

CUME EXAM # 339

This exam is worth 62 points. It is based on the accompanying paper by Lellouch *et al.* (2005), "A dual origin for Neptune's carbon monoxide?" *Astron. Astrophys.* 430, L37-L40. A grade of 65% or higher is expected to be a passing grade.

Things You Might Need to Know

$$1 \text{ AU} = 1.50 \times 10^{13} \text{ cm}$$

$$G = 6.673 \times 10^{-11} \text{ m}^3 \text{ kg}^{-1} \text{ s}^{-2}$$

$$\text{Boltzmann constant } (k) = 1.38 \times 10^{-16} \text{ erg/K}$$

$$\text{mass of unit atomic weight } (m_{\text{amu}}) = 1.660 \times 10^{-24} \text{ g}$$

$$\text{Universal gas constant } (R_{\text{univ.}}) = 8.314 \times 10^7 \text{ erg/mole/K}$$

$$\text{mass of Neptune} = 1.02 \times 10^{26} \text{ kg}$$

$$\text{mass of the Earth} = 5.97 \times 10^{24} \text{ kg}$$

$$\text{radius of Neptune} = 24,766 \text{ km}$$

$$\text{radius of the Earth} = 6371 \text{ km}$$

$$c_p (\text{Neptune}) = 13.0137 \text{ J g}^{-1} \text{ K}^{-1}$$

$$c_p (\text{air}) = 1.004 \text{ J g}^{-1} \text{ K}^{-1}$$

$$1 \text{ Joule} = 10^7 \text{ erg}$$

1. Observations. (4 points each)

- a) What kind of transitions by the CO molecule are being observed? Be specific about what is happening to the CO molecule, as well as defining what terms like CO(3-2) and CO(2-1) mean.
- b) Why was it necessary to observe Neptune's CO using 5 different "setups?"
- c) What is the heterodyne technique, and why was it used for this application?
- d) This paper provides results both in units of antenna temperature, T_A (e.g. y-axes in all the figures) and brightness temperature, T_B (e.g. first paragraph of §4). Define each of these terms.
- e) Calculate the diffraction limited resolution of the IRAM telescope for the observations discussed in this paper. How many resolution elements across Neptune will these observations yield?

2. CO from Convection.

- a) Describe the conditions in which a parcel of air moved vertically would be convectively unstable. How does this relate to the *adiabatic lapse rate*? (4 points)

b) Calculate the value of Neptune's adiabatic lapse rate as well as that of the Earth. Comment on the difference (if there is any). (6 points)

c) Draw a T-z plot for a giant planet atmosphere. Assume $z = 0$ occurs at a pressure level of 1 bar and the temperature is 70 K at that altitude. Draw an adiabat and then draw an additional temperature profile corresponding to a convectively unstable atmosphere. Where on your diagram would a parcel of air need to be in order to be convectively stable? (6 points)

3. Exogenic CO. (6 points each)

a) Calculate the timescale for CO delivered from comets to diffuse downward to the $p = 20$ mbar level. (Hint: refer to §4 of the paper for some relevant information).

b) Integrating over the timescale in (3a) and the surface of Neptune, the measured flux gives an input of $\sim 2.2 \times 10^{15}$ grams of CO. Could this plausibly be delivered by a single comet impact? Explain.

4. Atmospheric Radiative Transfer.

a) Explain the cause of the spike seen around 230.5 GHz in the top panel of Figure 2. Your explanation should discuss the physics of what is happening beyond what is mentioned in the paper. Include a diagram to support your explanation. (6 points)

b) Draw a figure containing the contribution functions (or weighting functions) that illustrate the pressure levels from which most of the radiation comes when looking in the wings of the CO(2-1) line and at the center of the CO(2-1) line. (6 points)

c) Based on the conclusions stated in this paper, is CO a good molecule to use for deriving temperature profiles in Neptune's atmosphere? Why or why not? (2 points)

A dual origin for Neptune's carbon monoxide?

E. Lellouch¹, R. Moreno¹, and G. Paubert²

¹ Laboratoire d'Études Spatiales et d'Instrumentation en Astrophysique (LESIA), Observatoire de Paris, 92195 Meudon, France

e-mail: emmanuel.1ellouch@obspm.fr

² Institut de Radio-Astronomie Millimétrique, 18080 Granada, Spain

Received 5 November 2004 / Accepted 14 December 2004

Abstract. Heterodyne observations of Neptune have provided a measurement of the CO(2–1) line profile with a total bandpass of almost 8 GHz and a resolution of 4 MHz. The lineshape indicates that the CO mole fraction in Neptune's atmosphere is not uniform, but increases by a factor of ~ 2 from the troposphere/lower stratosphere (0.5 ppm at $p > 20$ mbar) to the upper stratosphere (1 ppm at $p < 20$ mbar). This indicates the existence of both external and internal sources of CO. The equivalent flux associated with the external source is $\sim 1 \times 10^8 \text{ cm}^{-2} \text{ s}^{-1}$. We propose that the stratospheric CO results from a large (2 km) cometary impact that occurred ~ 200 years ago, although there remains problems with this hypothesis.

Key words. planets and satellites: Neptune – radio-lines: solar system

1. Introduction

Though they are “twins” in terms of their main properties (radius, mass, density, bulk composition), Uranus and Neptune present drastic differences as to their internal structure, cloud activity, and detailed composition. While Neptune emits about 60% more energy than it receives from the Sun, implying the presence of a strong internal energy source, Uranus lacks such an internal source. This difference is thought to be responsible for the weaker dynamical and meteorological activity in Uranus. It is also probably at the origin of differences in the chemical composition at observable levels (at $p \leq 1$ bar). In particular, the presence of carbon monoxide in Neptune's stratosphere in large amounts ($(0.6\text{--}1) \times 10^{-6}$; Marten et al. 1993; Rosenqvist et al. 1992), established more than a decade ago from millimeter observations, is generally interpreted as resulting from vigorous upward convection from the deep interior where CO is stable. Concomitant observations failed to detect CO on Uranus, with an upper limit of 1/15 of the Neptune value (Marten et al. 1993). This absence is interpreted as due to a much more sluggish vertical transport in the case of Uranus.

The case for Neptune's CO being of internal origin is primarily made from the claim (Marten et al. 1993; Guilloteau et al. 1993; Naylor et al. 1994) that the CO stratospheric mixing ratio also reflects its abundance in Neptune's troposphere. However, for this, the first two papers used broad-band measurements in the vicinity of the CO(3–2) and (1–0) lines. Naylor et al. (1994) reported a clear detection of the CO(3–2) line in absorption from FTS/JCMT observations, but the quality of their spectra was limited by channel fringing. From

similar measurements in the CO(2–1) line, Encrenaz et al. (1996) rather inferred a $3\text{-}\sigma$ upper limit of 1 ppm for CO in Neptune's troposphere. Thus none of the earlier observations have allowed a satisfactory determination of the CO line profiles; in addition, the presence of an absorption feature does not per se imply the presence of CO in Neptune's troposphere.

From the theoretical standpoint, models that attempt to explain a CO abundance of 1 ppm in the bulk of Neptune's atmosphere have to invoke an oxygen enhancement in Neptune's interior O/H = 440 times solar (Lodders & Fegley 1994), an extraordinarily high value that implies that water constitutes 60% of the gas phase in Neptune's interior.

The last decade has brought a wealth of observations that have shed new light on the origin of oxygen compounds in Outer Planets. First, the Shoemaker-Levy 9 collision with Jupiter has revealed that cometary impacts may supply large amounts of CO and H₂O to planetary atmospheres (see e.g. review in Lellouch 1995). The discovery by ISO of H₂O and CO₂ in the atmospheres of the Giant Planets and Titan (Feuchtgruber et al. 1997; Coustenis et al. 1998), has provided a second proof for an external supply of oxygen to the Outer Planets in the form of water and probably additional species (CO, CO₂, CH₃OH..., see Moses et al. 2000), and the likely role of interplanetary micrometeorites, planetary environments (satellites, rings) and cometary impacts has been demonstrated (Lellouch et al. 2002). In Jupiter's case, the existence of two distinct sources of carbon monoxide (besides the recent SL9 event), resulting respectively from internal transport and (probably) episodic cometary deposition, has been clearly established (Bézar et al. 2002). Finally, CO was recently detected in Uranus with a mixing ratio of $\sim 3 \times 10^{-8}$ at 0.1–1 bar,

Table 1. Observational details.

Setup	Central frequencies (GHz)	Date(s)
1	230.154, 230.922	08/15/03, 05/12/04, 05/13/04
2	228.618, 229.386	08/15/03, 05/12/04
3	231.690, 232.458	09/01/03, 05/13/04
4	227.082, 227.850	05/12/04
5	233.226, 233.994	05/13/04

and an external origin was tentatively favored (Encrenaz et al. 2004).

In this context, it appears necessary to reassess the origin of carbon monoxide on Neptune. Here, we present new observations of the CO(2–1) 230.538 GHz line *shape* over the largest possible frequency interval. We used two 1.3 mm receivers in parallel, tuning each of them at a frequency close to the CO line (this defines one “setup”). For spectral analysis, we used two backends covering 1024 MHz each at a resolution of 4 MHz, i.e. ~2 GHz were covered instantaneously. The strategy consisted of acquiring relatively short integrations (typically 1/2 h) on each setup, and then move to another setup. To facilitate the definition of the global line shape, we imposed overlaps of the individual bands, by a quarter of their bandwidths (i.e. 250 MHz). This allowed, in the data reduction phase, the individual pieces of 1 GHz to be joined smoothly. In contrast, we did not make any special effort to achieve an optimum absolute calibration. Table 1 summarizes the various setups that were used on the four observing dates. In total, the CO line was covered over a 7.936 GHz range.

2. Observations and data reduction

Neptune observations were acquired with the IRAM 30-m telescope during the nights of August 15, 2003, September 1, 2003, May 12, 2004 and May 13, 2004. The basic idea of the experiment was to achieve an optimum determination of the CO(2–1) 230.538 GHz line *shape* over the largest possible frequency interval. We used two 1.3 mm receivers in parallel, tuning each of them at a frequency close to the CO line (this defines one “setup”). For spectral analysis, we used two backends covering 1024 MHz each at a resolution of 4 MHz, i.e. ~2 GHz were covered instantaneously. The strategy consisted of acquiring relatively short integrations (typically 1/2 h) on each setup, and then move to another setup. To facilitate the definition of the global line shape, we imposed overlaps of the individual bands, by a quarter of their bandwidths (i.e. 250 MHz). This allowed, in the data reduction phase, the individual pieces of 1 GHz to be joined smoothly. In contrast, we did not make any special effort to achieve an optimum absolute calibration. Table 1 summarizes the various setups that were used on the four observing dates. In total, the CO line was covered over a 7.936 GHz range.

Figure 1 shows all observations, in antenna temperature scale. Due to calibration uncertainties, pointing uncertainties, and residual sky fluctuations, the individual pieces do not, in general, line up “naturally”. A notable exception is provided by the August 15, observations, which cover the 226.572–231.432 GHz range, and clearly show the CO absorption without any need for piecewise adjustment. The observations of August 15, May 12 and May 13 encompass the CO emission core. After calibration, the measured antenna temperature minimum at the foot of the emission (230.470 GHz) is 56.1, 47.5 and 64.4 K for the three observations respectively. Radiative transfer models predict a value of 64 K at this frequency for a uniform CO = 1×10^{-6} mixing ratio. Allowing for a 15% calibration uncertainty, the May 12 observations thus show a significant flux deficit compared to expectations. We therefore treat these observations with caution, but given that they detect the CO emission with appropriate line-to-continuum contrast, we do not discard them. The

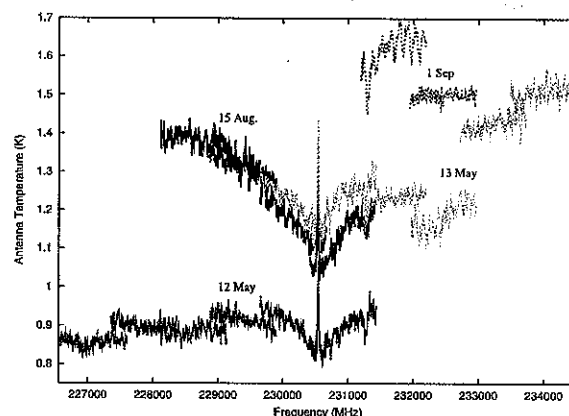


Fig. 1. An overview of all observations. Data are plotted directly in antenna temperatures, i.e. are not corrected for forward and main beam efficiencies, or Neptune's filling factor. Black: Aug. 15, 2003. Blue (dashed line): Sep. 1, 2004. Red: May 12, 2004. Green (dashed line): May 13, 2004. The absorption near 231 280 MHz is due to telluric ozone.

procedure to restore the full shape of the CO line was as follows. All individual pieces were first averaged according to their central frequency. Then, the two pieces corresponding to setup 1 were rescaled individually by a constant factor so that their common part (230.412–230.664 GHz) matches the averaged value in this range (measured 6 times). Pieces corresponding to other setups were then rescaled sequentially, to ensure proper overlap with adjacent bands. The resulting line shape is shown in Figs. 2 and 3, in which the precise vertical scale is unimportant. Our procedure thus applies multiplicative factors to the various pieces of the spectrum, rather than shifting them vertically, as would be appropriate if (additive) sky fluctuations were the dominant cause of flux dispersion. Justification is provided by the fact that the contrast of the CO emission scales with the local continuum rather than being constant from one day to another. We note, anyway, that given the restricted frequency coverage of the individual pieces, applying vertical shifts would produce very small changes in the CO profile. The final CO line profile shows asymmetry in the far-wings (>2 GHz from line center), and given the caveats above on the May 12 observations, we regard the high-frequency wing as the most reliable.

3. Modelling

The obtained CO line shape was modelled using a standard radiative transfer model (Moreno 1998) of millimeter spectra of the Giant Planets. Opacity due to CO, NH₃ far wings, and the H₂–He–CH₄ pressure-induced continuum were included. The Neptune thermal profile, as well as standard parameters (e.g. He/H₂ ratio), were taken from Bézard et al. (1991). Models were convolved to the instrumental 4 MHz resolution. Neptune's rapid rotation (2.7 km s^{−1} at equator) was included and found to have a small effect. Given the uncertain temperature scale of our data, only constraints derived from modelling the line *shape* were considered. For this, all models were

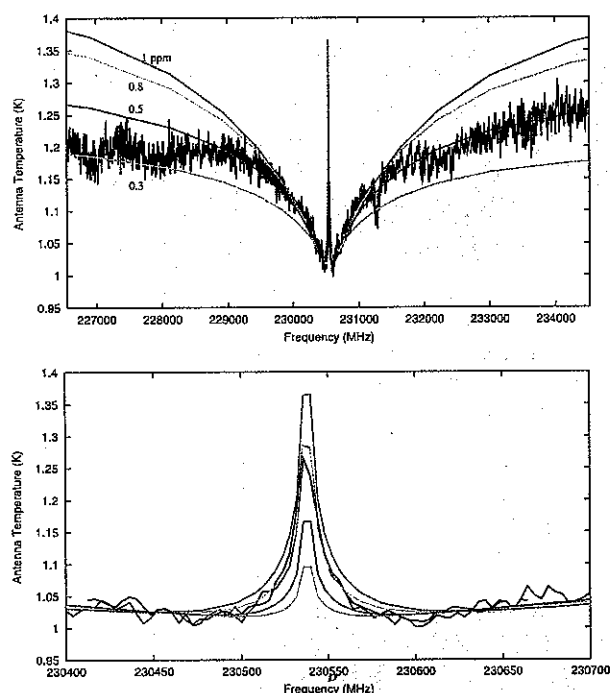


Fig. 2. Models of the total CO line with uniform CO mole fraction. Data: solid black lines. Models (from top to bottom): red: CO = 1.0 ppm; green: 0.8 ppm; dark blue: 0.5 ppm; light blue: 0.3 ppm.

multiplied by constant factors to match the data in the region of maximum absorption near 230.450 GHz.

Figure 2 shows a comparison of the data with models in which CO is assumed to be well mixed throughout Neptune's atmosphere, with mole fractions ranging from 0.3 to 1.0 ppm. A value of ~ 0.8 ppm matches the central emission, consistent with the initial findings of Marten et al. (1993) and Rosenqvist et al. (1992). However, while abundances of 0.8–1 ppm also provide a satisfactory match of the absorption up to ~ 1.5 GHz from line center, they do not allow to fit the more distant wings, which are more flat, and rather suggest a mole fraction of 0.5 ± 0.1 ppm. The same conclusion is reached even if only the best quality August 15 observations, which extend up to 2.4 GHz from line center, are considered. We tested its robustness to temperature uncertainties by using an alternate thermal profile, based on the results of Bézard et al. (1999) at $p > 10$ mbar, Marten et al. (2005) at $p < 1$ mbar, and interpolation between. In the troposphere, this profile is warmer than ours by ~ 2 K at 2 bar and ~ 4 K at 0.1 bar. With this profile, the far wings and central emission are fit respectively with CO abundances of 0.65 and 0.9 ppm, however, the close wings (0.2–0.8 GHz from line center) are significantly too broad. We conclude that the data indicate a non-uniformity of the CO mixing ratio in Neptune's stratosphere, and proceed to test vertically-varying models.

The presence of CO in Neptune's stratosphere is directly demonstrated by the existence of the emission core. In contrast, the absorption feature does not, in itself, prove that CO

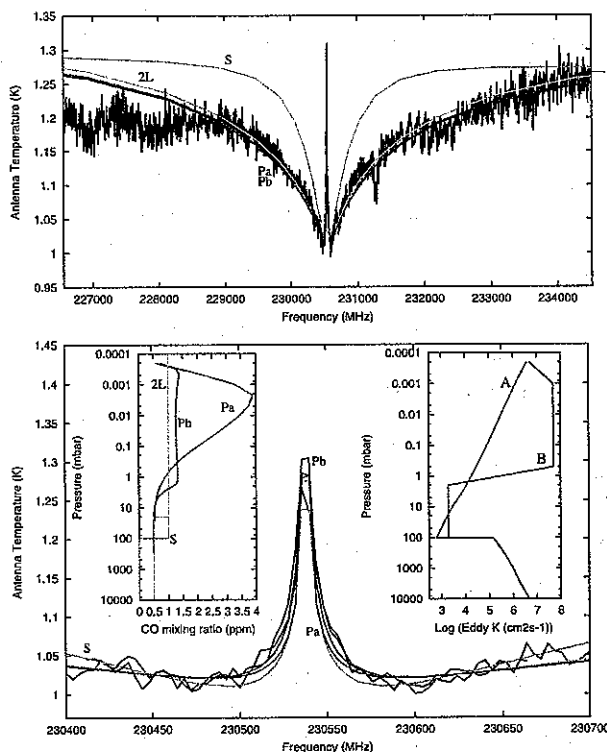


Fig. 3. Models with altitude-varying CO mixing profiles. Light blue (label S): CO restricted to $p < 100$ mbar with 1.0 ppm mole fraction. Green (label 2L): two-level model, with CO = 1.0 ppm at $p < 20$ mbar and CO = 0.5 ppm at $p > 20$ mbar. Red (label Pa): physical model, with CO = 0.5 ppm in deep troposphere, an external flux of $1 \times 10^8 \text{ cm}^{-2} \text{ s}^{-1}$ and the eddy diffusion profile "A" of Romani et al. (1993). Dark blue (label Pb): same, but with the eddy diffusion profile "B" of Romani et al. The corresponding CO and eddy K profiles are shown in the insets. The decrease of CO at $p < 0.001$ mbar is due to molecular diffusion.

is present in the troposphere, since the absorption could conceivably be formed in the lower stratosphere ($4 \text{ mbar} < p < 100 \text{ mbar}$) where temperatures are colder than the tropospheric continuum near 230 GHz (93 K). However, we found models in which CO is restricted to pressures less than 100 mbar produce too narrow an absorption (Fig. 3). We then tested "two-level" models, in which CO was characterized by a deep value (q_1) below a given pressure p_s , and a high altitude value (q_2) at pressures less than p_s . The best fit to the grand average line was achieved for $q_1 = 0.5$ ppm, $q_2 = 1.0$ ppm, and $p_s = 20$ mbar (Fig. 3). The accuracy on these mole fractions is about 15%, and the p_s level is determined to within a factor of 2.

A higher mixing ratio in Neptune's middle and upper stratosphere compared to the troposphere/lower stratosphere value implies the existence of an external source of CO along with the internal source that is responsible for the deep abundance. The excess of column density that must be maintained by this external source is $2.4 \times 10^{18} \text{ cm}^{-2}$, to within a factor of 2. Physical profiles of CO were generated by solving the vertical transport equation, accounting for eddy and molecular transport. Because CO is chemically stable, its downward

flux Φ is constant and the CO mole fraction $q(z)$ is given by $K(z)n(z)\frac{dq(z)}{dz} = \Phi$ (omitting here molecular transport for simplicity). CO profiles were generated for a series of values of Φ , with the boundary condition $q(z = -\infty) = 5 \times 10^{-7}$. Two $K(z)$ profiles were used, namely the "A" and "B" profiles of the Romani et al. (1993) photochemical model. These profiles have minimum values of 600 and 2000 $\text{cm}^2 \text{s}^{-1}$, respectively, at the tropopause. Below this level, we assumed that $K(z)$ is proportional to $n(z)$. These physical models match the data for a CO flux of $(1 \pm 0.3) \times 10^8 \text{ cm}^{-2} \text{s}^{-1}$. However, CO profiles resulting from the eddy K "A" profile tend to produce somewhat too narrow an emission, and profile "B", characterized by very rapid mixing down to 0.5 mbar, allows a slightly better match to the data. This is consistent with the findings of Romani et al. (1993) who also favored the eddy K profile "B" on the basis of the C_2H_2 and C_2H_6 abundance.

4. Discussion and conclusions

We find a vertically non-uniform CO profile with an abundance at $p < 20$ mbar essentially twice higher than the deep (0.1–2 bar) abundance. While reiterating that our finding is exclusively based on fitting the global CO(2–1) line shape, we note that our best fit models of Fig. 3 predict a brightness temperature of 74 K at 230.538 GHz and 500 MHz resolution (vs. 66 K for uniform CO = 1 ppm). This is consistent with the recent Marten et al. (2005) measurements, indicating $T_B = 73 \pm 4$ K at this frequency.

We find a deep CO abundance (1.3–2) times lower than the previous estimates of Rosenqvist et al. (1992) and Marten et al. (1993). In the framework of the Lodders & Fegley (1994) thermochemical equilibrium model, this, however, does not alleviate much the need to invoke a huge oxygen abundance, since the CO mixing ratio at observable levels is an extremely sensitive function of the oxygen abundance, increasing typically by 5 orders of magnitude for a factor-of-10 increase in the oxygen enrichment. Bézard et al. (2002) note, however, that the chemical scheme used by the authors is kinetically too ambitious, which may require a reassessment of their work.

Our primary new result is that of an additional, external source of CO, with a rate of $\sim 1 \times 10^8 \text{ cm}^{-2} \text{s}^{-1}$. This is 10–500 times the magnitude of the external flux of H_2O into Neptune, as estimated by Feuchtgruber et al. (1997) for the Romani et al. (1993) "B" and "A" models respectively. The situation is similar to Jupiter, where – excluding the recent input due to comet Shoemaker Levy 9 – the ratio of the CO $((1.5\text{--}10) \times 10^6 \text{ cm}^{-2} \text{s}^{-1})$ to H_2O $(< 8 \times 10^4 \text{ cm}^{-2} \text{s}^{-1})$ deposition rates is larger than 20 and may be as large as 250 (Lellouch et al. 2002; Bézard et al. 2002). Large CO/ H_2O ratios seem to be inconsistent with an oxygen supply by interplanetary grains. At Jupiter, Bézard et al. (2002) favored the case for a stratospheric CO resulting from the downward diffusion of material delivered by the impact of (sub)kilometer-size comets at sub-millibar levels. We suggest that the same mechanism takes place on Neptune. The timescale for diffusion

down to 20 mbar is $\tau \sim 2H^2/K_{20 \text{ mbar}}$

was produced by a 2 km-comet impact 200 years ago. We note that smaller and more recent impacts could provide the required equivalent flux, but not the presence of external CO down to the 20-mbar level. Additional aspects supporting this scenario are: (i) the CO resulting profile after 200 years would mimic the two-level profile of Fig. 3; (ii) the external CO/HCN ratio in Neptune's stratosphere above 20 mbar is about 500, but the condensation of HCN near 3 mbar removes 85% of its column; the corrected ratio is ~ 75 , similar to what was measured in the SL9 debris, suggesting that the hypothesized event may have produced HCN as well. Possible problems with the comet scenario, however, are that (i) CS was detected after the SL9 collision but not in Neptune (Moreno 1998), and (ii) (02), though, based on cratering rates on the Galilean satellites, these estimates might be pessimistic by a factor of 10 (Zahnle et al. 1998).

References

- Bézard, B., Romani, P. N., Conrath, B. J., & Maguire, W. C. 1991, *J. Geophys. Res.*, 96, 18961
- Bézard, B., Romani, P. N., Feuchtgruber, H., & Encrenaz, T. 1999, *ApJ*, 515, 868
- Bézard, B., Lellouch, E., Strobel, D. F., et al. 2002, *Icarus*, 159, 95
- Bottke, W. F., Jr., Morbidelli, A., Jedicke, R., et al. 2002, *Icarus*, 156, 399
- Coustenis, A., Salama, A., Lellouch, E., et al. 1998, *A&A*, 336, L85
- Encrenaz, T., Serabyn, E., & Weisstein, E. W. 1996, *Icarus*, 124, 616
- Encrenaz, T., Lellouch, E., Drossart, P., et al. 2004, *A&A*, 413, L5
- Feuchtgruber, H., Lellouch, E., de Graauw, T., et al. 1997, *Nature*, 389, 159
- Guilloteau, S., Dutrey, A., Marten, A., & Gautier, D. 1993, *A&A*, 279, 661
- Lellouch, E., Bézard, B., Moses, J. I., et al. 2002, *Icarus*, 159, 112
- Lellouch, E. 1995, in *The collision of comet Shoemaker-Levy 9 and Jupiter*, ed. K. S. Noll, H. A. Weaver, & P. D. Feldman, *STScI Symp. Ser.*, 213
- Lodders, K., & Fegley, B., Jr. 1994, *Icarus*, 112, 368
- Marten, A., Gautier, D., Owen, T., et al. 1993, *ApJ*, 406, 285
- Marten, A. H. E., Matthews, T., Owen, et al. 2005, *A&A*, 429, 1097
- Moreno, R. 1998, Ph.D. Thesis, Université Paris VI
- Moses, J. I., Lellouch, E., Bézard, B., et al. 2000, *Icarus*, 145, 166
- Naylor, D. A., Davis, G. R., Griffin, M. J., et al. 1994, *A&A*, 279, 661
- Romani, P. N., Bishop, J., Bézard, B., & Atréya, S. K. 1993, *Icarus*, 106, 442
- Rosenqvist, J., Lellouch, E., Romani, P. N., et al. 1992, *ApJ*, 392, L99
- Zahnle, K., Dones, L., & Levison, H. F. 1998, *Icarus*, 136, 202

SUGGESTED RESPONSES FOR CUME EXAM # 339

This exam is worth 62 points. It is based on the accompanying paper by Lellouch *et al.* (2005), "A dual origin for Neptune's carbon monoxide?" *Astron. Astrophys.* 430, L37-L40. A grade of 65% or higher is expected to be a passing grade.

Things You Might Need to Know

$$1 \text{ AU} = 1.50 \times 10^{13} \text{ cm}$$

$$G = 6.673 \times 10^{-11} \text{ m}^3 \text{ kg}^{-1} \text{ s}^{-2}$$

$$\text{Boltzmann constant } (k) = 1.38 \times 10^{-16} \text{ erg/K}$$

$$\text{mass of unit atomic weight } (m_{amu}) = 1.660 \times 10^{-24} \text{ g}$$

$$\text{Universal gas constant } (R_{univ.}) = 8.314 \times 10^7 \text{ erg/mole/K}$$

$$\text{mass of Neptune} = 1.02 \times 10^{26} \text{ kg}$$

$$\text{mass of the Earth} = 5.97 \times 10^{24} \text{ kg}$$

$$\text{radius of Neptune} = 24,766 \text{ km}$$

$$\text{radius of the Earth} = 6371 \text{ km}$$

$$c_p (\text{Neptune}) = 13.0137 \text{ J g}^{-1} \text{ K}^{-1}$$

$$c_p (\text{air}) = 1.004 \text{ J g}^{-1} \text{ K}^{-1}$$

$$1 \text{ Joule} = 10^7 \text{ erg}$$

1. Observations. (4 points each)

a) What kind of transitions by the CO molecule are being observed? Be specific about what is happening to the CO molecule, as well as defining what terms like CO(3-2) and CO(2-1) mean.

These are rotational transitions of the CO molecule. The J values are the different rotation levels, and the transitions from $J = 3 \rightarrow 2$ or $J = 2 \rightarrow 1$ yield emission in the mm/radio regime.

b) Why was it necessary to observe Neptune's CO using 5 different "setups?"

The CO line shape was the primary measurement objective. Since the heterodyne technique is very high resolution, it required multiple setups in order to cover the entire frequency range of the line.

c) What is the heterodyne technique, and why was it used for this application?

The heterodyne technique is used to amplify faint signals in the millimeter range without also amplifying the noise. A received signal is combined with a nearby frequency generated by a local oscillator. The difference between these two signals, the intermediate frequency, then gets amplified. The intermediate frequency is in the radio region of the electromagnetic spectrum and it preserves the intensity and spectral information of the source spectrum. It

can be analyzed using radio techniques, e.g., filter banks or autocorrelators, which determine the absolute spectral resolution.

d) This paper provides results both in units of antenna temperature, T_A (e.g. y-axes in all the figures) and brightness temperature, T_B (e.g. first paragraph of §4). Define each of these terms.

In general, radio and mm astronomers use units of temperature to measure the intensity of radiation. The brightness temperature, T_B , of a body is defined as $I_\nu = B_\nu(T_B)$, where I_ν is the specific intensity and B_ν is given by the Planck function. In radio astronomy, the Rayleigh-Jeans law can be used to approximate the Planck function, so $B_\nu \sim \frac{2\nu^2 k T_B}{c^2}$.

Antenna temperature, T_A , is less than the brightness temperature by an efficiency factor, ϵ , and a beam dilution factor, W , defined as the ratio of the solid angle subtended by the source to that of the beam. The antenna temperature has nothing to do with the physical temperature of the radio dish.

e) Calculate the diffraction limited resolution of the IRAM telescope for the observations discussed in this paper. How many resolution elements across Neptune will these observations yield?

$$R = 1.22 \frac{\lambda}{D} = 1.22 \times (1.3 \times 10^{-3}) / 30 = 5.29 \times 10^{-5} \text{ rad} = 3.03 \times 10^{-3} \text{ deg} = 11 \text{ arcsec.}$$

The beam is larger than the angular size of Neptune ($\sim 2.5 \text{ arcsec}$) so Neptune was not spatially resolved.

2. CO from Convection.

a) Describe the conditions in which a parcel of air moved vertically would be convectively unstable. How does this relate to the *adiabatic lapse rate*? (4 points)

A parcel of air moved vertically would be convectively unstable if dT/dz decreases faster than the adiabatic lapse rate. In this case a parcel would rise, expand, and cool, and if the surroundings were much cooler at the lower level due to a steep temperature gradient, the parcel would continue to rise.

The adiabatic lapse rate is the rate at which a rising parcel of air changes temperature with increasing height under adiabatic conditions. The term adiabatic means that no heat transfer (energy transfer due to a temperature difference) occurs into or out of the parcel. It is given by the formula $-\left(\frac{dT}{dz}\right) = \frac{g}{c_p}$.

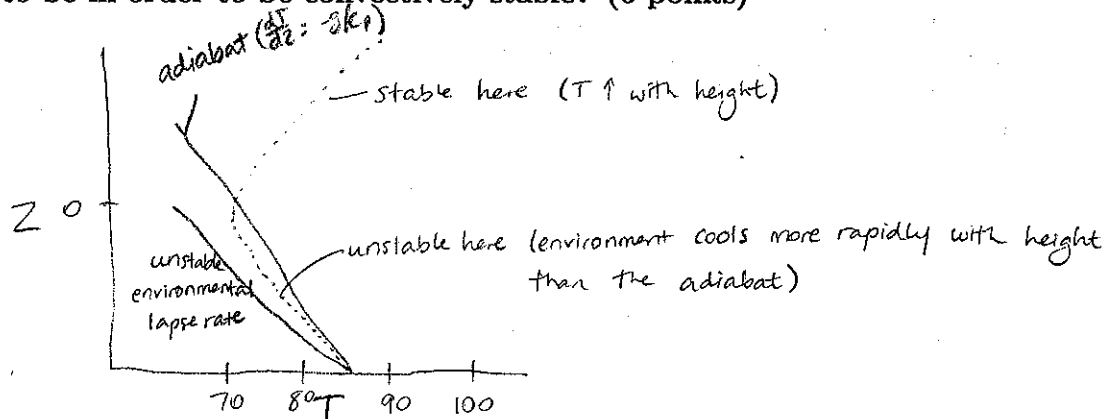
b) Calculate the value of Neptune's adiabatic lapse rate as well as that of the Earth. Comment on the difference (if there is any). (6 points)

$$\text{Earth: } -\left(\frac{dT}{dz}\right) = (9.8 \text{ m/s}^2) / (1004 \text{ J/kgK}) = -9.76 \times 10^{-3} \text{ K/m} = -9.76 \text{ K/km}$$

$$\text{Neptune: } -\left(\frac{dT}{dz}\right) = (11 \text{ m/s}^2) / 13014 \text{ J/kgK} = -0.85 \times 10^{-3} \text{ K/m} = -0.85 \text{ K/km}$$

The main difference is due to the difference in c_p , which is a function of atmospheric composition (the gravity of the two planets is almost the same)

c) Draw a T-z plot for a giant planet atmosphere. Assume $z = 0$ occurs at a pressure level of 1 bar and the temperature is 70 K at that altitude. Draw an adiabat and then draw an additional temperature profile corresponding to a convectively unstable atmosphere. Where on your diagram would a parcel of air need to be in order to be convectively stable? (6 points)



A parcel moving along the adiabat from the surface would find itself warmer than its surroundings, and therefore less dense than its surroundings. Thus the parcel would be positively buoyant and would continue to ascend through the atmosphere if released.

3. Exogenic CO. (6 points each)

a) Calculate the timescale for CO delivered from comets to diffuse downward to the $p = 20$ mbar level. (Hint: refer to §4 of the paper for some relevant information).

From the paper, $\tau \sim 2H^2/K_{20\text{mbar}}$. H is the scale height, which is $\frac{kT}{\mu g}$. Calculate H should get ~ 30 km. $K_{20\text{mbar}}$ is $3000 \text{ cm}^2/\text{s}$ (from Fig. 3, bottom panel, right inset). $\tau = 190$ yrs.

b) Integrating over the timescale in (3a) and the surface of Neptune, the measured flux gives an input of $\sim 2.2 \times 10^{15}$ grams of CO. Could this plausibly be delivered by a single comet impact? Explain.

Need to estimate size and mass of a comet, relative percentage of CO, etc. Yes, it is plausible to have a single comet deliver this much CO.

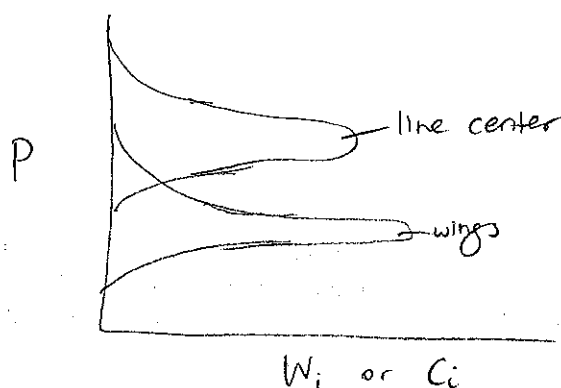
4. Atmospheric Radiative Transfer.

a) Explain the cause of the spike seen around 230.5 GHz in the top panel of Figure 2. Your explanation should discuss the physics of what is happening beyond what is mentioned in the paper. Include a diagram to support your ex-

planation. (6 points)

The spike is caused by emission from CO in the stratosphere, which is optically thin relative to the troposphere. The line shape is what gives rise to pressure (or altitude) discrimination, since the line is pressure broadened. Radiation near the line center is from the warmer stratosphere; the absorption feature is formed in the troposphere where there is negative temperature gradient.

b) Draw a figure containing the contribution functions (or weighting functions) that illustrate the pressure levels from which most of the radiation comes when looking in the wings of the CO(2-1) line and at the center of the CO(2-1) line. (6 points)



c) Based on the conclusions stated in this paper, is CO a good molecule to use for deriving temperature profiles in Neptune's atmosphere? Why or why not? (2 points)

No, because the CO in Neptune's atmosphere is not well-mixed.