

## Inflation

Problems of standard Hot Big Bang:

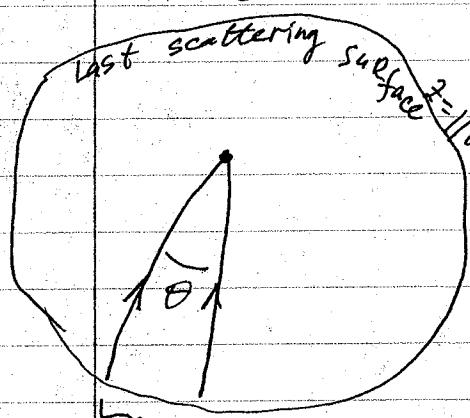
1) Large-scale homogeneity: why the universe is homogeneous?

Universe is in causal contact within Hubble

radius

$$d_H(t) = a(t) \sqrt{\frac{t}{\frac{c a(t)}{a(t)}}} = \begin{cases} 3ctaa^2, & MD \\ 2ctaa^2, & RD \end{cases}$$

we see that at high redshift different areas of the Universe are all the same, but they have not been in causal contact yet. The best indicator is Cosmic Microwave Background (CMB)



$d_H$  at recombination is about  $2^\circ$   
 $d_H = 3ct = 200 h^{-1} \text{ Kpc}$

Hubble radius at recombination

Entropy within the horizon is a measure, which is useful in this context. Entropy density  $\propto T^3$ . Entropy per comoving volume is constant both on MD and RD stages.

$$S_H = s d_H^3 = \text{entropy in Hubble radius}$$

$$\approx 10^{88} \text{ today (in some units)}$$

at recombination  $S_H = 10^{83}$  (in the same units). Thus we are looking at  $\sim 10^5$  independent volumes, which all have the same temperature

2) Spatial flatness / age of the universe

$$H^2 = \frac{8\pi G}{3}\rho - \frac{k}{a^2} \Rightarrow |\mathcal{R}^{-1}(t) - 1| = \frac{3}{8\pi G} \frac{H_0^2}{\rho a^2} \propto \begin{cases} a, & \text{MD} \\ a^2, & \text{RD} \end{cases}$$

Thus

$$|\mathcal{R}^{-1}| \lesssim 10^{-16} \quad \text{at BBN}$$

$$10^{-60} \quad \text{at Planck time}$$

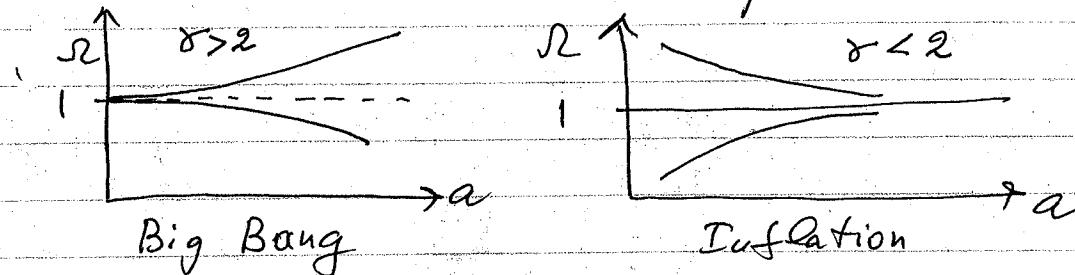
in order for  $|\mathcal{R}^{-1}| \sim 1$  today

Put this in other way: at Planck time we naively expect that fluctuation should on the order of unity. Then the life-time of the Universe (to collapse if  $k=+1$  or to become curvature dominated if  $k=-1$ ) is  $\sim$  Planck time  $= 10^{-43}$  sec. The Universe is too old.

$$\left(\frac{\dot{a}}{a}\right)^2 - \frac{8\pi G}{3}\rho = -\frac{k}{a^2}$$

Kinetic energy + potential energy = total energy

$$\text{If } \rho \propto a^\delta \Rightarrow |\mathcal{R}^{-1}| \propto \frac{1}{a^2\rho} \propto a^{\delta-2} \quad \begin{matrix} \delta > 2 & \text{MD} \\ \delta < 4 & \text{RD} \end{matrix}$$



$$\frac{dp}{dt} + 3\frac{\dot{a}}{a}(p+\rho) = 0 \quad (c \equiv 1)$$

$$\Rightarrow \rho \propto a^{-3(1+w)} \Rightarrow w < -1/3 \Rightarrow \boxed{\rho + 3p < 0}$$

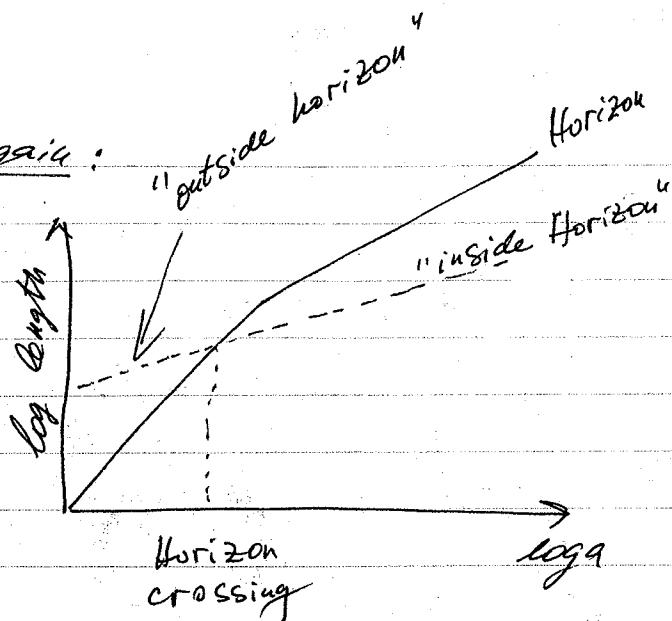
where  $\rho = w\rho$

## Large-scale homogeneity again:

$$d_4 \propto \begin{cases} a^2, & RD \\ a^{3/2}, & MD \end{cases}$$

$$\pi(t) = \pi_0 q(t)$$

Big Bang

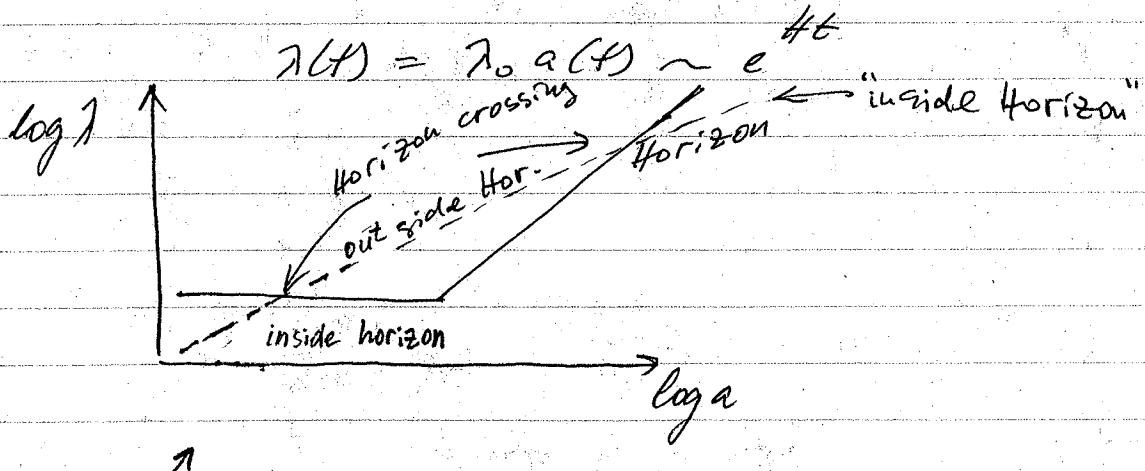


Inflation: if  $p + 3\dot{p} \leq 0 \Rightarrow \ddot{a} \geq 0 \Rightarrow$

$\Rightarrow$  expansion is exponential  $a \propto e^{Ht}$ ,  
 where  $H$  = constant

in this case

$$d_H = a(t) \int \frac{c dt}{a(t)} \sim \text{const}$$



Hubble  $\sim$  ct  $\sim$  lg a

Jana

idea:  $H^2 = \frac{8\pi G}{3} \rho$ ;  $\ddot{a} = -\frac{4\pi G}{3} (\rho + 3p)$

$c=1$   $\dot{\rho} + 3H(\rho + p) = 0$

if  $p = -\rho$  (e.g. for cosmological constant)

↓

$\rho = \text{const}$

↓

$H = \text{const}$

↓

$\frac{1}{a} \frac{da}{dt} = H \Rightarrow a \propto t$

AT

Note that once inflation starts  $\Rightarrow$

density of particles  $\propto a^{-3} \rightarrow 0$   
temperature  $\propto a^{-1} \rightarrow 0$

So on inflation stage the universe empty and cold

Once upon a time ...

long, long ago ...

The Vacuum Ruled

In the Beginning there was Vacuum

$\Rightarrow$  Start with a messy universe:

inhomogeneous + anisotropic + curved  
mix all fields.

If in some region potential energy of vacuum is high

$\Rightarrow$  region expands exponentially

$\Rightarrow$  its volume increases relative to non-inflating regions

## Inflation: main idea

$$(1) \text{ Friedmann equation: } H^2 = \frac{8\pi}{3} G\rho$$

$$(2) \text{ acceleration of the Universe: } \frac{\ddot{a}}{a} = -\frac{4\pi G}{3}(\rho + \frac{3P}{c^2})$$

Here density  $\rho$  includes all possible components.

$$(3) \text{ continuity equation: } \dot{\rho} + 3H(\rho + \frac{P}{c^2}) = 0$$

Let's assume that equation of state is  $P = -\rho c^2$ .

In this case eq(3) gives  $\dot{\rho} = 0 \Rightarrow \rho = \text{constant}$

The Friedmann eq(1) gives  $H = \text{const}$  if  $\rho = \text{const}$

From eq(2) we get that  $\ddot{a} > 0 \Rightarrow \text{universe accelerates!}$

For  $H = \text{const}$  we get  $\frac{1}{a} \frac{da}{dt} = H \Rightarrow a \propto e^{Ht}$

This is inflation: exponential growth of all scales.

We know that density of non-relativistic particles declines as  $\rho_{\text{non-rel}} \propto a^{-3} \propto e^{-3Ht}$

Temperature of radiation goes as  $T \propto a^{-1} \rightarrow 0$

Curvature  $\sim a^{-2} \rightarrow 0$

So, once inflation starts, there are no other fields, particles in the Universe and it is cold!  
And it is flat (or nearly so)

The 'only' thing we need is a field (or particles) with the equation of state  $P = -\rho c^2$

Scalar fields are candidates for 'inflaton'. We assume that a scalar  $\varphi$  has potential energy  $V(\varphi)$ . We need to know how to write the energy-stress tensor for the field  $\varphi$ .

Lagrangian of the scalar field  $\varphi$  has two parts: kinetic energy and potential energy:

$$L_\varphi = \frac{1}{2} \left( g^{ij} \frac{\partial \varphi}{\partial x^i} \frac{\partial \varphi}{\partial x^j} - V(\varphi) \right) \quad (*)$$

We are interested in fields, which (almost) have no dependency on coordinates. Thus, of all  $\frac{\partial \varphi}{\partial x^i}$  derivatives in eq(\*) the only terms, which are important are  $\frac{\partial \varphi}{\partial t}$  and the first term is just  $\sim \dot{\varphi}^2 \rightarrow$  equivalent of kinetic energy

An example of the second term is  $V(\varphi) = \frac{m^2}{2} \varphi^2$ , where  $m$  is mass of particle associated with field  $\varphi$ .

(\*\*) Action of scalar field is written as:  $S = \int d^4x \sqrt{g} L_\varphi$ . This gives energy-stress tensor:

$$T_{ij} = \frac{2}{\sqrt{g}} \frac{\delta S}{\delta g^{ij}} = \frac{\partial \varphi}{\partial x^i} \frac{\partial \varphi}{\partial x^j} - g_{ij} L_\varphi$$

In locally Minkowski coordinates (equivalence principle!) the energy-stress tensor gives energy density ( $T_{00}$  component) and effective pressure ( $T_{ii}$  components)

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$$\rho c^2 = T_{00} = \frac{1}{2} [\dot{\varphi}^2 + (\nabla\varphi)^2 + V(\varphi)]$$

$$P = T_{11} = \left(\frac{\partial\varphi}{\partial x^i}\right)^2 + \frac{1}{2} \left[\dot{\varphi}^2 - \frac{1}{3} (\nabla\varphi)^2 - V(\varphi)\right]$$

Terms with spatial gradients are expected to be small:  $(\nabla\varphi) \propto \left(\frac{\partial\varphi}{\partial r}\right)^2 \propto \frac{1}{a^2} \rightarrow 0$

If the scalar field  $\varphi$  is dominated by potential energy (thus, if  $\dot{\varphi}^2$  is small as compared with  $V(\varphi)$ ):

$$P = -\rho c^2$$

This is what we need for inflation.

Eq(\*\*) for action also gives an equation for evolution of the scalar field (called Klein-Gordon):

$$\ddot{\varphi} + 3H\dot{\varphi} + V'(\varphi) = 0, \text{ where } V(\varphi) = \frac{\partial V}{\partial \varphi}$$

This is the relativistic analog of the wave equation.

For simple case  $V(\varphi) = \frac{1}{2}m^2\varphi^2$  we get:

$$(g^{ij}\frac{\partial\varphi}{\partial x^i})_{;j} + m^2\varphi = 0 \Rightarrow (\square + m^2)\varphi = 0$$

$$\frac{1}{c^2}\frac{\partial^2\varphi}{\partial t^2} + \nabla^2\varphi + m^2\varphi = 0$$

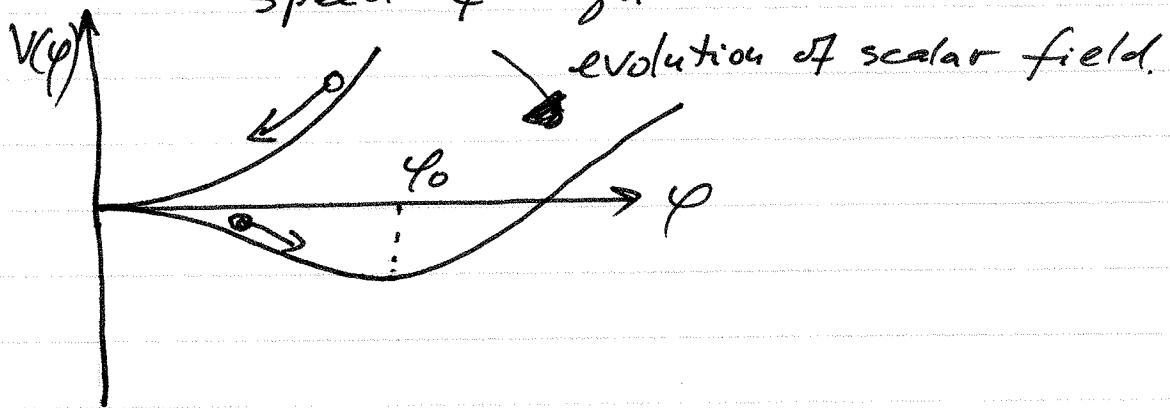
without expansion it gives  $\varphi \propto e^{i\omega t}$

## History: different models

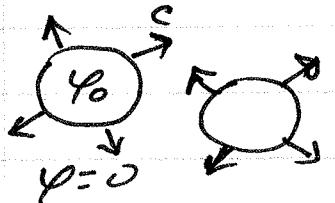
### Guth scenario

- 1) initial state has high temperature
- 2) as universe expands and cools, it gets into meta-stable state of false vacuum
- This is when the inflation takes place
- 3) Inflation ends with a phase transition into true vacuum with some value  $\varphi_0$ .

This transition goes through formation of bubbles of true vacuum. Collision of bubbles reheats the universe. Bubbles expand with the speed of light



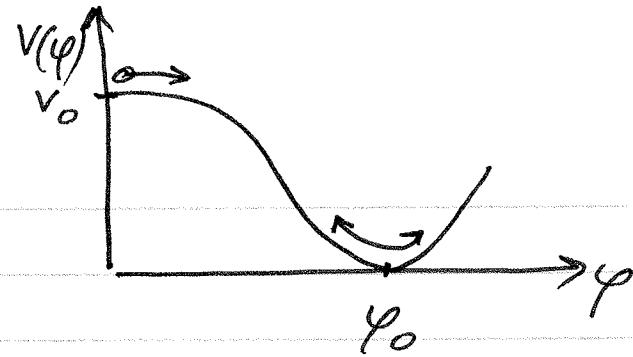
- Problem:
- collisions of bubbles produce too large fluctuations
  - inflation never ends because false vacuum expands exponentially - faster than velocity of light



Advantage: solved flatness and horizon problems

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### "New inflationary scenario"



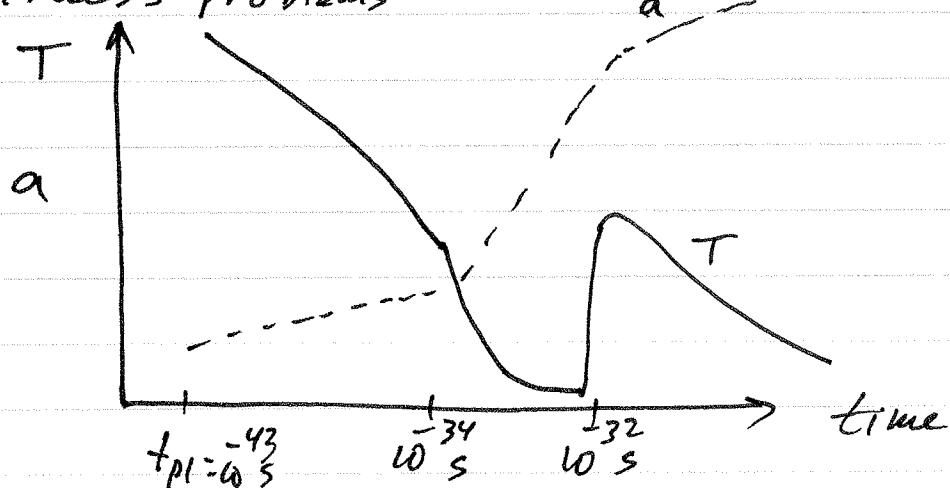
- 1) Initially field  $\varphi$  starts with high energy  $V_0 = V(0)$
- 2) It slowly rolls down producing inflation
- 3) Once the field gets close to  $\varphi_0$  it oscillates.  
Coupling to other (normal) fields results in reheating and decaying of the field  $\varphi$  itself

Problems: (1) amplitude of fluctuations must be  $\sim 10^{-4} - 10^{-5}$ , which can be achieved only if  $V(\varphi)$  is very flat at  $\varphi \approx 0$ . For example, for  $V(\varphi) = V_0 - \frac{\lambda}{4} \varphi^4$  we need

$$\text{very small } \lambda \approx 10^{-12} - 10^{-14}$$

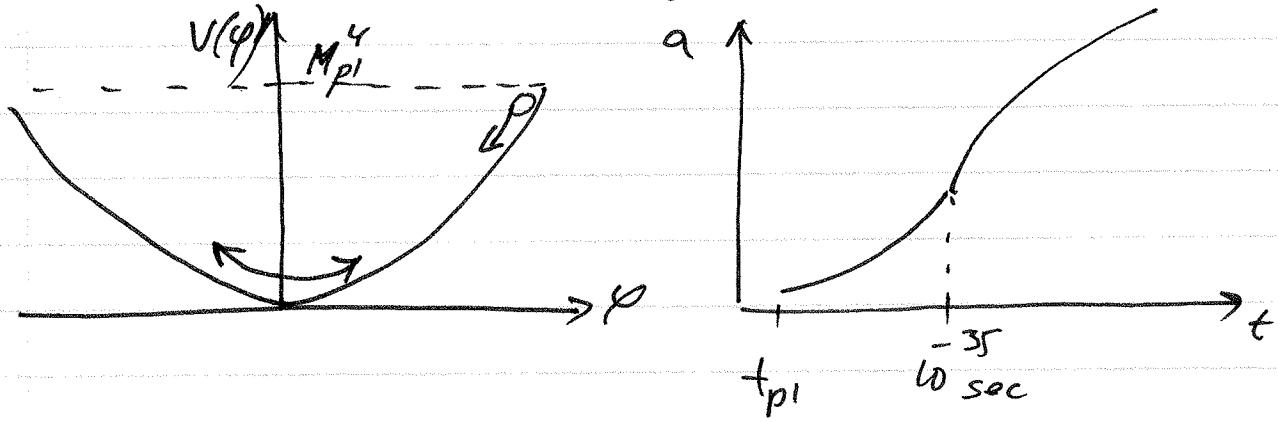
Why it should be so small when one expects  $\lambda \approx 1$

(2) In order to produce small fluctuations inflation should start at low values of  $V(\varphi)$ . Temperature of Universe is also  $T^4 \approx V(0)$  is low. Because we expect that Universe starts at  $t_{\text{Planck}} \approx 10^{-43} \text{ s}$ , new inflationary scenario waits too long before inflation starts. This does not solve age and flatness problems



## Chaotic inflation

- Universe starts at  $\sim$  Planck energy  $\sim M_{Pl}^4$
- Initially  $\phi$  slowly rolls down and experiences large quantum-mechanical fluctuations
- Once  $V(\phi)$  gets small and  $\dot{\phi}^2$  is big, field  $\phi$  oscillates and decays into normal fields ending inflation and reheating Universe



Initial large quantum jumps produce phenomena called eternal inflation

## Dynamics of the scalar field and slow-roll approximation

It is customary to change units in such a way that  $\hbar = c = G = 1$ . This brings in Planck mass into our equations because we still need to keep dimensions (and scales) right.

In those units, time has units of  $[t] = M_{\text{pe}}^{-1}$   
 Length  $[l] = M_{\text{pe}}^{-1}$

density  $[P] = [\text{Energy}] = M_{\text{pe}}^4$

Mnemonics: trace dependence on  $G$  of  $M_{\text{pe}}, t_{\text{pe}}, \dots$   
 and substitute  $G$  by  $M_{\text{pe}}^{-2}$

Thus, our Friedmann equation takes form

$$(1) \quad H^2 = \frac{8\pi}{3} \frac{P}{M_{\text{pe}}^2} - \frac{k}{a^2}$$

We know that for a scalar field the density  $P_\phi$  is

$$(2) \quad P_\phi = \frac{\dot{\phi}^2}{2} + V(\phi) \quad \text{here I neglected } \frac{(\partial\phi)^2}{2} \text{ term}$$

Now need to add an equation for  $\dot{\phi}(t)$

That was Klein-Gordon equation, which, in expanding coordinates, takes form

$$(3) \quad \ddot{\phi} + 3H\dot{\phi} + V'(\phi) + \Gamma\dot{\phi} = 0$$

where  $V'(\phi) = \frac{dV}{d\phi}$  and  $\Gamma$  is a constant, which "coupling"  $\phi$  with other fields and eventually leads to the reheating of the Universe

$\Gamma$  is small for the stages we are dealing with now

Given tree potential  $V(\phi)$  it is not difficult to solve equations (1-3)

"Slow roll"

At the beginning of inflation  $H$  is large and the term with  $\dot{\phi}$  is small and can be neglected we also neglect  $\Gamma_{\dot{\phi}}$  (because  $\Gamma \ll 3H$ )

(\*)

Thus

$$3H\dot{\phi} = -V'(\phi)$$

↪ slow-roll approximation

Find conditions on  $V(\phi)$

This must be valid for some time for inflation to occur.

for slow-roll approximation

Density should be dominated by the potential:  $\rho \approx V(\phi)$ , which means that  $\frac{\dot{\phi}^2}{2} \ll V(\phi)$  (\*\*)

$\Rightarrow H^2 \approx \frac{8\pi}{3} \frac{V(\phi)}{m_{pl}^2}$ . Use this with (\*) and (\*\*) to exclude  $\dot{\phi}$ :

$$\frac{V'}{V} \cdot m_{pl} \ll (18\pi)^{1/2}$$

Another condition - on the curvature of  $V(\phi)$  - follows from the condition  $\ddot{\phi} \ll 3H\dot{\phi}$

Because the Hubble constant changes slowly, we can neglect its derivative with time. Then we can write: (from  $3H\dot{\phi} \approx V'$ )

$$\ddot{\phi} \approx \frac{(V')'}{3H} = \frac{1}{3H} \cdot \frac{d}{dt} (V(\phi)) = \frac{1}{3H} \frac{dV}{d\phi} \frac{d\phi}{dt} = \frac{V''}{3H}\dot{\phi}$$

This gives the second condition for slow-rolling

$$V'' \ll 9H^2 \approx \frac{24\pi}{m_{pl}^2} V(\phi)$$

Now we can estimate how much the Universe expands on inflation. The number of e-folds of growth in the scale factor that occurs as  $\varphi$  rolls from  $\varphi_1$  to  $\varphi_2$  is ( $a \propto e^{N_e}$ )

$$N_e = \ln\left(\frac{a_2}{a_1}\right) = \int_{t_1}^{t_2} H dt = -\frac{8\pi}{m_{pe}^2} \int_{\varphi_1}^{\varphi_2} \frac{V(\varphi)}{V'(\varphi)} d\varphi$$

$$\text{Here we used } dt = d\varphi/\dot{\varphi}; H^2 = \frac{8\pi}{3} \frac{V}{m_{pe}^2} \text{; } \dot{\varphi} = -\frac{V'}{3H}$$

If we assume that  $\varphi_{\text{final}} \approx 0$  and  $\varphi_{\text{initial}} \approx m_{pe}$   
Then the first condition for <sup>slow-roll</sup> (slow-roll)  $\left(\frac{V}{V'} \gg \frac{1}{(8\pi)^{1/2}}\right)$

gives a low limit on the number of e-folds

$$N_e \gg \left(\frac{8\pi}{6}\right)^{1/2} \approx 2$$

For inflation to be successful, we need to blow-up to the whole Universe. This corresponds to

$$N_e > 62$$

So, while the slow-roll approximation tells us that we will probably have many e-folds, estimates must be done carefully.

Example: Chaotic inflation with  $V(\varphi) = \frac{m^2 \varphi^2}{2}$

$$\ddot{\varphi} + 3H\dot{\varphi} = -m^2 \varphi$$

$$3H\dot{\varphi} = -m^2 \varphi; H^2 = \frac{m^2}{3} \frac{m\dot{\varphi}}{m_{pe}}$$

$$\frac{m}{m_{pe}} \approx 10^{-7} \text{ for } \frac{\delta \varphi}{\varphi} \approx 10^{-5}$$

slow-roll  
This gives  $H(\varphi) = \sqrt{\frac{m\dot{\varphi}}{3}} \frac{m\varphi}{m_{pe}} \Rightarrow \dot{\varphi} = -\frac{m m_{pe}}{2\sqrt{3\pi}} \Rightarrow$

$$\Rightarrow \varphi = \varphi_0 - \frac{m m_{pe}}{2\sqrt{3\pi}} t \quad || \text{ Now we need } t \rightarrow a$$

$$H = \frac{1}{a} \frac{da}{dt} \Rightarrow \frac{da}{a} = \sqrt{\frac{m}{3}} \frac{m}{m_{pe}} \varphi dt$$

$$\varphi dt = \frac{\varphi d\varphi}{\dot{\varphi}} = -\frac{2\sqrt{3m}}{m m_{pe}} \cdot d\left(\frac{\varphi^2}{2}\right) \Rightarrow \frac{da}{a} = -\frac{2\sqrt{3m}}{m^2 m_{pe}} d\varphi^2$$

thus the solution is

$$a = a_0 \exp \left[ \frac{2\sqrt{3m}}{m^2 m_{pe}} (\varphi_0^2 - \varphi^2) \right]$$

If we assume that inflation starts when  $V_{\text{init}} \approx M_{pe}^4$   
then  $V_{\text{init}} = \frac{m^2 \varphi_0^2}{2} \approx M_{pe}^4 \Rightarrow \varphi_0 \approx \frac{M_{pe}}{m}$

if inflation ends with  $\varphi \ll \varphi_0$ , we have the number of e-folds for this model

$$N_e = \ln \left( \frac{a}{a_0} \right) \approx 2\sqrt{3m} \left( \frac{M_{pe}}{m} \right)^2 \times 10^{14}$$

### Fluctuations

- because of the quantum nature, always there are small quantum fluctuations in the field  $\varphi$ . On average those fluctuations are  $= 0$  (so  $\langle \delta\varphi \rangle = 0$ ) But  $\langle (\delta\varphi)^2 \rangle$  might not be zero.
- Usually those fluctuations are so small, that we can neglect them. Usually even statistical fluctuations ( $\sim 1/N^{1/2}$  due to finite number of particles) are small too.
- But now the Universe expands very <sup>fast</sup>. If a quantum "fluctuation" can get stretched beyond the horizon before it disappears, it survives because waves longer than horizon do not have enough time to oscillate. Those waves will result in fluctuations of the scalar field  $\Rightarrow$  the fluctuations in  $\varphi$  result in different (local) expansion rate  $\Rightarrow$  fluctuations in metric  $\Rightarrow$  fluctuations in density.

Results from tree quantum theory:

$$|\delta\varphi| \approx \frac{H}{2\pi}$$

For simplicity we assume that  $V(\varphi) = \frac{m^2 \varphi^2}{2}$   
(chaotic inflation)

Thus, quantum fluctuations produce  $\sqrt{|\delta\varphi|^2} = \frac{m\varphi}{\sqrt{3n}} M_{\text{pl}}$   
at  $t = 1/H$

At the same time (so in  $t = 1/H$ ) tree field will roll down by

$$\Delta\varphi = \frac{m M_{\text{pl}}}{2\sqrt{3n}} \cdot \frac{1}{H} \quad // \text{remember, that here } \dot{\varphi} = -\frac{m M_{\text{pl}}}{2\sqrt{3n}}$$

on this stage (slow-roll)  $H \approx \sqrt{\frac{4\pi}{3}} \cdot \frac{m \cdot \varphi}{M_{\text{pl}}}$

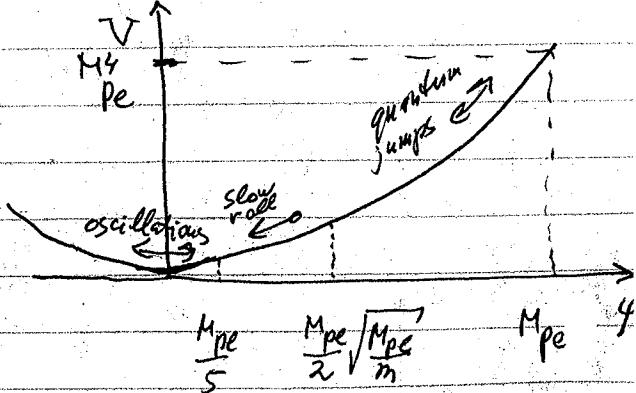
$$\text{Thus } \Delta\varphi = \frac{M_{\text{pl}}}{\ln \varphi}$$

Now, we compare  $\Delta\varphi$  and  $\delta\varphi$

↑ roll-down ↑ quantum fluctuation

If  $\delta\varphi$  is bigger than  $\Delta\varphi$ , the field  $\varphi$  actually (almost) does not roll down. It is "randomly" "walking" up and down. The condition for that is

$$\varphi > \frac{1}{2} M_{\text{pl}} \sqrt{\frac{M_{\text{pl}}}{m}}$$



When writing equation for the evolution of  $\varphi$  with time:

$$\ddot{\varphi} + 3H\dot{\varphi} = -V_{\varphi} = -m^2\varphi$$

we neglected terms related with spacial gradients.

It should be  $(\square + m^2)\varphi = 0$

Now those terms are important because they have information of the waves we are interested in. Thus, the equation for waves  $\delta\varphi = \delta\varphi(t, \vec{x})$  is

$$(x) \quad \ddot{\delta\varphi} + 3H\dot{\delta\varphi} - \frac{1}{a^2}\nabla^2(\delta\varphi) = -m^2\delta\varphi$$

$\uparrow$  spacial gradients

Equation (x) has two types of solution:

1) for  $k \gg H \gg m$  it describes oscillations of  $\delta\varphi$   
 $(\ddot{\delta\varphi} = \frac{1}{a^2}\nabla^2(\delta\varphi))$

2) for  $k \ll H \Rightarrow$  frozen disturbance due to  
 the friction term  $3H\dot{\delta\varphi}$

In this case the solution is just

$$\delta\varphi = \text{const}$$

Since the potential energy depends on the field  $\varphi$ , fluctuations in  $\varphi$  result in fluctuations in the energy density:  $\delta E = \frac{\partial V}{\partial \varphi} \delta\varphi \sim \frac{V}{\varphi} \delta\varphi$

$$\Rightarrow \frac{\delta E}{E} \sim \frac{\delta\varphi}{\varphi}$$

Use fourier spectrum:  $\delta\varphi_k = \int d^3x \exp(i\vec{k}\cdot\vec{x}) \delta\varphi(x)$

The amplitude of fluctuations from quantum theory is

$$\Delta\varphi_k^2 = k^3 |\delta\varphi_k|^2 = \left(\frac{H}{2\pi}\right)^2$$

volume  $\rightarrow$   
amplitude  $\rightarrow$

In this expression the right-hand-side does not depend on wave-number  $k$ . It tells us that for each typical scale  $\ell = \frac{k}{\ln k}$  the amplitude of fluctuations is the same:  $\boxed{8\delta\rho^2 \propto k^{-3}}$

We need to express these results in our usual quantities.

The fluctuations in the total energy density  $\delta\rho$  result in fluctuations of metric, which in our language are fluctuations of the gravitational potential. The fluctuations in the density are related with fluctuations in the potential (grav. potential) via the Poisson equation:

$$\Delta\phi = -\kappa G\rho < \begin{array}{l} \text{not the} \\ \text{energy density,} \\ \text{density of matter} \\ \text{at later} \\ \text{stages} \\ \text{not scalar field} \end{array}$$

For waves with wave-number  $k$ , this gives

$$k^2\delta\phi_k \sim \delta\rho_k$$

$$\Rightarrow \boxed{\left(\frac{\delta\rho}{\rho}\right)_k \propto k^1}$$