting intelligent life where a *technological civilization* arises at least once

*Must be able to communicate across stellar distances*

Must be fast : Must be economical

→ electromagnetic radiation

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The Drake Equation

36

$$N = R_* f_p n_e f_l f_i f_c L$$

**Technology.** In the form of electromagnetic transmitters...

*The physics is the same everywhere and is easily understood/developed*

*This simple technology was conceived and built only 5000 yrs after the pyramids and 10,000 yrs after writing appeared*



The Very Large Array

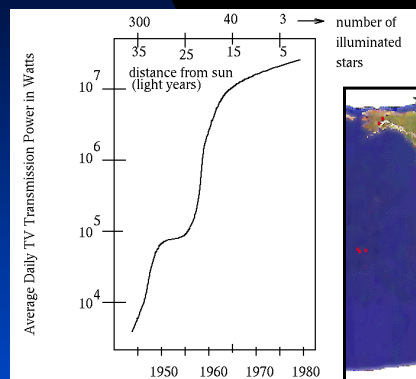
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The Drake Equation

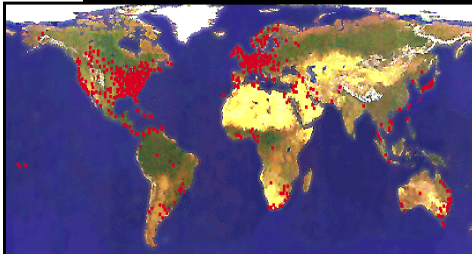
37

$$N = R_* f_p n_e f_l f_i f_c L$$

*By 1980, Earth was detectable at distance of 35 light years; ~300 stars*



By 2000, the sphere has a 55 light year radius and has illuminated ~1200 stars!



▪ Locations of TV transmissions

4/30/15

The Drake Equation

38

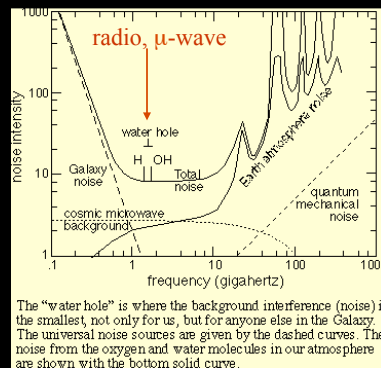
$$N = R_* f_p n_e f_l f_i f_c L$$

where to search on the dial  
Electromagnetic Spectrum

Where the universe is  
quiet, of course!

*The water hole...*

where cosmic noise is  
minimal at ~3 gigahertz;  
*we exploit this window  
for our TV and satellite  
transmissions.*



4/30/15

The Drake Equation

39

$$N = R_* f_p n_e f_l f_i f_c L$$

*The road to technology...*

1. Ecological competitiveness and aggressive domination of habitat; frees species from "survive or die" centered consciousness
2. Living and working in groups; leads species to higher socialization stratification and communication skills
3. Control of fire (a technology)
4. Settlements and migrations; a ceasing of previous nomadic lifestyles
5. Development of agriculture and food storage

4/30/15

The Drake Equation

40

$$N = R_* f_p n_e f_l f_i f_c L$$

### *Why not dinosaurs?*

Dinosaurs dominated Earth for 165 million years...  
why did they not develop radios and TVs?

No single type of dinosaur ever had complete dominion over its habitat in the way that modern humans have for some 30,000 years now.

Dinosaurs never surpassed a “survive or die” centered consciousness level, even though some were quite intelligent.

4/30/15

The Drake Equation

41

$$N = R_* f_p n_e f_l f_i f_c L$$

$f_c$  can only be understood in terms of the human experience of technological development

- once humans dominated their habitat, the development of technology took only ~10,000 years, or 500 generations
- many dogmas and ideals have mitigated the progress of free expression, and therefore technological growth, yet... here we are

$$f_c \sim 0.1-1 (?)$$

NOTE:  $f_c$  is likely not vanishingly small, say  $10^{-8}$  or so

4/30/15

The Drake Equation

42

$$N = R_* f_p n_e f_l f_i f_c L$$

the average *life time* (in years), that technological civilizations remain in a *communicative or detectable state*

Do civilizations quickly destroy themselves, or after a brief time become quiet (i.e., dismantle or baffle their technology), or remain detectable for millions of years?

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The Drake Equation

43

$$N = R_* f_p n_e f_l f_i f_c L$$

Since there is likely a distribution of lifetimes, we estimate the mean,  $\bar{L}$

The mean is the sum of possible lifetimes,  $L_i$ , weighted by their relative probabilities,  $p_i$

$$\bar{L} = \sum p_i L_i$$

$L_i$  = lifetime of civilization  $i$

$p_i$  = probability of  $L_i$  ( $0 < p_i < 1$ )

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The Drake Equation

44



$$N = R_* f_p n_e f_l f_i f_c L$$

Estimating L (in years)...

$L_1 = 10$	$p_1 = .50$	$p_1 L_1 =$	5
$L_2 = 10^3$	$p_2 = .25$	$p_2 L_2 =$	250
$L_3 = 10^7$	$p_3 = .25$	$p_3 L_3 =$	2,500,000
$L_4 = 10^{10}$	$p_4 = 10^{-6}$	$p_4 L_4 =$	10,000
			<hr/>
			$\bar{L} = 2,510,255$

*L is dominated by technological longevity and is very probably greater than 10,000 years*

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The Drake Equation

45

$$N = R_* f_p n_e f_l f_i f_c L$$

Evaluating N...

$R_* =$	5-10	<u>Maximum</u>	$N = 10 L$
$f_p =$	0.5	<u>Moderate</u>	$N = L$
$n_e =$	2	<u>Minimum</u>	$N = 0.005 L$
$f_l =$	0.1-1		
$f_i =$	0.1-1		
$f_c =$	0.1-1		

$$N \sim L$$

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The Drake Equation

46

$$N = R_* f_p n_e f_l f_i f_c L$$

## Sending...

*In 1974, Drake et al. sent a message into space from the huge Arecibo telescope...*

*The transmission contained "0"s and "1"s that could be arranged into the picture at right...*

- How to count (1-10) →
- C, H, N, O, P →
- DNA molecular structure →
- DNA physical structure →
- Sender population, form, size →
- Sender planet and system →
- Radio telescope and size →

The Drake Equation



4/30/15

47